

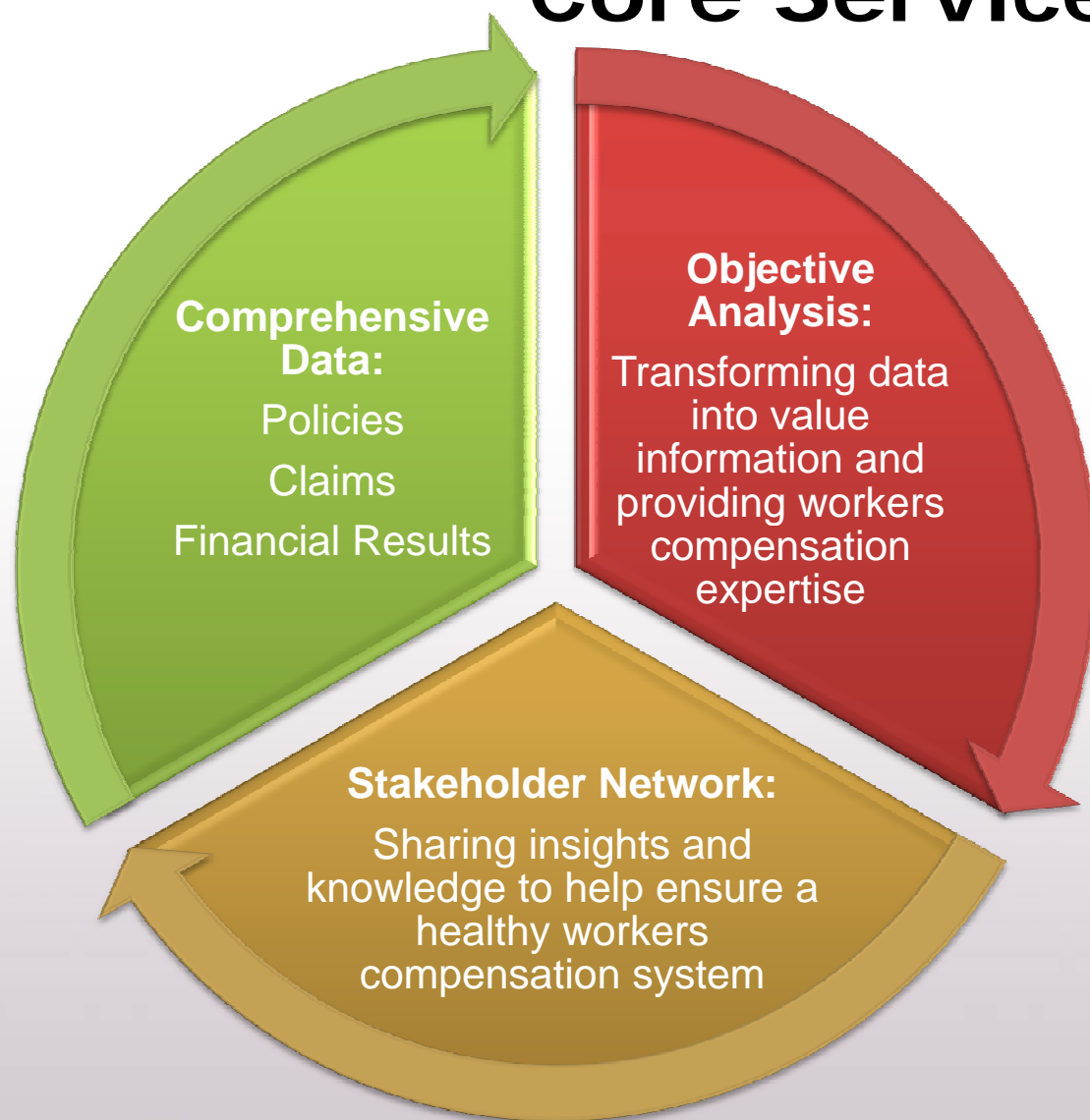


NCCI's Data Collection and Management Services

Topics

- Core Services
- Data Life Cycle
- Data Collection Components
- Data Quality Components
- Data Reporting Services – Data Providers
- Data Reporting Services - Regulators

Core Services



- Adequate loss costs/rates
- Objective reform evaluation
- Self-funded residual markets
- Tangible value for stakeholders

Regulatory Expectations

1. Provide High Quality and Timely Services
2. State Regulator Notification of Issues
3. Continuously Improve and Enhance Processes and Services
4. Provide Responsive Industry Communications and Educational Opportunities
5. Ensure Data Security and Continuity of Operations
6. Monitor NCCI's Performance Against Standards

NCCI Roles

Statistical Agent

- Collect data pursuant to the State's approved Statistical Plans
- Meet the State's performance standards
- Provide Aggregate Data Compilations

Advisory Organization

- Advisory and Loss Cost Services
 - Loss Cost and Rate Filings (voluntary and assigned risk)
 - Experience Ratings
 - Maintain WC Infrastructure
 - Classification Plan, Experience Rating Plan, Retrospective Rating Plan, Statistical Plan, and various other rating plans and pricing programs

Other Function

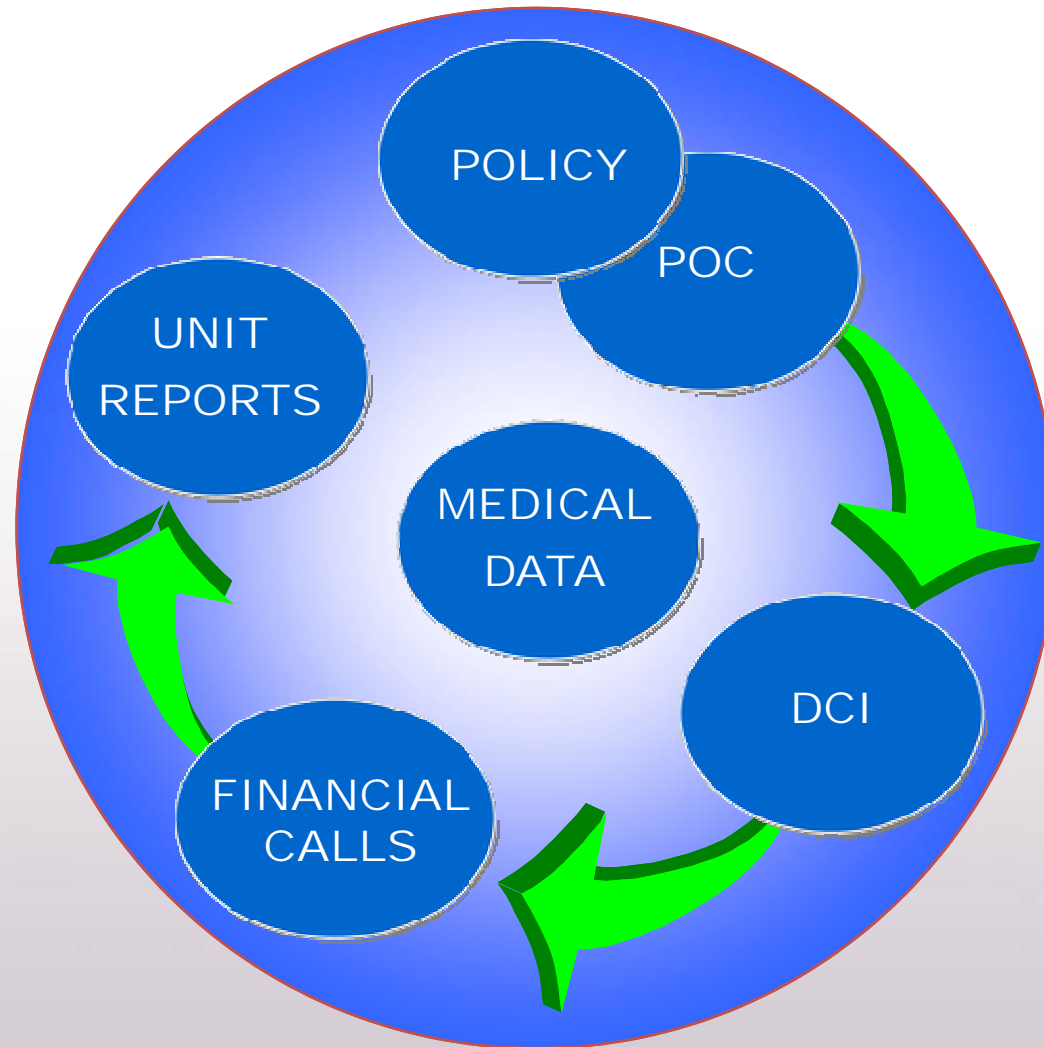
- Regulatory and Legislative Reform
 - Monitoring legislation and regulations
 - Research Studies
 - Price proposed state legislation

Statistical Agent Role

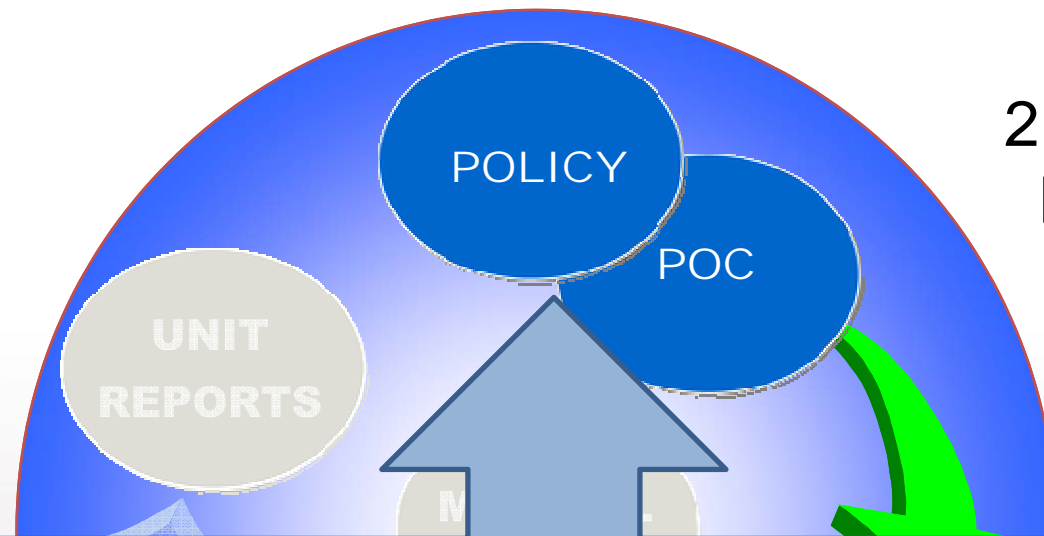
Data Stewardship

- Implement data security procedures
- Maintain databases
- *Not* modify company data
- *Not* use data for any purpose outside the scope of Statistical Agent
- Apply Data Quality processes to achieve:
 - Timeliness, Validity, Accuracy, Completeness
 - Editing, validation, cross data type tests, relational tests, etc.)
- Apply Incentive Programs
- Manage Compliance Programs
- Provide Tools and Training

Data Life Cycle



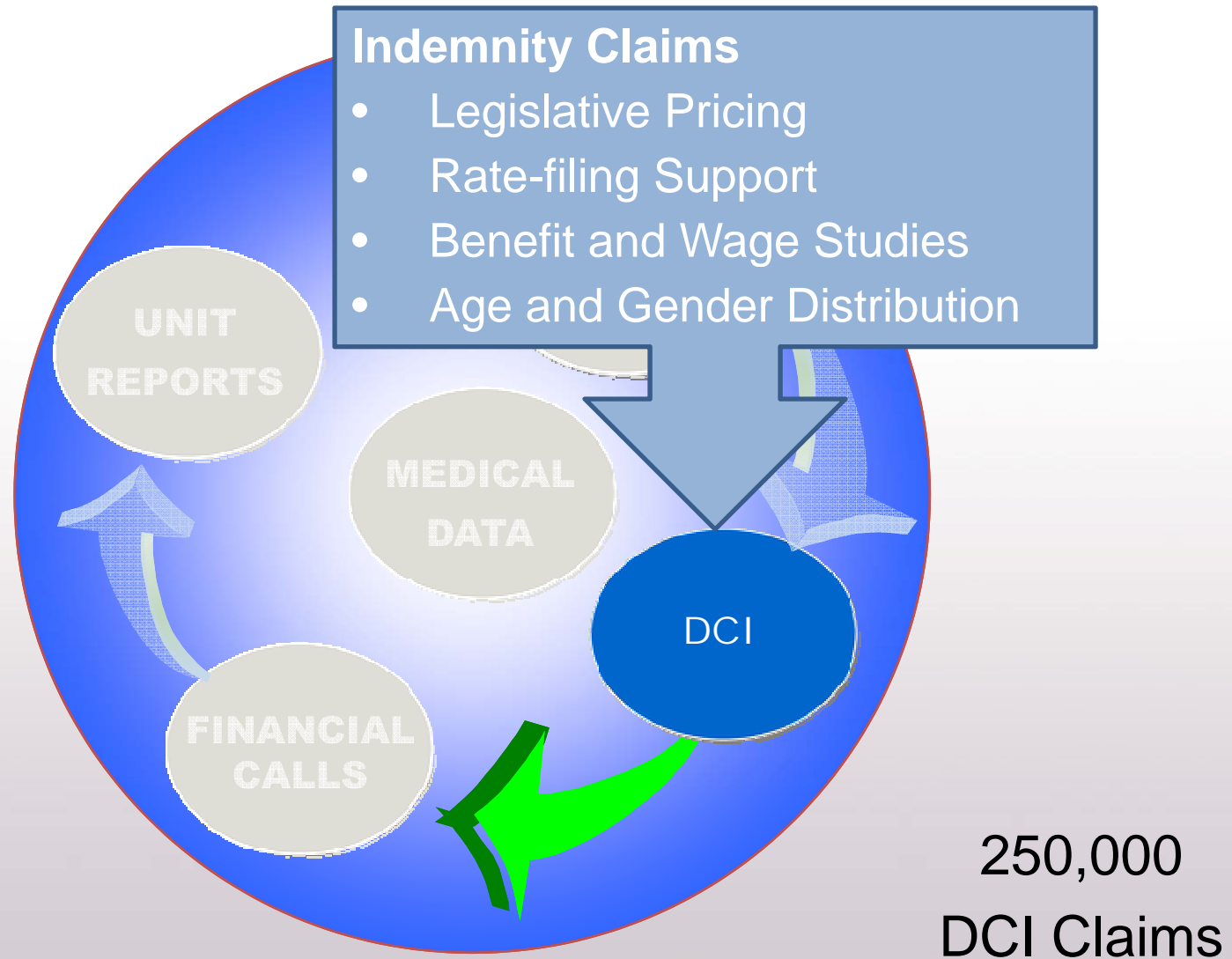
Data Life Cycle



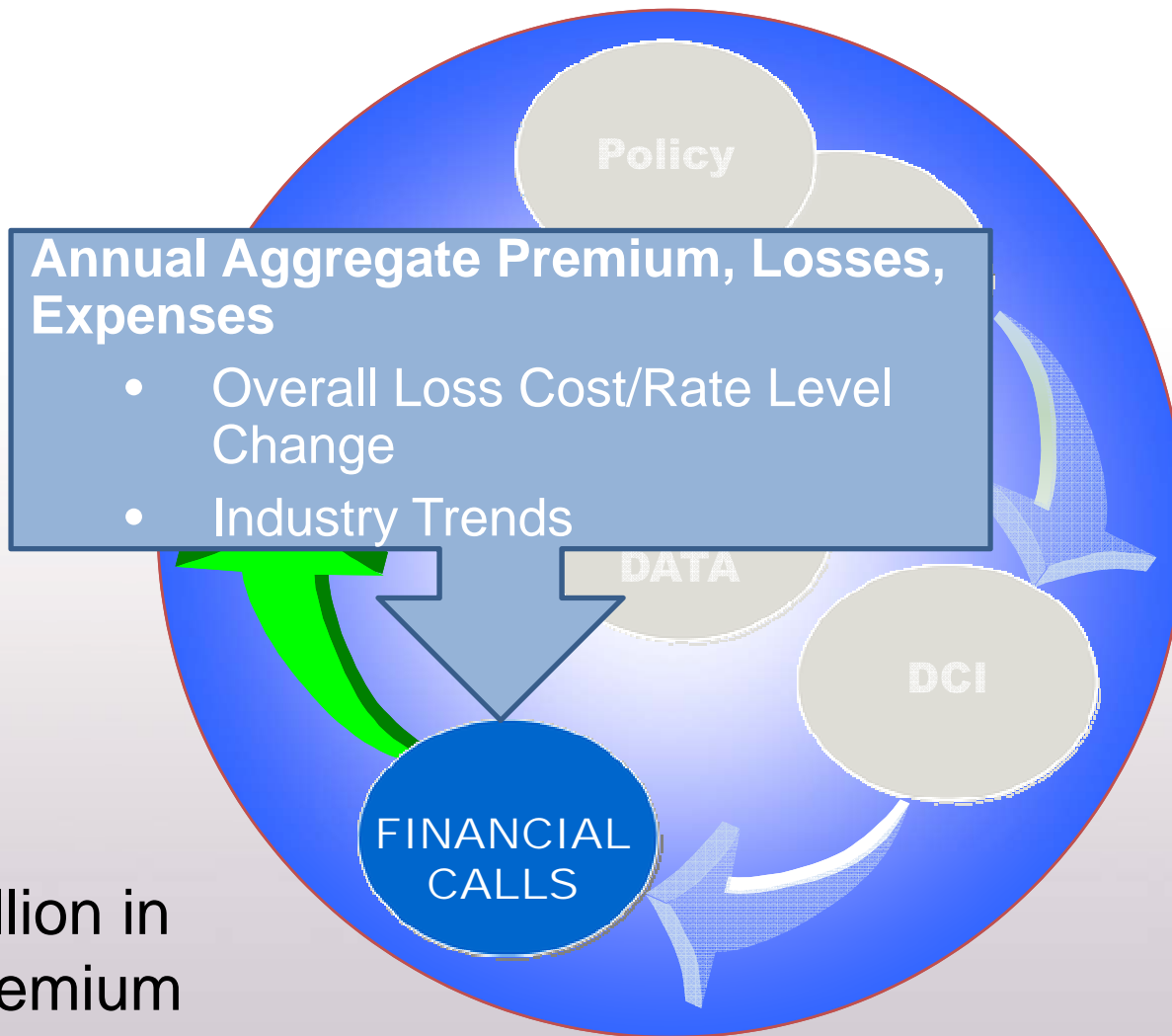
2.6 million
Policies

- **Estimated—Exposure and Premium**
- **Coverage—Entities and Locations**
 - Proof of Coverage
 - Expected Unit Reports
 - Distribution of Mods
 - Administration of Assigned Risk Pools—23 states

Data Life Cycle



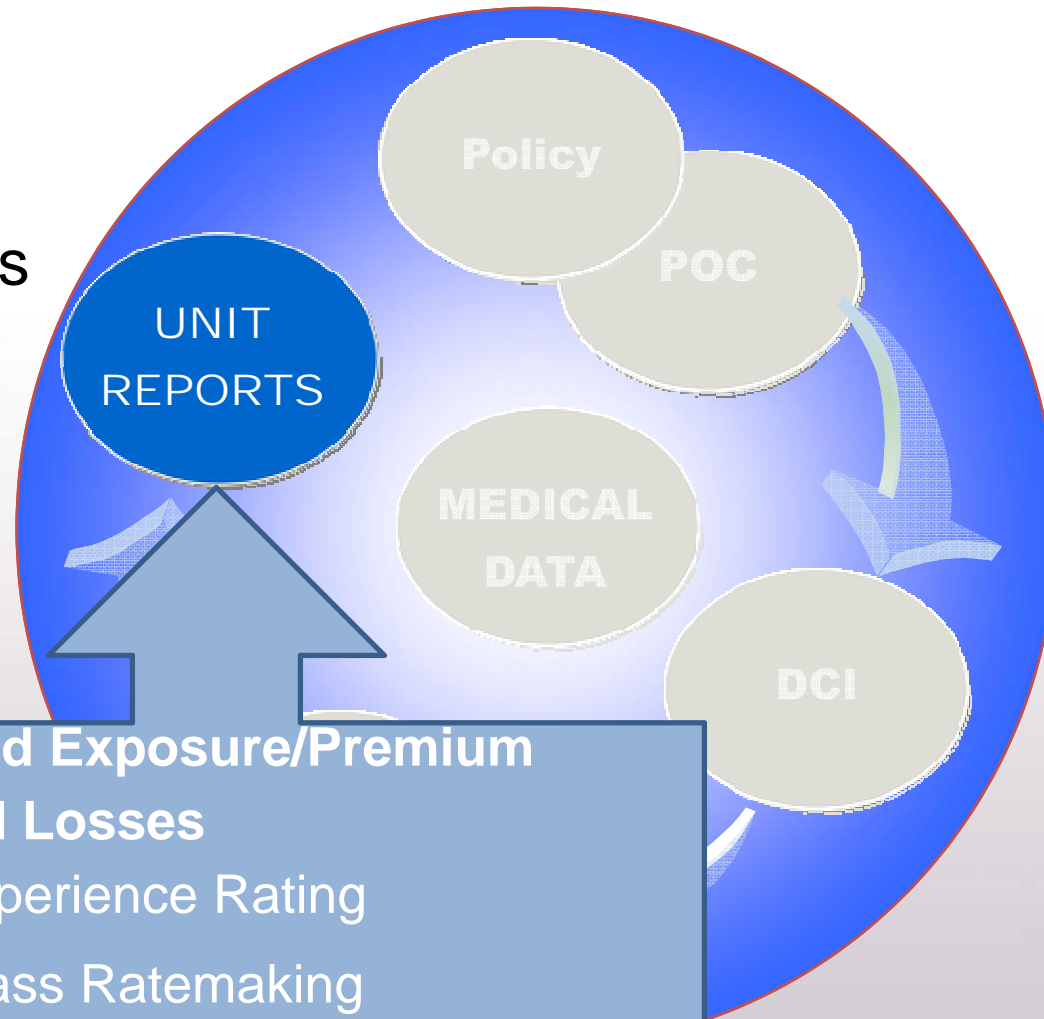
Data Life Cycle



\$25 billion in
WC Premium

Data Life Cycle

4 million
Unit Reports



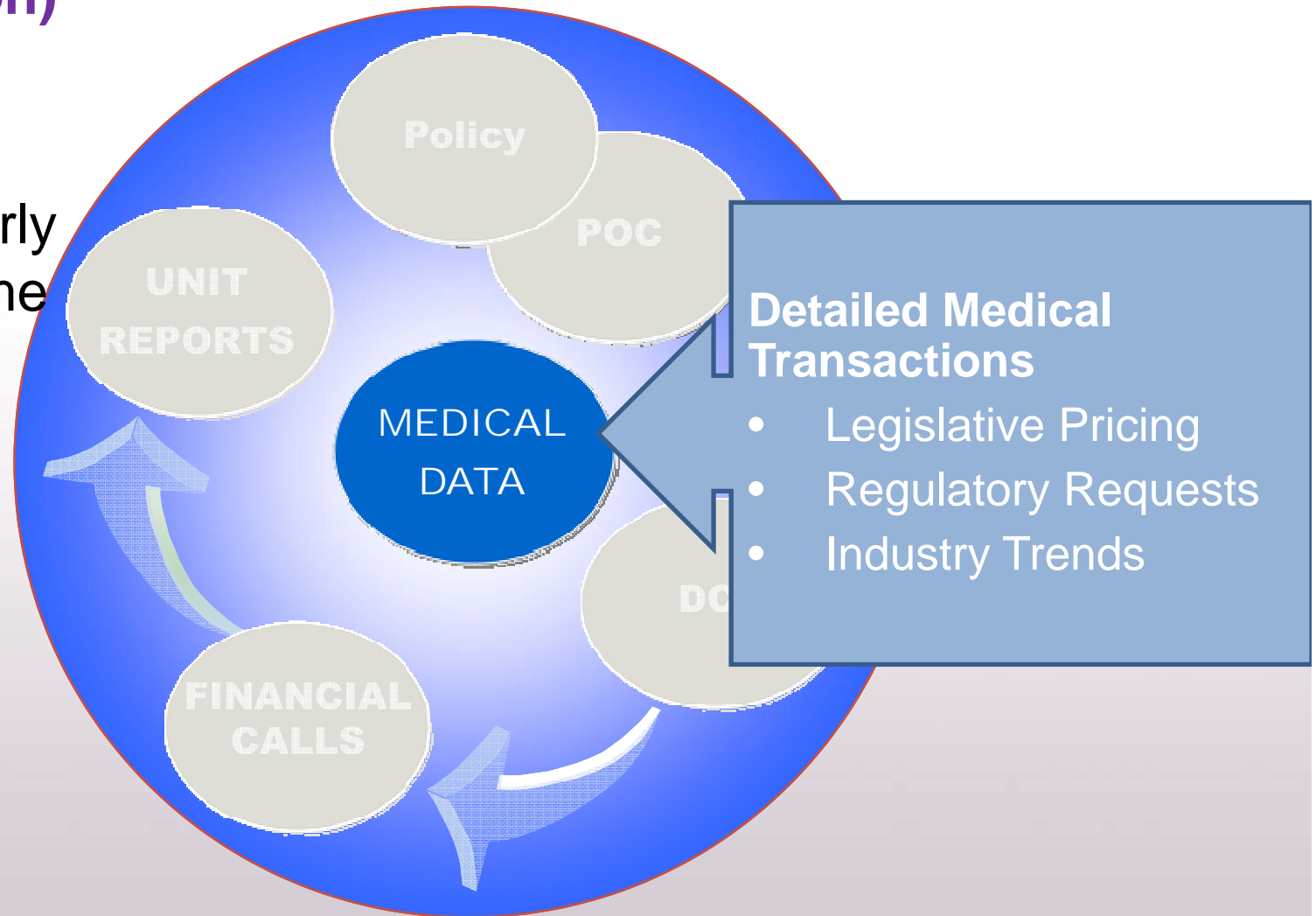
- Audited Exposure/Premium
- Valued Losses
 - Experience Rating
 - Class Ratemaking
 - Claim Cost—Frequency, Severity, Development

Data Life Cycle

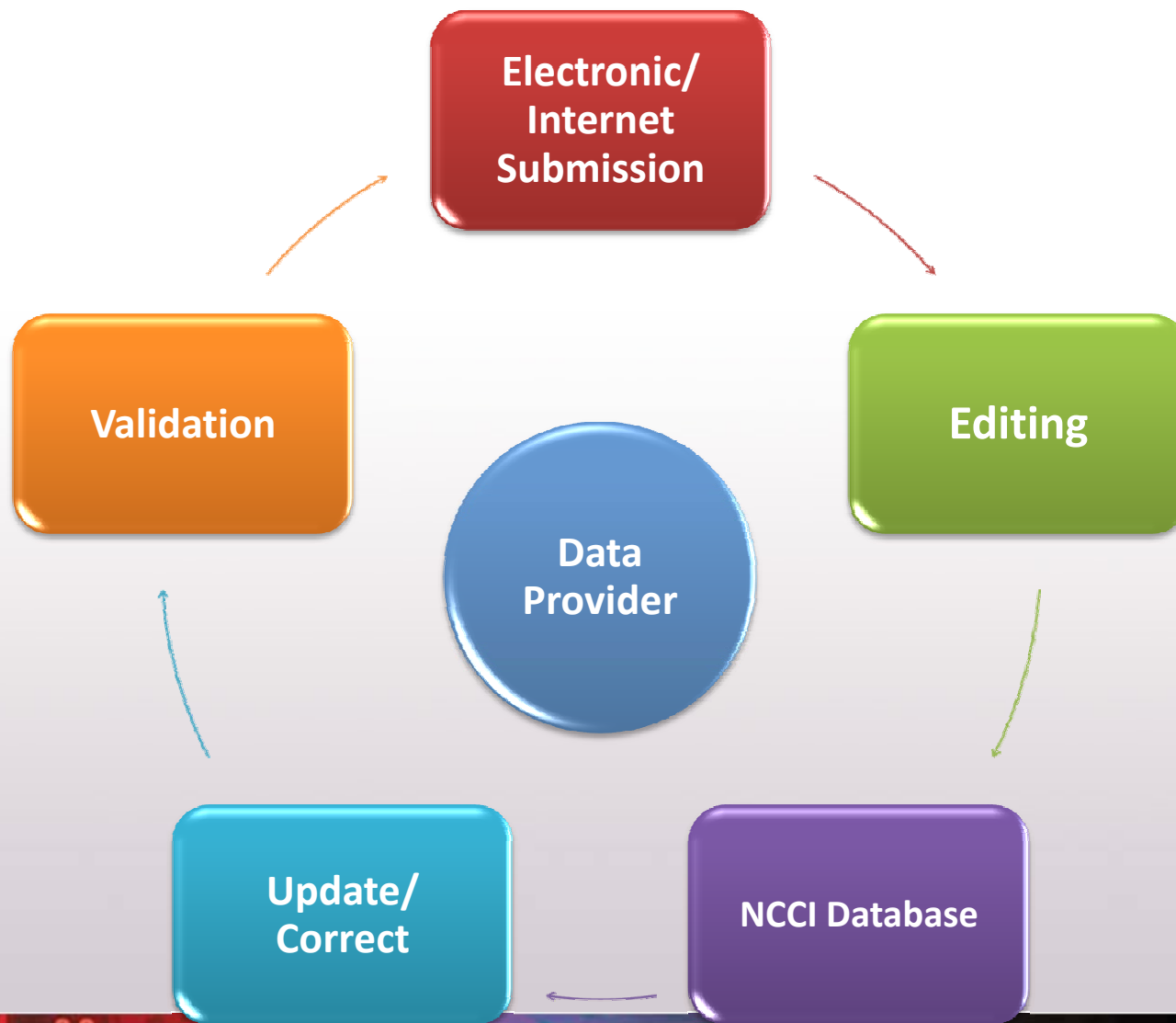
(Coming Soon)

250 to 275
million

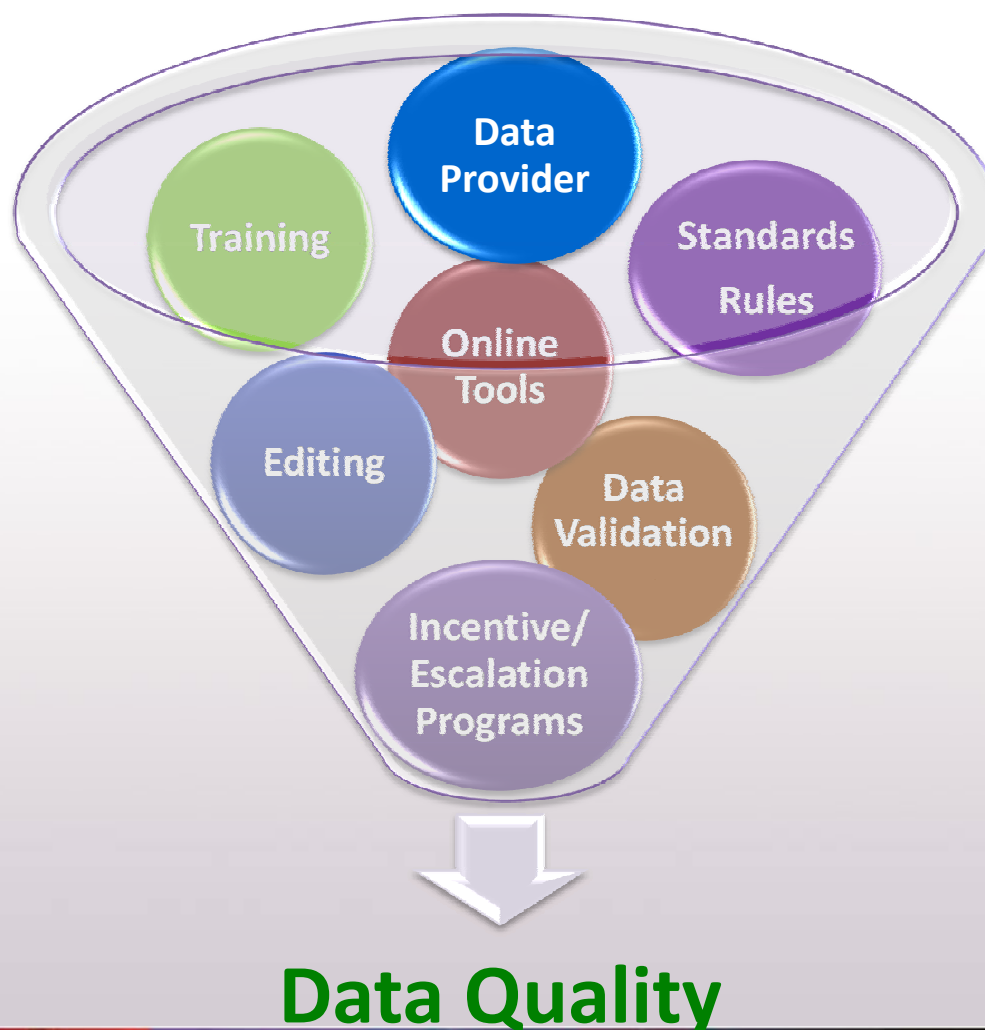
Expected Yearly
Record Volume



Data Collection Components



Data Quality Components



Leadership Roles

Data Collection Procedures (DCP) Subcommittee

DCP is a standing subcommittee of the Actuarial Committee

- DCP consists of nine members—six are companies with concurrent Actuarial Committee membership, two are selected by NCCI, and the seventh is the NCCI Chair
- Provide the company perspective on data collection activities—*DCP is the voice of the industry*
- Strive for data reporting quality, efficiency and cost-effectiveness
- Make suggestions for data reporting improvements
- Review proposed changes and communications

Leadership Roles

Industry Associations and Committees

- National Association of Insurance Commissioners (NAIC)
- International Association of Industrial Accident Boards and Commissions (IAIABC)
 - IAIABC POC EDI
- NAIC/IAIABC Joint Working Group
- Workers Compensation Insurance Organizations (WCIO)
- Association for Cooperative Operations Research and Development (ACORD)
- Insurance Data Management Association (IDMA)

Data Services—Data Provider

Reporting Requirements

- **Manuals Library**—Online data reporting manuals and guides
 - Unit Statistical Plans and Guides
 - Financial Call Guidebook
 - Policy Data Reporting Manual
 - Detailed Claim Information Guidebook
 - Edit Matrices
- **Circulars/FYIs**—Timely communication on data reporting changes
- **Web information**—Articles, reference guides, and state charts at ncci.com

Data Services—Data Provider

Web-based Reporting Tools

- ***Data Transfer via the Internet*** for the two-way exchange of data between data providers and NCCI
- ***DCA Access® Online*** for Policy, Unit Statistical, and DCI data
- ***Data Manager Dashboard*** for monitoring data reporting performance and identifying improvement areas, including the Incentive Program results
- ***Financial Calls Online*** for Financial Data
- ***Data Reports Online*** for error and submission reports
- ***POC Notice Entry*** for POC binder submissions

Data Services—Data Provider

Industry Training

- **Webinars**
 - Live sessions on data reporting tools and requirements
 - Webinars On Demand—Library of recorded sessions available 24/7
- **Data Educational Workshops**
 - Data Reporting Fundamentals
 - Data Provider Forum
 - Medical Data Call Workshop
- **eLearning Modules**
- **Open Houses (Regional Locations)**
- **Specialized Training Program (company specific)**

Data Services—Regulator

➤ POC Services

- **POC Online Inquiry**—Regulators can view policy information for proof of coverage in states where NCCI provides POC services
- **POC Compliance Guide**—overview of the Proof of Coverage compliance programs for each NCCI POC state. Provides when policy documents are due, any penalties, and states' appeals processes and contacts.
- **POC Files**—Data Extracts

➤ **Coverage Verification Service (CVS)**—Enables employers to view their current coverage information via the State's website

➤ **Regulator Reports on Data Quality** for regulators to monitor the status of data reporting in their state.

- Regulator Exception Report
- State Quarterly Compliance Summary Report
- Regulator's Report on Carrier Data Quality

Regulator Exception Report

- Provides monthly status identifying companies that have unit reports not available
- Provides company-specific, data (monthly) to state regulators for a given state
- Provided only in cases where the three-month combined results for a given state meet/exceed specific report criteria(2% and 15 units)
- Reported for two additional months to allow regulators to monitor carrier performance



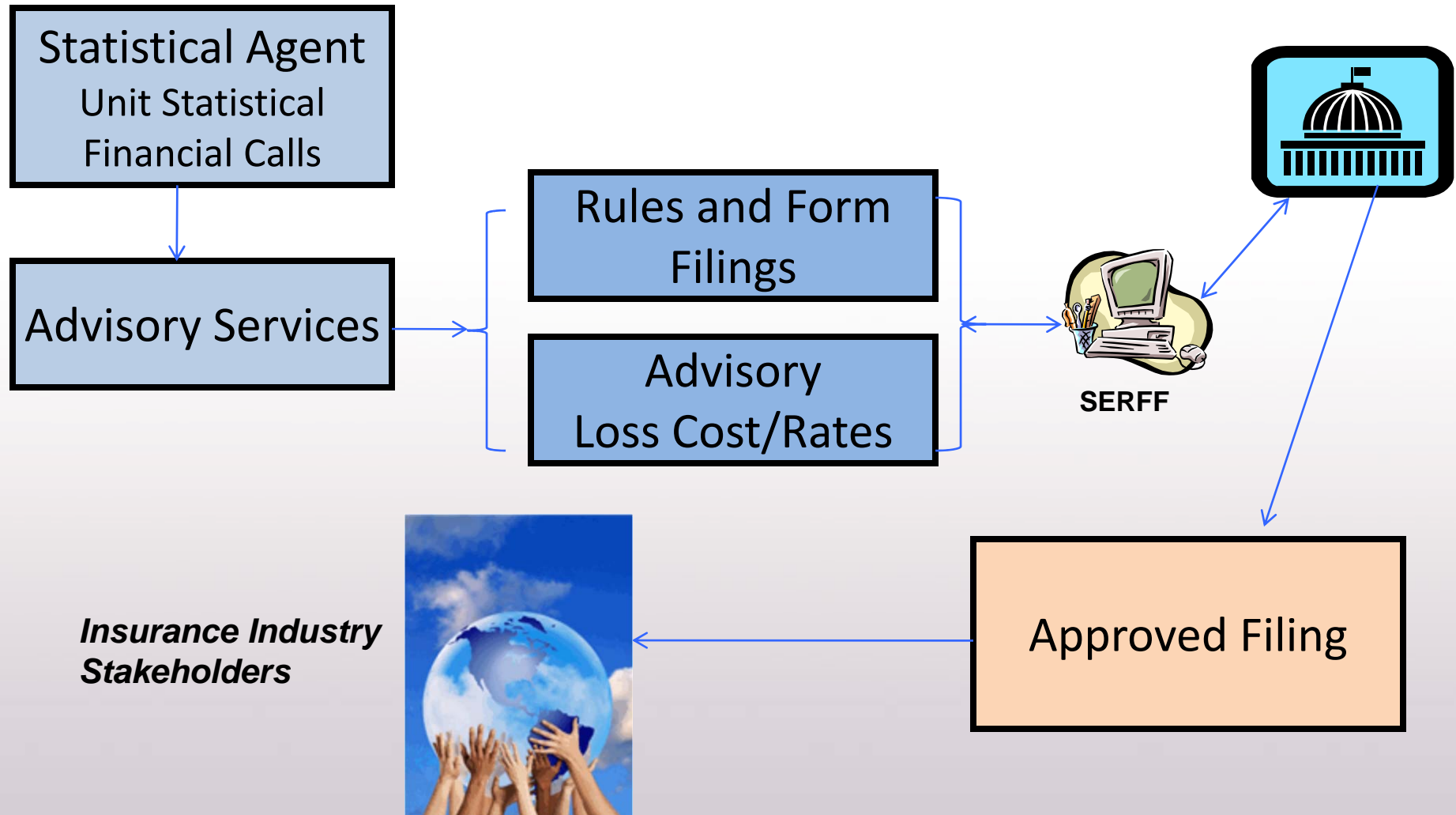
State Quarterly Compliance Summary Report

- Provides summarized unit statistical industry performance by state, as compared to countrywide statistics
- Rolling 12 months of data – refreshed quarterly
- Separate results for experience rated units and all units
- Includes statistics on:
 - % of units available early
 - % of units not available 4 months after the due date
 - % of units not audited by the end of the 22nd month

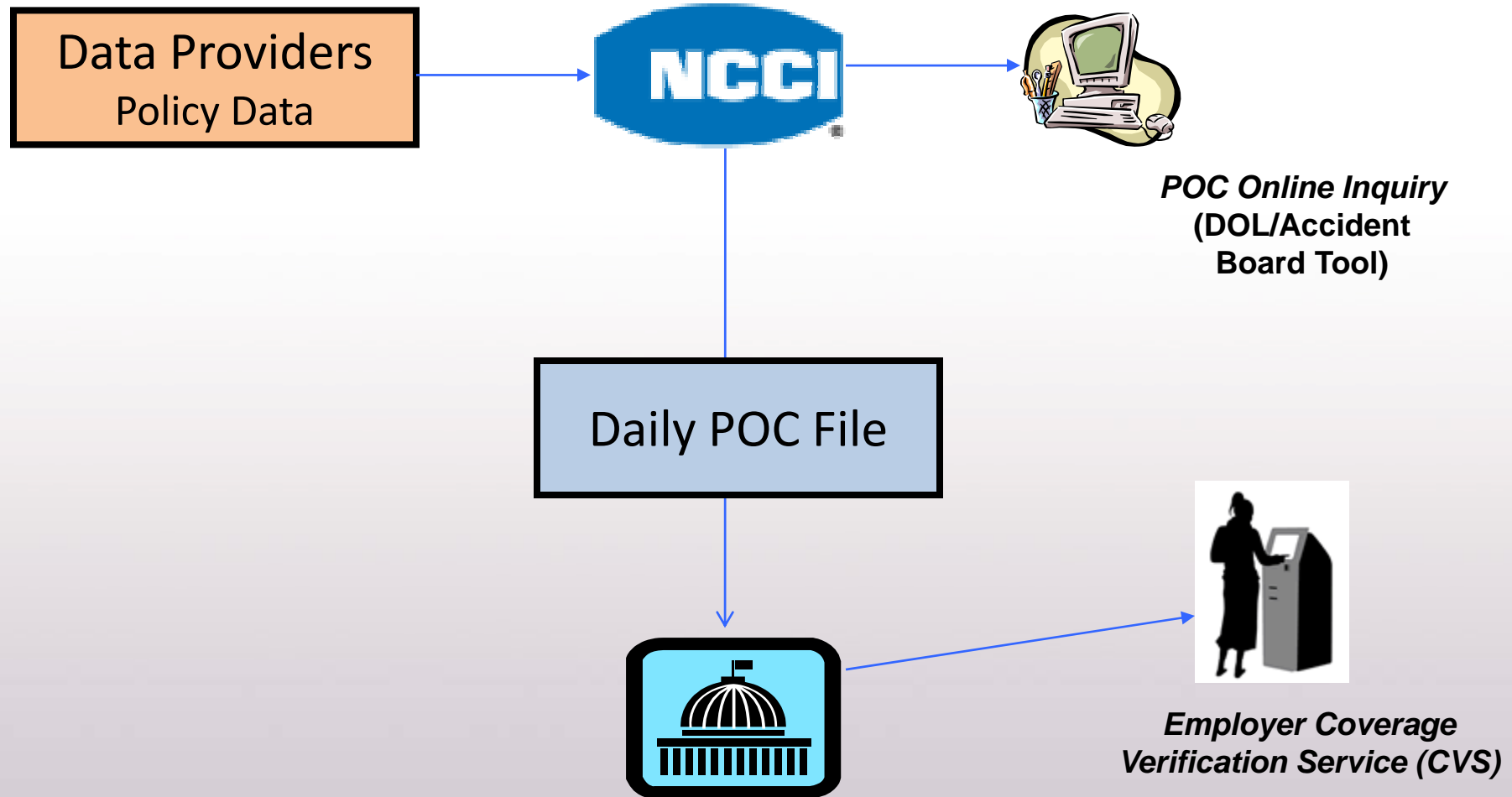
Regulators' Report on Carrier Data Quality

- **Regulators' Report on Carrier Data Quality (aka Report Card)** is distributed annually to each state regulator
- Includes results within the regulator's state for those companies receiving failing grades in accordance with the Carrier Data Quality Report criteria

Rating Services



Proof of Coverage Service



POC Online Inquiry Search

NCCI **POC Online Inquiry**

[MyNCCI](#) | [Log Out](#) [Policy](#) [Provider](#)

Proof of Coverage Search

Select search criteria

Please select either the Advanced Search Option or the Historical Search Option

ADVANCED SEARCH OPTION
Includes Policy Effective Dates for the Most Recent Four Years

State

Insured Name contains these words in any order

Policy Effective Date (mm/dd/yyyy) Thru

OR

HISTORICAL SEARCH OPTION
Includes All Policy Effective Dates

Insured Name starts with

Policy Number

FEIN

Coverage Provider ID

Policy Effective Date (mm/dd/yyyy) Thru

	Pol Nbr/ Insured Name	Cov Prvdr ID	Pol Eff Dt	State	FEIN	Pol Status
1	DCAPRODUCTION	99990	1/1/2008	UT	550816951	A ?
	DCA TESTING					
End of Records						
Return to Top of Page						

POC Online Inquiry Detail Screen

NCCI POC Online Inquiry

MyNCCI | Log Out | Policy | Provider | Help

Policy and Cov Provider | [Names and Addresses](#) | [Names](#) | [Addresses](#) | [Canc/Reinst/Non-Renew](#) | [Transaction History](#)

Pol Nbr: DCAPRODUCTION Cov Prvdr ID: 99990 Pol Eff Dt: 01/01/08 Pol Exp Dt: 01/01/09
 Primary Insured Name: DCA TESTING Primary Insured FEIN: 550816951

Orig Pol Nbr: Orig Cov Prvdr ID: Orig Pol Eff Dt:


	Primary Insured Address	Coverage Provider
Cov Prvdr ID-Cov Prvdr Name:		99990-NCCI INC
Street:	123 MAIN ST	901 PENINSULA CORPORATE CIRCLE
City:	BANGOR	BOCA RATON
State:	ME	FL
Zip Code:	044016309	33487
Group ID:		99990
Branch:		000

Orig Pol Rcvd Dt:	04/10/08	Orig POC Rcvd Dt:	Last Rcvd Dt:	04/10/08	Last Activity Dt:	04/10/08
Prior Pol Nbr:	GOODNESTBP					
State Cov Prvdr:	-					
State Eff Dt:	01/01/08	State Exp Dt:				
Gov Class:	5190					
Legal Nature of Insured:	03 - CORPORATION					
Wrap Up Pol:	2 - NON-WRAP-UP POLICY					
Employee Leasing Code:	1 - NON-EMPLOYEE LEASING POLICY					
Pol Type Plan:	01 - VOLUNTARY POLICY					
Pol Type Cvr:	01 - STANDARD WORKERS' COMPENSATION POLICY					

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CVS Search Screen (Sample)

[New Search](#)
[Previous Search](#)
[Help](#)



west virginia
OFFICES OF THE
INSURANCE
COMMISSIONER

Workers' Compensation Insurance Coverage Validation

Select Search Criteria



Employer Name	<input type="text"/>	<input checked="" type="radio"/> Contains <input type="radio"/> Starts With
Coverage/Injury/Illness Date (mm/dd/yyyy)	<input type="text"/>	<input type="text"/> (Default = Today's Date)
OR		
Federal Employer Identification Number	<input type="text"/>	
Coverage/Injury/Illness Date(mm/dd/yyyy)	<input type="text"/>	<input type="text"/> (Default = Today's Date)
<input type="button" value="Search"/> <input type="button" value="Reset"/>		

Limitation of Information

Information contained in the Coverage Validation System database is a representative reflection of selected information maintained by the West Virginia Offices of the Insurance Commissioner and is used for specific workers' compensation coverage verification. Information pertaining to self-insured employers, clients of employee leasing firms and exempt employers is also included in this database. Clients of employee leasing firms may appear under the "employer" designation, but may not be the actual employer nor have a contract of insurance with a carrier. This designation may indicate that the leased employees working for the client have coverage through an employee leasing firm rather than through the lessee. There may be discrepancies in the information provided due to causes outside the control of the West Virginia Offices of the Insurance Commissioner, such as omissions, inaccuracies, reporting delays, and recording delays.

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CVS Result Screen (Sample)

[New Search](#)
[Previous Search](#)
[Help](#)

Workers' Compensation Insurance Coverage Validation

Select Search Criteria

Employer Name

Contains Starts With

Coverage/Injury/Illness Date (mm/dd/yyyy)

(Default = Today's Date)

OR

Federal Employer Identification Number

Coverage/Injury/Illness Date(mm/dd/yyyy)

(Default = Today's Date)

[<< Previous](#)
[Next >>](#)

Policy Number	Employer Name
WC1003185805	PT PLEASANT DAIRY QUEEN

Policy Number: WC1003185805
Coverage/Injury/Illness Date: 04/16/2009

Workers' Compensation Insurance Coverage Provider

BRICKSTREET MUTUAL INS CO

Filter to refine name and address results

Employer Name:

City:

Zip Code:

Show All Names

☐

[<< Previous](#)
[Next >>](#)

Employer Name	Street Address	City	State	Zip
PT PLEASANT DAIRY QUEEN	2208 JACKSON AVE	POINT PLEASANT	WV	255502004

Thank you for viewing the presentation on NCCI's Data Collection and Management Services

- For questions or additional information,
please contact our Customer Service Center at:
- - 800-NCCI-123
- - customer_service@ncci.com
- - Online form at ncci.com

