Data Manager Dashboard

User's Guide

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Data Manager Dashboard Overview

- ***Data Manager Dashboard*** is a Web-based application
- Those involved with data reporting (data reporters, management, etc.) can benefit from this tool
- It allows you to view both summarized and detailed information on the data you report to NCCI for the following data types:
  - Policy
  - Unit Statistical
  - Detailed Claim Information (DCI)
  - Financial
  - Pool
- ***Data Manager Dashboard*** enables you to monitor your data reporting timeliness and quality performance for the above data types, in addition to the Compliance Programs
- ***Data Manager Dashboard*** is a read-only tool; therefore, no updates can be made to your data via this tool
- The information displayed is refreshed daily, with the following exceptions:
  - (Unit) Validation Edits are updated in real time
  - Financial data is updated in real time
  - Pool Data is updated in real time
  - ***Data Quality Incentive Program (DQIP), Regulator Exception Program***, and ***Carrier Data Quality Report Program (Carrier Report Card)*** are updated monthly
  - ***ADQIP*** is updated in real time

Benefits

- ***Data Manager Dashboard*** is a valuable source of information because it provides a summarized view of data reporting performance at the Carrier Group level—categorized by timeliness, quality, and monetary incentives, enabling you to easily focus on actionable areas
- It enables you to manage your data reporting more efficiently by targeting areas that need improvement and by providing more detailed information on these specific areas
- The data is refreshed daily (with exceptions noted above), so you can view the most up-to-date results of your data reporting timeliness and quality and monitor the prior day's submission results

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Key Features

- You can view data reporting statistics on:
  - Data Availability
  - Reject and Edit Information
  - Data Submission Tracking Results

- You can monitor Data Quality Program Results for:
  - ***Data Quality Incentive Program (DQIP)***—Policy, Unit, Validation Edits, and DCI
  - ***Aggregate Data Quality Incentive Program (ADQIP)***—Financial
  - ***Regulator Exception Program***—Unit
  - ***Carrier Report Card***—Financial, Unit, Policy, DCI, and Medical

- You can drill down to key field information directly from the screens.

- ***Data Manager Dashboard*** is FREE!

Settings and Technical Specifications

- Minimum required resolution is 1280 x 1024 (compatible for tablet usage)

- ***Data Manager Dashboard*** has been developed to be mobile-friendly and tablet-friendly

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Data Manager Dashboard Sitemap

Summary	Policy	Unit	DCI	Financial	Medical	Pool	Indemnity	Compliance	Tools and Information	About
Key Indicators	**Timeliness**	**Timeliness**	**Timeliness**	**Timeliness**	***(Link)***	***(Link)***	***(Link)***	**DQIP**	***(Links)***	***(Link)***
Compliance - DQIP Factors - DQIP Assessments - ADQIP Credits & Assessments **Report Card** - 5 Year Industry Results Summary - Available Report Cards **Medical** - Addendum Results - Assessment Results **Proof of Coverage** - Average Reject Rates **Outstanding Rejects and Edits** - Policy, Unit and DCI	**Policies Received** **State Coverage** **Cancellations** **Reinstatements** **Nonrenewals**	1st through 10th Report	**First Valuations** **Subsequent Valuations**	Calls Received	Links to ***Medical Data Collection*** tool	Links to ***Pool Financial Data Collection*** tool	Links to ***Indemnity Data Collection*** tool	**DQIP Results** **Policy Summary** **Unit Summary** **Validation Summay** **DCI Summary**	***Data Manager Dashboard*** State Matrix ***DCI Data Collection*** ***Financial Data Collection*** ***Policy Data Collection*** ***Pool Financial Data Collection*** ***Unit Data Collection***	Links to NCCI.com information about ***Data Manager Dashboard***
Residual Market	**Quality**	**Quality**	**Quality**	**Quality**				**Regulator Exception**		
Assigned Risk Policy Timeliness Assigned Risk Binder Tracking Outstanding Noncompliance Transactions Pool Financial Data Quarterly Results	**Rejects** - Outstanding - Historical **Edits** - Outstanding - Historical **Proof of Coverage** - Reject Rates - Unmatched CNBs **Noncompliance** - Outstanding Noncompliance Transactions **Assigned Risk** - Assigned Risk Binder Tracking	**Rejects** - Outstanding - Historical **Edits** - Outstanding - Historical **Unmatched Units** **1st Reports Not Audited** **Validation** - Outstanding - Compliance Trending - Historical	Outstanding Historical	Quality Summary				**Preview** **Report**		
Linking Verification								**ADQIP**		
Unit Claim Linking Unit Key Field Verification								**Timeliness** **Quality** **Appeals**		
								Carrier Report Card		
								Available Report Cards		

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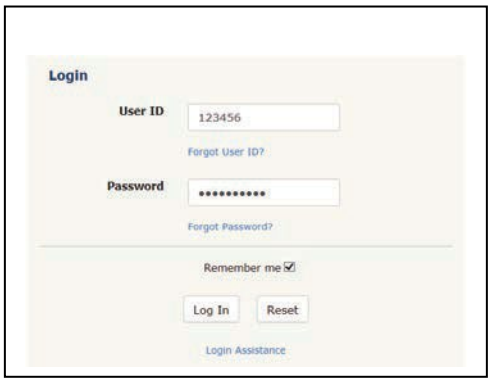
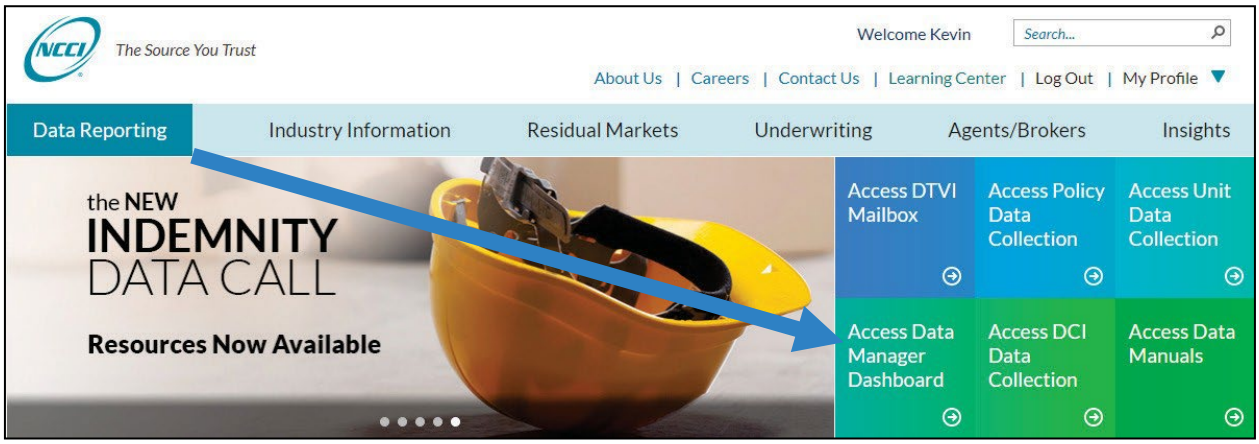
Logging In

- To receive access, contact NCCI's Customer Service Center at 800-**NCCI**-123
- Enter your **User ID** and **Password** in the NCCI Login box via **ncci.com**



- Click **Data Reporting** and then the **Access Data Manager Dashboard** tile



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Useful Tool Features

- At the top of the screen is the NCCI logo. Click this logo to go to **ncci.com**.
- If you want to go back to the Summary page, regardless of what screen you are on within the tool, click the main title, ***Data Manager Dashboard***, next to the logo.
- To perform a search within **ncci.com**, type key words into the **Search ncci.com** text box at the top right of the screen; then click the **magnifying glass icon** next to it.
- Click the **Show me how** videos for quick tutorials on how to use the screen:
  - Show me how
- Within the gray area at the bottom of the screen, there are three sections:
  - **NCCI**
    - **NCCI Homepage** link takes you to the home page on **ncci.com**
    - **Contact Us** link enables you to contact the Customer Service Center
  - **Quick Links**
    - Data Transfer via the Internet
    - Data Reporting
    - Manuals Library
    - Data Manager Dashboard Webinars
  - **Legal**
    - Disclaimer

Main Menu Features

Information and data can be easily accessed using the main menu options displayed horizontally below the **Data Manager Dashboard** title. The options include:

- Summary (default page providing summarized timeliness, quality, and Compliance Program information at the Carrier Group level)
- Policy*
- Unit*
- DCI*
- Financial*
- Medical (takes you to the ***Medical Data Collection*** tool)
- Indemnity (takes you to the ***Indemnity Data Collection*** tool)
- Pool (takes you to the ***Pool Financial Data Collection*** tool)
- Compliance:
  - ***Data Quality Incentive Program (DQIP)***
  - ***Regulator Exception Program***
  - ***Aggregate Data Quality Incentive Program (ADQIP)***

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- ***Carrier Report Card***
- Tools and Information:
  - ***Data Manager Dashboard*** State Matrix
  - ***DCI Data Collection***
  - ***Financial Data Collection***
  - ***Policy Data Collection***
  - ***Unit Data Collection***
- About (takes you to information about the tool on **ncci.com**)

*Provides timeliness and quality performance information at both the summarized and detail levels.

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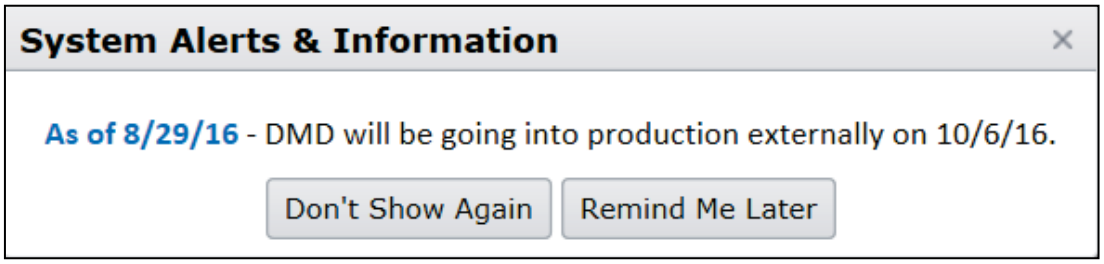
Alerts

Here is an example of what an alert may look like:



If you select **Don't Show Again**, you will not see this alert ever again. If you select **Remind Me Later**, you will see this alert every time you log in to the tool, until NCCI decides to remove it.

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Summary Pages Overview

Upon logging into ***Data Manager Dashboard***, you will arrive at the Summary page. This is the first option in the main menu of the tool. There are three tabs to select:

- **Key Indicators** (the default tab)
- **Residual Market**
- **Linking/Verification**

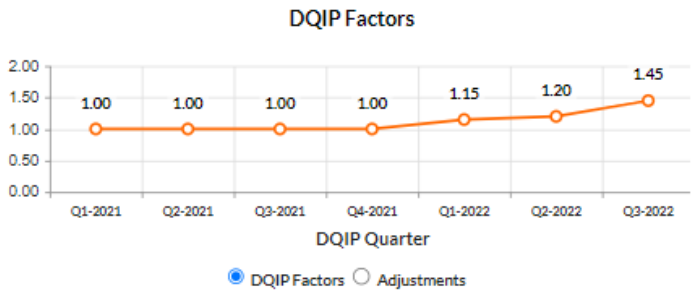
Below is the Key Indicators screen:

Key Indicators | Residual Market | Linking/Verification

Show me how

09/19/2022 02:07 PM

Compliance



DQIP Assessments			
Invoice Year	Data Collection Fee	Adjustment	Total
*2022	$14,868	$0	$14,868
2021	$83,275	$0	$83,275

*DQIP Evaluation Date 08/01/2022

ADQIP Credits and Assessments for Reporting Group 33391				
Valuation	Timeliness	Quality	Credits	Total
2021	$919,260	$0	*(Pending)	$919,260.00
2020	$0	$100	$0.00	$100.00

*Credits will be displayed when invoiced in November

Report Card 5 Year Industry Results Summary

	Policy		Unit		DCI			Financial			
Available Report Cards	Received Within 60 Days	Grade	Available on Time	Grade	18 Month Valuations on Time	>18 Month Valuations on Time	Grade	Avg Days Late	Grade	Avg Fined Edits	Grade
2022 Monthly	99.6%	A	98.3%	A	72.2%	50%	FAIL	96.9	F	0	PASS
2021 Final	99.6%	A	99.1%	A	100%	99.5%	PASS	0	A	0	PASS

Percent Count

Medical

Year	Category	Criteria	4Q 2021	1Q 2022	2Q 2022	3Q 2022	Grade
2022	Completeness	On Time	✗	✗			N/A
	Quality	Passing	✓	✓			N/A
Year	Category	Criteria	4Q 2020	1Q 2021	2Q 2021	3Q 2021	Grade
2021	Completeness	On Time	✗	✗	✗	✗	FAIL
	Quality	Passing	✓	✓	✓	✓	PASS

Addendum Assessment

Proof of Coverage

Month/ Year	Avg Reject Rate for All States	Nbr of States Above 5% Threshold
Sep 2022	N/A	N/A
Oct 2021 - Sep 2022	0.5%	2

Outstanding Rejects and Edits

Data Grade	Policy	Unit	DCI
DG 9	160	17	82
DG 8	149	N/A	N/A
DG 7	7	N/A	N/A
DG 6	76	N/A	N/A
DG 5	N/A	42	N/A
Validation (DG 2)	N/A	157	N/A

Indemnity

Year	Category	Criteria	4Q 2021	1Q 2022	2Q 2022	3Q 2022	Grade
2022	Completeness	On Time	✗	✗			N/A
	Quality	Passing	✗	✗			N/A

Addendum Assessment

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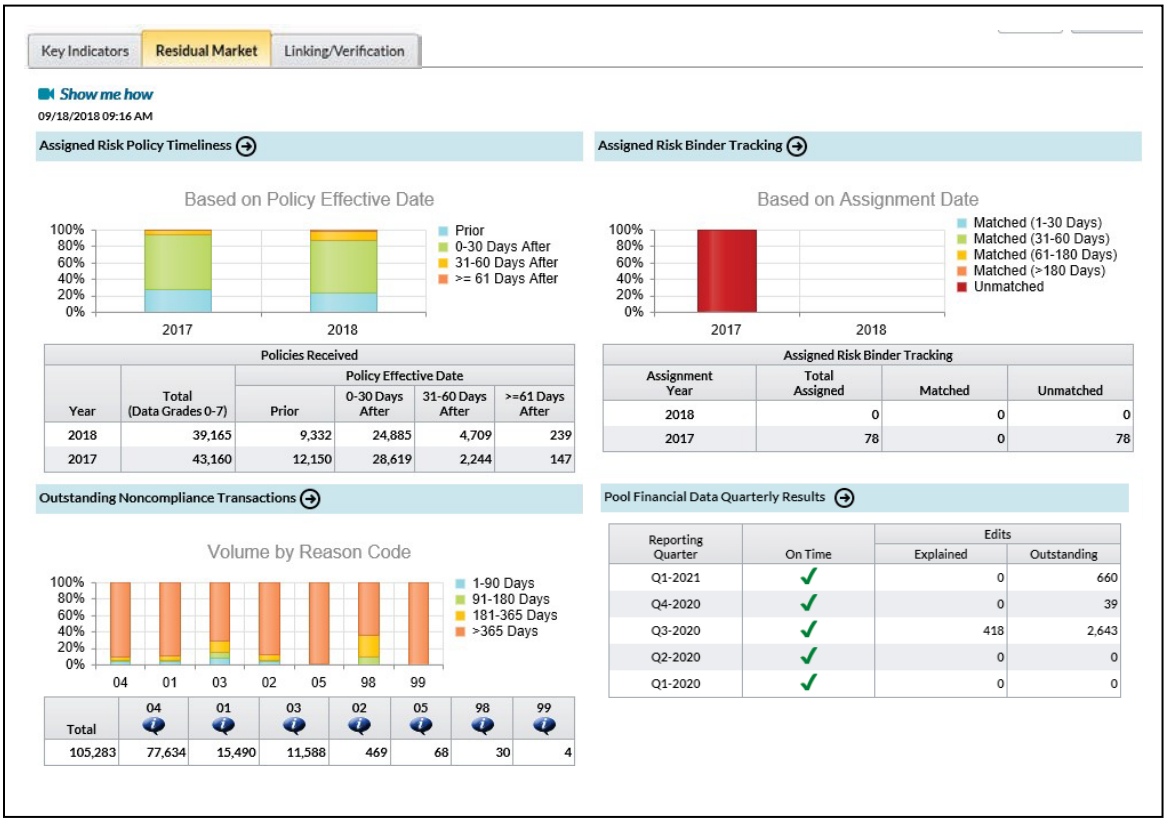
Below is the Residual Market screen:



Policies Received					
		Policy Effective Date			
Year	Total (Data Grades 0-7)	Prior	0-30 Days After	31-60 Days After	>=61 Days After
2018	39,165	9,332	24,885	4,709	239
2017	43,160	12,150	28,619	2,244	147

Assigned Risk Binder Tracking			
Assignment Year	Total Assigned	Matched	Unmatched
2018	0	0	0
2017	78	0	78

Total	04	01	03	02	05	98	99
105,283	77,634	15,490	11,588	469	68	30	4

Reporting Quarter	On Time	Edits	
		Explained	Outstanding
Q1-2021	✓	0	660
Q4-2020	✓	0	39
Q3-2020	✓	418	2,643
Q2-2020	✓	0	0
Q1-2020	✓	0	0

Both screens summarize timeliness and quality performance at the Carrier Group level. In addition, the screens' colorful features assist you in focusing on any data reporting area that needs additional attention.

Each section links to other screens within the tool, which enables you to get more detailed information on specific areas of interest.

The date and time at the top of the screen is real-time. This assists in identifying the point in time the information was displayed in case you want to compare it to another date or time. Note that it does not represent when the data was refreshed on the screen. Each section on the screen provides data refreshed at different times, based upon the applicable data type or program.

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Below is the Linking/Verification screen:

Key Indicators | Residual Market | Linking/Verification

For informational purposes only. Please contact your validator before making any changes to your data.

Unit Claim Linking						
Data Type	Data Refreshed	Received	Linked (%)	Unlinked	Policy Effective Date Range	Linking Parameters
DCI	01/17/2021	300	16	252	01/01/2017 - 04/30/2019	Carrier Group/Code, Policy Nbr, Pol Eff Dt, Claim Nbr, Jurisdiction State, Accident Dt, Loss Cond Cov Code
Financial-31 Large Loss	03/21/2021	144	0	144	03/01/2017 - 06/30/2019	Carrier Group, Policy Nbr, Pol Eff Dt, Claim Nbr, State, Accident Dt
Financial-33 VA Coal Mine	No Data Found					
Pool	03/21/2021	435	0	435	03/01/2017 - 06/30/2019	Carrier Code, Policy Nbr, Pol Eff Dt, Claim Nbr, State, Accident Dt

Unit Key Field Verification						
Data Type	Data Refreshed	Received	Matched (%)	Unmatched	Policy Effective Date Range	Verification Parameters
Indemnity	03/22/2021	29,457	84.84	4,465	03/01/2017 - 06/30/2019	Carrier Code, Policy Nbr, Pol Eff Dt, Claim Nbr
Medical	No Data Found					

1. Unit Claim Linking - Generates claim linkages on a defined schedule between the source data and unit data.
2. Unit Key Field Verification - Verifies reported key fields between the source data and unit data on receipt.

This screen provides access to the Unit Claim Linking and Unit Key Field Verification grids. The Unit Claim grid compares DCI data, Financial Calls 31 and 33, and Pool Data to unit claim data. The Unit Key Field Verification Grid compares Indemnity and Medical Records to Unit Claim Data. These grids display the data at the Carrier Group level.

If you see results on this screen, or if you have questions about the results, you should work with your DCI, Financial, Pool, Medical, or Indemnity validator to discuss and evaluate if any further action is needed. This a view-only screen; you cannot make any updates from this view.

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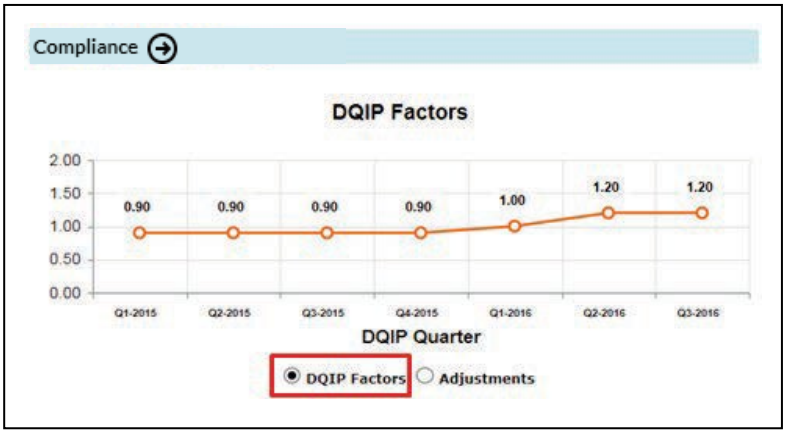
Summary Page—Key Indicators

This default screen provides the following information:

- **Compliance**
  - DQIP Factors
  - DQIP Assessments
  - ADQIP Assessments
- **Report Card**
  - 5 Year Industry Results Summary
  - Available ***Carrier Report Cards***
- **Medical**
  - Addendum
  - Assessments
- **Proof of Coverage**
  - Reject Rates
- **Outstanding Rejects and Edits**
  - Policy
  - Unit
  - DCI
- **Indemnity**
  - Addendum
  - Assessments

Compliance—DQIP Factors



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There are two DQIP Factors views that can be changed using the radio buttons below the graph:

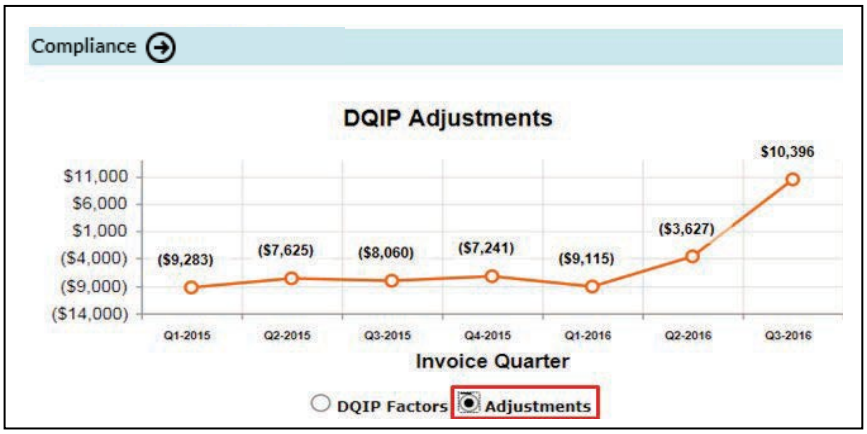
- DQIP Factors (default)
- Adjustments

The DQIP Factors view displays a trending view of quarterly DQIP Factors for the most recent year and full prior year if there is existing data. Once a quarter is closed and the DQIP Factor is calculated, this **Applied** DQIP Factor will appear on this graph. If the current quarter is still open, you will see the **Trending** DQIP Factor displayed. The most quarters you will see are eight. The quarters are displayed from left to right (earliest to current).

You can gauge your performance by viewing the orange line. An upward trend identifies an increase in monetary impact, while a downward trend identifies a decrease in monetary impact, as follows:

- A credit DQIP Factor is < 1.00 and indicates a credit (savings) to your Carrier Group's data collection fees by multiplying the fees by the factor.
- A unity DQIP Factor is 1.00. This makes no dollar adjustment to your fees.
- A debit DQIP Factor is > 1.00 and indicates a debit (surcharge) to your Carrier Group's data collection fees by multiplying the fees by the factor.

Data collection fees are displayed on your monthly invoices. Once a quarter is closed and the DQIP Factor is calculated, this factor will be applied to the next three months of invoices. To view the monetary adjustment, click **Adjustments**, and you will see the savings or surcharge resulting from your DQIP Factor performance.



If the current quarter is currently open, you will see your most recent invoice adjustments for the one-month or two-month time frame accrued, which will be added together and displayed.

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Compliance—DQIP Assessments

DQIP Assessments			
Invoice Year	Data Collection Fee	Adjustment	Total
*2016	$267,136	($2,346)	$264,790
2015	$322,086	($32,209)	$289,877

*DQIP Evaluation Date 09/01/2016

At the top right of this screen, you'll see DQIP Assessments information.

The left column displays the **Invoice Year**. This consists of the full completed prior year on the bottom row and the current month-to-date information on the top row, which is as of the most recent DQIP Evaluation Date, which is run monthly.

The next column, **Data Collection Fee**, displays the fees invoiced for the previously mentioned time frames. The **Adjustment** column next to it displays the same savings (in parentheses) or surcharges displayed in the DQIP Factors Adjustments graph.

The Total column displays the results of the Data Collection Fee less the Adjustment amount. This is the actual amount that the Carrier Group will be paying in assessments.

Note that it is important to focus on obtaining a credit DQIP Factor if you don't already have one. By using the DQIP screens under the Compliance main menu option, you can focus on which areas are causing concern and generate detailed information from the screen to assist in correcting your data in time for the next monthly ***DQIP*** run.

For more information on ***DQIP***, refer to the ***Data Quality Guidebook*** (available at **ncci.com**).

Compliance—ADQIP Assessments

ADQIP Assessments for Reporting Group 33912			
Valuation	Timeliness	Quality	Total
2015	$3,463,020	$0	$3,463,020
2014	$0	$1,250	$1,250

Below the DQIP Assessments grid is another grid titled **ADQIP Assessments for Reporting Group 33912**. Unlike the other screen components, ***ADQIP*** data is displayed under the Reporting Group and not the Carrier Group, which is why the Reporting Group is noted in the grid title.

On the far left is the **Valuation** column, which includes the most recently completed valuation year, which is 2014 in this example. Above that is the current valuation year, 2015.

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Next to this column are the **Timeliness** and **Quality** columns, which display the assessment amounts for both valuation years. To get more details for these assessments, click the **circled arrows**, which take you directly to the ADQIP Timeliness or ADQIP Quality screens. Those screens display summarized assessments, and you can drill down further to see more details, such as Call Type, Carrier, and State.

The amounts in the **Total** column are the sum of the Timeliness and Quality assessments for each valuation year.

For more information on ***ADQIP***, refer to the ***Data Quality Guidebook*** (available at **ncci.com**).

Report Card—5 Year Industry Results Summary

Report Card 5 Year Industry Results Summary

	Policy		Unit		DCI			Financial			
Available Report Cards	Received Within 60 Days	Grade	Available on Time	Grade	18 Month Valuations on Time	>18 Month Valuations on Time	Grade	Avg Days Late	Grade	Avg Fined Edits	Grade
2022 Monthly	97.3%	A	94.3%	B	65%	68.6%	FAIL	121	F	0	N/A
2021 Final	95.3%	A	98.8%	A	100%	100%	PASS	0	A	0	PASS

Percent Count

Next to the words "**Report Card**" is a link in blue labeled **5 Year Industry Results Summary**. If you click the link, the following PDF will appear:

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5 Year Carrier Data Quality Report Grade Distributions

(The highlighting indicates where your group's data grade falls within the distribution)

Financial Data Timeliness

	2021		2020		2019		2018		2017	
Grade	Count	%	Count	%	Count	%	Count	%	Count	%
A	270	97.1%	274	96.8%	281	98.9%	268	93.4%	292	99.3%
B	5	1.8%	3	1.1%	2	0.7%	11	3.8%	2	0.7%
C	2	0.7%	3	1.1%	0	0.0%	3	1.0%	0	0.0%
D	1	0.4%	1	0.4%	1	0.4%	3	1.0%	0	0.0%
F	0	0.0%	2	0.7%	0	0.0%	2	0.7%	0	0.0%
Total	**278**		**283**		**284**		**287**		**294**	

Financial Data Quality

	2021		2020		2019		2018		2017	
Grade	Count	%	Count	%	Count	%	Count	%	Count	%
PASS	278	100.0%	277	97.9%	279	98.2%	284	99.0%	293	99.7%
FAIL	0	0.0%	6	2.1%	5	1.8%	3	1.0%	1	0.3%
Total	**278**		**283**		**284**		**287**		**294**	

Unit Statistical Data Availability

	2021		2020		2019		2018		2017	
Grade	Count	%	Count	%	Count	%	Count	%	Count	%
A	225	87.2%	240	91.3%	225	85.6%	229	86.1%	231	86.2%
B	25	9.7%	15	5.7%	21	8.0%	30	11.3%	30	11.2%
C	4	1.6%	3	1.1%	6	2.3%	6	2.3%	4	1.5%
D	0	0.0%	1	0.4%	8	3.0%	1	0.4%	1	0.4%
F	4	1.6%	4	1.5%	3	1.1%	0	0.0%	2	0.7%
Total	**258**		**263**		**263**		**266**		**268**	

Policy Data Timeliness

	2021		2020		2019		2018		2017	
Grade	Count	%	Count	%	Count	%	Count	%	Count	%
A	229	96.2%	221	94.4%	224	93.7%	227	91.5%	226	91.1%
B	4	1.7%	7	3.0%	8	3.3%	13	5.2%	11	4.4%
C	1	0.4%	2	0.9%	4	1.7%	3	1.2%	7	2.8%
D	1	0.4%	0	0.0%	1	0.4%	3	1.2%	2	0.8%
F	3	1.3%	4	1.7%	2	0.8%	2	0.8%	2	0.8%
Total	**238**		**234**		**239**		**248**		**248**	

Detailed Claim Information Timeliness

	2021		2020		2019		2018		2017	
Grade	Count	%	Count	%	Count	%	Count	%	Count	%
PASS	103	99.0%	107	97.3%	109	100.0%	111	100.0%	112	97.4%
FAIL	1	1.0%	3	2.7%	0	0.0%	0	0.0%	3	2.6%
Total	**104**		**110**		**109**		**111**		**115**	

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This PDF shows the industry grade distribution by ***Carrier Report Card*** category for the most recent five years. Gray shading indicates your company's grade, allowing you to compare your results to the industry as a whole.

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The PDF is also included in the Preliminary and Final ***Carrier Report Card*** packages, which are distributed in January and February, respectively.

Below the **5 Year Industry Results Summary** link, you will see ***Carrier Report Card*** information for the most recent two years:

Report Card 5 Year Industry Results Summary

	Policy		Unit		DCI			Financial			
Available Report Cards	Received Within 60 Days	Grade	Available on Time	Grade	18 Month Valuations on Time	>18 Month Valuations on Time	Grade	Avg Days Late	Grade	Avg Fined Edits	Grade
2022 Monthly	95.4%	A	99.8%	A	100%	100%	PASS	0	A	0	PASS
2021 Final	98.4%	A	99.8%	A	100%	99.7%	PASS	0	A	0	PASS

In the above example, if you click the links to the **2022 Monthly** and **2021 Final** ***Carrier Report Cards***, they will appear on your screen in a PDF document for you to view, save, or print. **Note:** To see additional ***Carrier Report Cards***, select the **Compliance** option from the main menu, and then click **Carrier Report Card**, which will display the most recent six available ***Carrier Report Cards***.

	Policy		Unit		DCI			Financial			
Available Report Cards	Received Within 60 Days	Grade	Available on Time	Grade	18 Month Valuations on Time	>18 Month Valuations on Time	Grade	Avg Days Late	Grade	Avg Fined Edits	Grade
2022 Monthly	97.3%	A	94.3%	B	65%	68.6%	FAIL	121	F	0	N/A
2021 Final	95.3%	A	98.8%	A	100%	100%	PASS	0	A	0	PASS

◉ Percent ○ Count

The default view for this section is by **Percent**. It displays the percent achieved for each data type's category. To see the counts behind these percentages, click **Count**.

	Policy		Unit		DCI			Financial			
Available Report Cards	Policies Received	Grade	Units Expected	Grade	18 Month Valuations Expected	>18 Month Valuations Expected	Grade	Expected Calls	Grade	Calls Received	Grade
2022 Monthly	16,546	A	28,224	B	638	946	FAIL	222	F	0	N/A
2021 Final	18,310	A	51,114	A	1,557	9,510	PASS	222	A	222	PASS

○ Percent ◉ Count

Note that when **Count** is selected, not only do you see counts displayed instead of percentages, but the column names change accordingly to reflect the denominator used to obtain the percentages.

For more information on the ***Carrier Report Card***, refer to the ***Data Quality Guidebook*** (available at **ncci.com**).

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Medical—Addendum and Assessments Views

Medical

Year	Category	Criteria	4Q 2015	1Q 2016	2Q 2016	3Q 2016	Grade
2016	Completeness	On Time	✘	✘			N/A
	Quality	Passing	✔	✔			N/A
Year	Category	Criteria	4Q 2014	1Q 2015	2Q 2015	3Q 2015	Grade
2015	Completeness	On Time	✔	✔	✘	✘	FAIL
	Quality	Passing	✔	✔	✔	✘	PASS

◉ Addendum ○ Assessment

Below the Carrier Report Card row, you will see the section name "**Medical**." This section provides information on the most recent Medical Addendum and Assessments. To obtain more detailed information, click the **circled arrow** next to the word "**Medical**," which will take you directly to the ***Medical Data Collection*** tool's Incentive Program screen.

The Medical Addendum is produced annually and distributed with the Preliminary and Final ***Carrier Report Cards***. The information you see on the screen is updated on a quarterly basis, upon the closing of a quarter. This assists you in receiving more up-to-date data rather than waiting a year for the Addendum to be produced to see your performance.

For more information on the Medical Addendum and Assessments, refer to the ***Data Quality Guidebook*** (available at **ncci.com**).

Proof of Coverage—Average Reject Rates

Proof of Coverage

Month/ Year	Avg Reject Rate for All States	Nbr of States Above 5% Threshold
Sep 2022	28.6%	1
Oct 2021 - Sep 2022	8.0%	3

This grid provides information on the percentage of Coverage Notice Binder rejects that a Carrier Group has for **all** states and how many associated states are above a 5% reject threshold. Note that this 5% threshold is for informational purposes only to assist you in assessing the number of rejects and volume of states.

To view more detailed information, click the **circled arrow** to access the **Policy**—**Proof of Coverage**—**Average Reject Rates by State** grid. This grid displays the same data; however, it is broken down by individual states and months.

Note that this grid displays the reject data by the current month (updated daily) on the top row, in comparison to the most recent 11 months plus the current month on the bottom row.

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An ideal view of this grid would be to see 0.0% as an average reject rate for all states, along with zero states exceeding the 5% threshold.

Outstanding Rejects and Edits

This grid displays the volume of outstanding Data Grades for the Policy, Unit Statistical, and DCI data types. These counts are updated daily.

Outstanding Rejects and Edits

Data Grade	Policy	Unit	DCI
DG 9	2,933	3	173
DG 8	402	N/A	N/A
DG 7	98	N/A	N/A
DG 6	468	N/A	N/A
DG 5	N/A	9	N/A
Validation	N/A	55	N/A

The purpose of this grid is to view the volume of reject edits and unavailable edits, which prevent your data from being used in products and services.

- For Policy Data:
  - Reject edits are Data Grades 8 and 9.
  - Edits making data unavailable are Data Grades 6 and 7. Note that unavailable policies impact ***DQIP*** results, so it is extremely important to monitor this grid.
- For Unit Statistical Data:
  - Reject edits are Data Grade 9s.
  - Edits making data unavailable are Data Grade 5s. Like for Policy Data, these will impact DQIP results, so be sure to focus on these.
  - Validation is specific to validation edit conditions. These can also impact your ***DQIP*** results.
- For DCI data:
  - Reject edits are Data Grade 9s. It is important to focus on these edits, correct the data, and resubmit it.

If a particular data type does not have the associated Data Grade displayed in the left column, you will see N/A within the field.

To get the details behind the counts for all edits, click the **circled arrow** for the applicable data type. This takes you to the default Reject screen for that data type, where you can monitor the rejects. To view the outstanding edits, click the **Edits** tab.

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This chart is extremely valuable in determining the volume of outstanding unavailable data and why a Carrier Group may not be achieving a debit DQIP Factor each quarter. It is important to focus on rejected and unavailable data to improve your data reporting performance.

Indemnity—Addendum and Assessments Views

Indemnity

Year	Category	Criteria	4Q 2021	1Q 2022	2Q 2022	3Q 2022	Grade
2022	Completeness	On Time	✗	✗			N/A
	Quality	Passing	✗	✗			N/A

◉ Addendum ○ Assessment

At the bottom of the screen, you will see the section name "**Indemnity**." This section provides information on the most recent Indemnity Addendum and Assessments. To obtain more detailed information, click the **circled arrow** next to the word "**Indemnity**," which will take you directly to the ***Indemnity Data Collection*** tool's Incentive Program screen.

The Indemnity Addendum is produced annually and distributed with the Preliminary and Final ***Carrier Report Cards***. The information you see on the screen is updated on a quarterly basis, upon the closing of a quarter. This assists you in receiving more up-to-date data rather than waiting a year for the Addendum to be produced to see your performance.

For more information on the Indemnity Addendum and Assessments, refer to the ***Data Quality Guidebook*** (available at **ncci.com**).

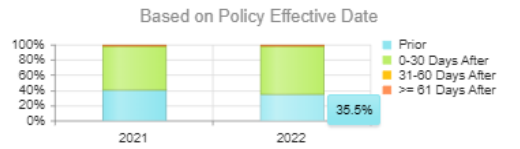
Summary Page—Residual Market

Upon selecting the **Residual Market** tab next to the **Key Indicators** tab on the Summary screen, you'll see the following information specifically provided for the Assigned Risk market:

- Assigned Risk Policy Timeliness
- Assigned Risk Binder Tracking
- Outstanding Noncompliance Transactions
- Pool Financial Data Quarterly Results

Assigned Risk Policy Timeliness

Assigned Risk Policy Timeliness



Policies Received					
		Policy Effective Date			
Year	Total (Data Grades 0-7)	Prior	0-30 Days After	31-60 Days After	>=61 Days After
2022	26,804	9,506	17,011	216	71
2021	37,984	15,577	22,012	274	121

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This grid provides the most recent full prior year and current year-to-date policy timeliness information for Assigned Risk policies only. It displays the timeliness results, that is, how quickly policies have been received in relation to their Policy Effective Date. You can see the four ranges above:

- Prior to Policy Effective Date
- 0–30 Days After
- 31–60 Days After
- >=61 Days After

Above the grid is a chart displaying the same information. If you click one of the timeliness categories in the legend on the right, that category disappears from the chart so you can visually focus on the remaining categories. You can click multiple categories at a time.

In addition, if you hover over a selected color on the bar, the percentage for that category appears. For example, if you hover over the 0–30 Days After section in green, you can see that 35.5% of Assigned Risk policies were received between 0 and 30 days after the Policy Effective Date in 2022.

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You can find more detailed information on these policies by selecting the **Policy** option on the main menu and then selecting **Assigned Risk** from the Policy View. A faster way to access this grid is to click the **circled arrow** in the section title.

Assigned Risk Binder Tracking

Assigned Risk Binder Tracking

Assignment Year	Total Assigned	Matched	Unmatched
2022	2,653	2,626	27
2021	7,801	7,732	69

This grid provides the most recent full prior year and current year-to-date counts of Assigned Risk binders that were assigned, are matched to policies, and currently remain unmatched to policies.

Above the grid is a chart displaying the same information. If you click one of the categories in the legend on the right, that category will disappear from the chart so you can visually focus on the remaining categories. You can click multiple categories at a time. In addition, if you hover over a selected color on the bar, the percentage for that category will appear. For example, if you hover over the unmatched section in red, you can see that 1% of the Assigned Risk binders remain unmatched to policies in 2022.

You can find detailed information on these unmatched binders by selecting the **Policy** option on the main menu and then selecting **Quality—Assigned Risk**. A faster way to access this grid is to click the **circled arrow** in the section title.

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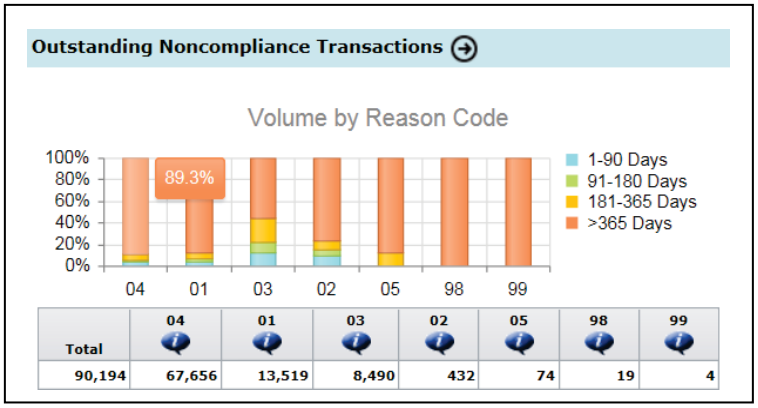
Outstanding Noncompliance Transactions



This grid provides the volume of outstanding noncompliance transactions by reason code and displays the volume from left to right by highest to lowest counts, with the total number of outstanding transactions displayed on the far left.

Below each reason code are information buttons. Upon clicking each of these, you will see the reason code description:

Above the grid is a chart displaying the same information, only broken out by how long the transactions have been noncompliant:

- 1–90 Days
- 91–180 Days
- 181–365 Days
- >365 Days

If you click one of the timeliness categories in the legend on the right, that category will disappear from the chart so you can visually focus on the remaining categories. You can click multiple categories at a time. In addition, if you hover over a selected color on the bar, the percentage for that category will appear. For example, if you hover over the >365 Days section in orange for Reason Code 04, you can see that 89.3% of transactions are currently noncompliant for this reason code.

You can find more detailed information on these transactions by selecting the **Policy** option on the main menu and then selecting **Quality—Noncompliance**. A faster way to access this grid is to click the **circled arrow** in the section title.

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Pool Financial Data Quarterly Results:

Pool Financial Data Quarterly Results

Reporting Quarter	On Time	Edits	
		Explained	Outstanding
Q1-2021	✓	0	660
Q4-2020	✓	0	39
Q3-2020	✓	418	2,643
Q2-2020	✓	0	0
Q1-2020	✓	0	0

This grid provides two components:

- Pool financial data timeliness by Reporting Quarter
- Explained and Outstanding Edits

On Time:

For closed quarters, you will either see a green check mark, indicating that all the Pool Data types were received on time, or a red X, indicating that one or more of the Pool Data types were not received on time. As Pool Data is reported by Carrier, this grid combines the timeliness and quality results for all Carriers within the Carrier Group.

For the current quarter, if data has not yet been received and the current date is the same as or prior to the due date, the field will display a green check mark. The green check mark will remain until the due date. If the Pool Data is not authorized by the due date, the check mark will turn red, to indicate a late pool submission.

Edits:

This part of the grid displays the counts of Explained and Outstanding edits by Reporting Quarter. Note that outstanding edits for closed quarters cannot be corrected.

The counts result from summarizing the edits for all Carriers within the Reporting Group.

You can get additional details on the results provided in this grid by accessing the ***Pool Financial Data Collection*** tool. You can access the tool two ways: 1) by selecting the Pool option on the main menu, or 2) by clicking the circled arrow in the title section of this grid.

Summary Page—Linking/Verification

Upon selecting the **Linking/ Verification** tab next to the **Residual Market** tab on the Summary screen, you'll see the following grids:

- Unit Claim Linking
- Unit Key Field Verification

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Unit Claim Linking

Unit Claim Linking						
Data Type	Data Refreshed	Received	Linked (%)	Unlinked	Policy Effective Date Range	Linking Parameters
DCI	01/17/2021	300	16	252	01/01/2017 - 04/30/2019	Carrier Group/Code, Policy Nbr, Pol Eff Dt, Claim Nbr, Jurisdiction State, Accident Dt, Loss Cond Cov Code
Financial-31 Large Loss	03/21/2021	144	0	144	03/01/2017 - 06/30/2019	Carrier Group, Policy Nbr, Pol Eff Dt, Claim Nbr, State, Accident Dt
Financial-33 VA Coal Mine	No Data Found					
Pool	03/21/2021	435	0	435	03/01/2017 - 06/30/2019	Carrier Code, Policy Nbr, Pol Eff Dt, Claim Nbr, State, Accident Dt

This grid provides claim linking information for DCI data, Financial Calls 31 and 33, and Pool Data.

- **Data Type**—Identifies which data type the parameters and results came from.
- **Data Refreshed**—Displays the last time data was updated. Linking is updated on a scheduled basis depending on the data type.
- **Received**—Displays the number of claims received and used to link.
- **Linked (%)**—Displays the percentage of claims that are linked.
- **Unlinked**—Displays the number of claims that did not link and provides hyperlinks to view claim details.
- **Policy Effective Date Range**—Displays the range of policy effective dates used to link.
- **Linking Parameters**—Displays the data elements that are used to link.

Clicking on one of the hyperlinks in the Unlinked column displays Unlinked Claim details.

DCI Unlinked Claim Details:

DCI - 45856 - NCCI TRAINING CO

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DCI Unlinked Claims as of 01/17/2021 06:12 AM							
Policy Effective Date Range: 1/1/2017 - 4/30/2019							
Carrier Code	Policy Nbr	Policy Eff Dt	Claim Nbr	Jurisdiction State	Accident Dt	Accident State	Loss Cond Cov Code
45856	WC17509102	06/10/2016	E1397071	WV-47	07/06/2016	WV-47	
45856	WC47010701	04/01/2016	J1397072	WV-47	06/02/2016	WV-47	
45856	WC62863407	03/19/2016	E1397073	WV-47	06/02/2016	WV-47	
45856	WC30472009	02/13/2016	W1397074	WV-47	06/30/2016	WV-47	
45856	**WC22426383**	02/11/2016	**J1397075**	WV-47	04/05/2016	WV-47	
45856	WC69586550	01/13/2016	J1397076	WV-47	07/11/2016	WV-47	
45856	WC50695550	01/01/2016	C1397077	WV-47	03/03/2016	WV-47	
45856	WC92539901	01/01/2016	C1397078	WV-47	06/23/2016	WV-47	
45856	WC08498815	01/01/2016	E1397079	WV-47	04/11/2016	WV-47	
45856	WC81237456	01/01/2016	E1397080	WV-47	06/20/2016	WV-47	
45856	WC20605044	10/18/2015	E1397081	WV-47	04/01/2016	WV-47	

1. Carrier Group, Carrier Code, Policy Nbr, Pol Eff Dt, Claim Nbr, Jurisdiction State, Accident Dt, Loss Cond Cov Code fields are used for linking.
2. Bold red fields could not be used for linking.

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- Information is displayed by Carrier Group
- Data is refreshed quarterly
- Policy Effective Dates are between 21 and 48 months old
- Parameters used to link DCI data to Unit Statistical Data plus Accident State are displayed
- Bold red fields identify reported data elements that did not link to Unit Statistical Data

Financial Calls 31/33 Unlinked Claim Details:

Financial-31 Large Loss - 45856 - NCCI TRAINING CO

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Financial-31 Large Loss Unlinked Claims as of 03/21/2021 06:10 AM

For Reporting Group 45856

Policy Effective Date Range: 3/1/2017 - 6/30/2019

Policy Nbr	Policy Eff Dt	Claim Nbr	State	Accident Dt
2175091230	**01/01/2019**	**C1397071**	**CO-05**	**12/01/2019**
6470410790	**01/01/2019**	**C1397072**	**CO-05**	**12/01/2019**
9202866347	10/01/2018	**C1397073**	KS-15	10/04/2018
6883047209	09/28/2018	**C1397074**	KS-15	10/16/2018
9022426383	09/05/2018	**C1397075**	KS-15	11/21/2018
5069586550	09/01/2018	**C1397076**	WV-47	11/25/2018
5069586550	08/02/2018	**C1397077**	AZ-02	09/12/2018
6792539901	07/17/2018	**C1397078**	TX-42	09/29/2018
4608498815	07/06/2018	**C1397079**	KS-15	07/10/2018
6881237456	07/01/2018	**C1397080**	CT-06	08/02/2018

1. Carrier Group, Policy Nbr, Pol Eff Dt, Claim Nbr, State, Accident Dt fields are used for linking.
2. Bold red fields could not be used for linking.

- Information is displayed by carrier group
- Data is refreshed twice monthly:
  - January–March, the previous valuation year is used
  - April–December, the current valuation year is used
- Policy Effective Dates used are between 21 and 48 months old
- Parameters used to link Financial data to Unit Statistical Data are displayed
- Bold red fields identify reported data elements that did not link to Unit Statistical Data

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Pool Unlinked Claim Details:

Pool - 45856 - NCCI TRAINING CO

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Pool Unlinked Claims as of 03/21/2021 06:09 AM

Policy Effective Date Range: 3/1/2017 - 6/30/2019

Carrier Code	Policy Nbr	Policy Eff Dt	Claim Nbr	State	Accident Dt
45856	7175091230	06/26/2019	C1397081	GA-10	09/27/2019
45856	7470410790	06/16/2019	C1397082	VT-44	08/23/2019
45856	7202866347	06/13/2019	C1397083	NC-32	01/17/2020
45856	7883047209	06/09/2019	C1397084	NC-32	02/28/2020
45856	7022426383	06/06/2019	C1397085	GA-10	06/14/2019
45856	**7069586550**	**01/01/2016**	**C1397086**	**WV-47**	**03/06/2018**
45856	7069586550	05/21/2019	C1397087	TN-41	05/06/2020
45856	7792539901	05/09/2019	C1397088	AZ-02	01/06/2020
45856	7608498815	05/08/2019	C1397089	TN-41	02/13/2020
45856	7881237456	05/04/2019	C1397080	KS-15	03/20/2020
45856	7120605044	04/11/2019	C1397091	NC-32	01/15/2020

1. Carrier Code, Policy Nbr, Pol Eff Dt, Claim Nbr, State, Accident Dt fields are used for linking.
2. Bold red fields could not be used for linking.

- Information is displayed by carrier group
- Data is refreshed quarterly
- Policy Effective Dates are between 21 and 48 months old
- Parameters used to link Pool Data to Unit Statistical Data are displayed
- Bold red fields identify reported data elements that did not link to Unit Statistical Data

Unit Key Field Verification Grid

Unit Key Field Verification

Data Type	Data Refreshed	Received	Matched (%)	Unmatched	Policy Effective Date Range	Verification Parameters
Indemnity	02/15/2021	27,768	98.9	305	02/01/2017 - 05/31/2019	Carrier Code, Policy Nbr, Pol Eff Dt, Claim Nbr
Medical	01/28/2021	269,230	98.7	3,465	01/01/2017 - 04/30/2019	Carrier Code, Policy Nbr, Pol Eff Dt, Claim Nbr

This grid provides information for Indemnity and Medical records.

Data Type—Identifies which data type the parameters and results came from.

Note: Hyperlinks provide access to the Key Field Verification screens in the ***Indemnity Data Collection*** tool or ***Medical Data Collection*** tool.

Data Refreshed—Displays the last time data was updated. Information is updated each time a file is submitted.

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Received—Displays the number of claims received for the data type.
Matched (%)—Displays the percentage of claims that are matched.
Unmatched—Displays the number of claims that are not matched.
Policy Effective Date Range—Displays the range of Policy Effective Dates used to match.
Verification Parameters—Displays the key fields that are used for matching.

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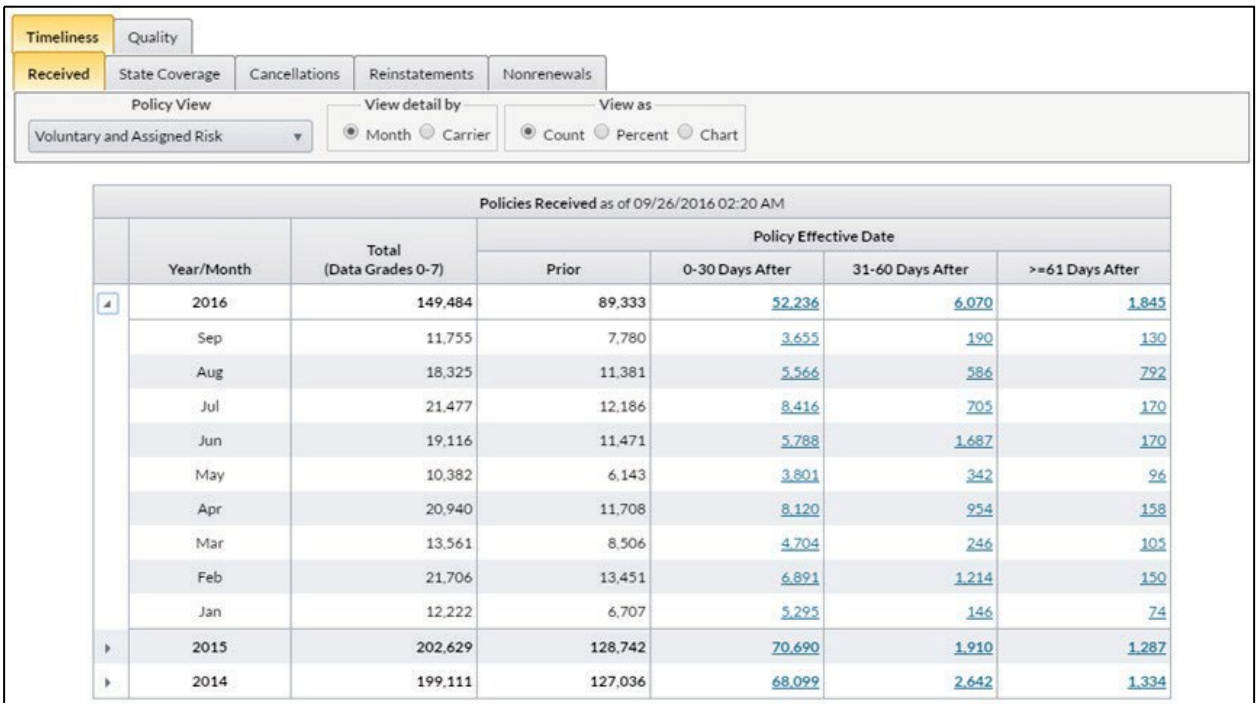
Policy Data Overview

Accessing Policy Data

On the main menu, select the **Policy** option.

The Timeliness screen appears:



Policies Received as of 09/26/2016 02:20 AM

Year/Month	Total (Data Grades 0-7)	Policy Effective Date			
		Prior	0-30 Days After	31-60 Days After	>=61 Days After
2016	149,484	89,333	52,236	6,070	1,845
Sep	11,755	7,780	3,655	190	130
Aug	18,325	11,381	5,566	586	792
Jul	21,477	12,186	8,416	705	170
Jun	19,116	11,471	5,788	1,687	170
May	10,382	6,143	3,801	342	96
Apr	20,940	11,708	8,120	954	158
Mar	13,561	8,506	4,704	246	105
Feb	21,706	13,451	6,891	1,214	150
Jan	12,222	6,707	5,295	146	74
2015	202,629	128,742	70,690	1,910	1,287
2014	199,111	127,036	68,099	2,642	1,334

There are two main folder options within the Policy section:

- Timeliness
- Quality

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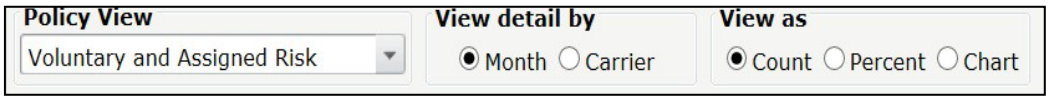
General Screen Functionality

- All grids (i.e., charts) for Policy Data display the date and time that the data was last refreshed. This information is viewable within the grid title:

Policies Received as of 10/23/2015 03:01 AM

This refresh occurs daily, Monday through Sunday.

Selection Options

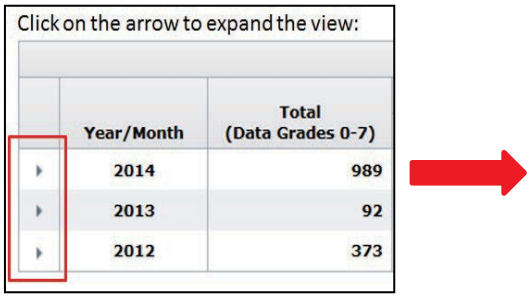


- **Policy View:** Select a Policy View from the filter. This could change the columns within the grid and/or associated data on your screen.

- **View detail by:** Select various ways to view your data. You could select options such as Month, Carrier, or State, depending on which grid you are viewing.

- **View as:** Select which format to view your data—Count (default), Percent, or Chart. Again, different options are available, depending on which grid you are viewing.

- **Expand/Collapse Functionality** (not available for all grids): Click the arrows on a summary row to view your data at a more detailed level. For example, you can expand upon a summary row for a year to see the data broken out by the associated months for that year. Click the arrows again to view the data as a "collapsed" view with summarized yearly totals.



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How to Print Data on Your Screen

- The **Print** button enables you to print all viewable data on the screen into an HTML document:

How to Drill Down to Detailed/Key Field Data

Policy Effective Date			
Prior	0-30 Days After	31-60 Days After	>=61 Days After
146	391	299	153
1	4	0	87
46	133	25	169

Click on value

- Click a hyperlink value within a grid to access detailed/key information.

- For values **< 1,000**, options provided are:
  - View a list of data on your screen (this list will display the same number of rows as the value you clicked on)
  - Sort the data within this list
  - Print the data within this list
  - Download the data within the list directly to a CSV file

- For values **>= 1,000**, options provided are:
  - View a partial list of data containing the first 1,000 rows of data
    - Sort the data within this partial list
    - Print the 1,000 rows of data
    - Download the entire data set directly to a CSV file
  - Download the entire data set directly to a CSV file (the number of rows of data displayed in the CSV file will match the value you clicked on in the grid)
  - Cancel and return to the screen you were on

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Timeliness

Selecting the **Policy** option on the main menu takes you to the Timeliness screen by default.

The Timeliness section has five subfolder options:

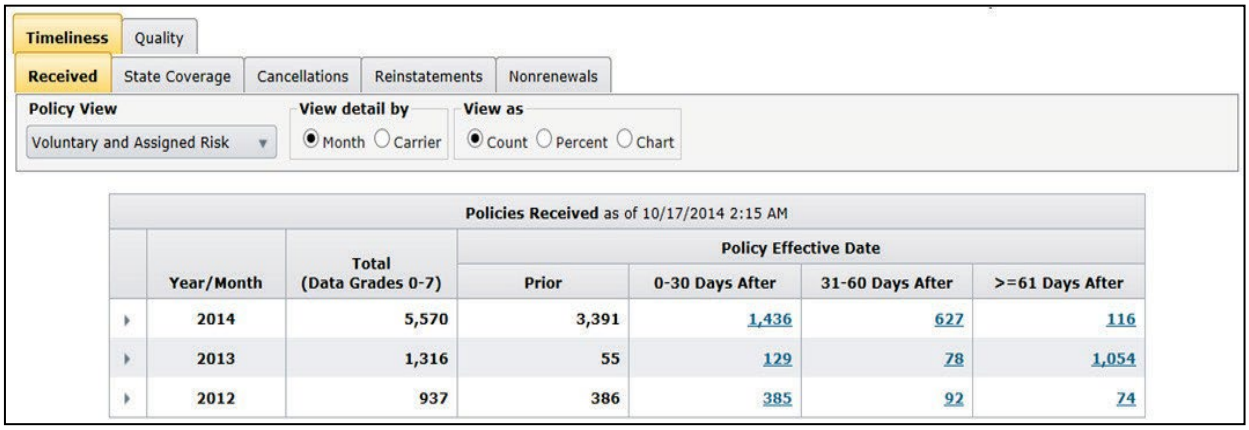
- Received (default subfolder highlighted in orange)
- State Coverage
- Cancellations
- Reinstatements
- Nonrenewals

Policies Received

Policy View

- There are four different views to select from:
  - Voluntary and Assigned Risk (default)
  - Voluntary
  - Assigned Risk
  - Policies Subject to ***DQIP***

Policy View—Voluntary and Assigned Risk



Policies Received as of 10/17/2014 2:15 AM

Year/Month	Total (Data Grades 0-7)	Policy Effective Date			
		Prior	0-30 Days After	31-60 Days After	>=61 Days After
2014	5,570	3,391	1,436	627	116
2013	1,316	55	129	78	1,054
2012	937	386	385	92	74

- This grid shows you the timeliness of policies received in relation to their Policy Effective Date.

- The results are allocated to one of the following categories:
  - Prior
  - 0–30 Days After
  - 31–60 Days After
  - >=61 Days After

- Detailed key information is available by clicking any value with a hyperlink in the following columns:

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- 0–30 Days After
- 31–60 Days After
- >=61 Days After

- Monitoring policy timeliness will assist you in measuring your performance for:
  - Proof of Coverage (POC) reporting purposes.
  - ***Carrier Report Card***, which, for Policy Data, evaluates reporting performance based on policies received the prior year, and calculates the percent of those policies received within 60 days of the Policy Effective Date. If >= 95% are received within this time frame, you will receive a Grade A.

Data Included—Voluntary and Assigned Risk Views

- Data is aggregated at the Carrier Group level; however, you can view performance by individual Carrier, using the View detail by **Carrier** option.

- Counts include data for establishing transactions only and include Coverage Notice/Binders (CNBs) received. **Note:** The received date of a CNB will be used in the timeliness calculation if it was received prior to the policy it matches to.

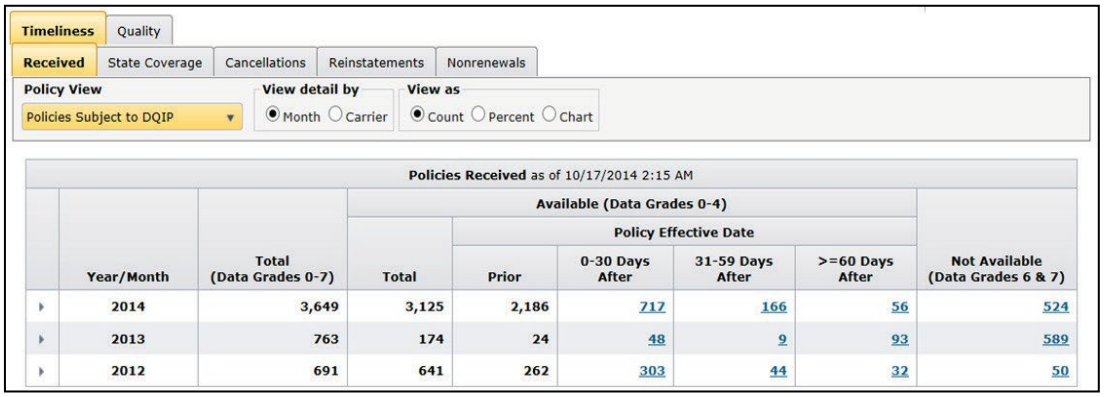
- This grid captures policies received for the current year-to-date and two prior years.

- Only those policies that have one or more NCCI Data Collection and/or Assigned Risk Plan states on them are included in the grid.

- Counts exclude policies or CNBs cancelled flat.

- To calculate the timeliness for an establishing Assigned Risk policy, the later date of the binder assignment date or the Policy Effective Date is used to compare to the received date of the policy.

Policy View—Policies Subject to DQIP



Policies Received as of 10/17/2014 2:15 AM

Year/Month	Total (Data Grades 0-7)	Available (Data Grades 0-4)					Not Available (Data Grades 6 & 7)
		Total	Policy Effective Date				
			Prior	0-30 Days After	31-59 Days After	>=60 Days After	
2014	3,649	3,125	2,186	717	166	56	524
2013	763	174	24	48	9	93	589
2012	691	641	262	303	44	32	50

This grid shows you the timeliness of policies received in relation to their Policy Effective Date.

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- The results are allocated to one of the following categories:
  - Available (Data Grades 0–4):
    - Prior
    - 0–30 Days After
    - 31–59 Days After (different criteria from the Voluntary and Assigned Risk columns)
    - >=60 Days After (different criteria from the Voluntary and Assigned Risk columns)
  - Not Available (Data Grades 6 and 7)

- Detailed key information is available by clicking any value with a hyperlink in the following columns:
  - 0–30 Days After
  - 31–59 Days After
  - >=60 Days After
  - Not Available (Data Grades 6 and 7)

- Monitoring policy timeliness for **Policies Subject to DQIP** assists you as follows:

  - ***DQIP*** includes only **available** policies. These are policies that have a Data Grade 0–4. This grid provides those policies **not available** (Data Grades 6 and 7) so that you can drill down to the details and edit your data using the ***Policy Data Collection*** tool to eliminate these Data Grades.

  - ***DQIP*** refreshes monthly, so this grid will assist you in monitoring your performance daily to report **available** policies **early** to help receive a credit DQIP Factor. If >=80% of policies are received and available prior to their effective date, you can receive a 5% credit to your incentive factor.

Data Included—Policies Subject to DQIP

- Data is displayed at the Carrier Group level

- This grid captures policies received for the current year-to-date and two prior years

- Only those policies with states that are included in ***DQIP*** are included in this grid

- Counts include Voluntary policies only

- This grid includes counts for policies cancelled flat, pro rata, or short-term

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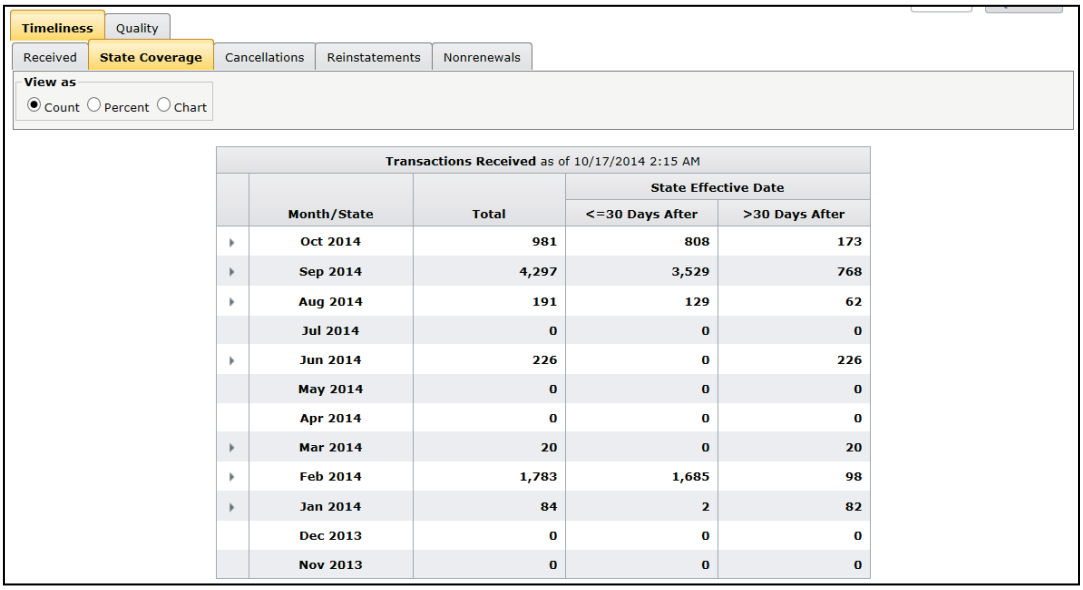
State Coverage



Transactions Received as of 10/17/2014 2:15 AM

Month/State	Total	State Effective Date	
		<=30 Days After	>30 Days After
Oct 2014	981	808	173
Sep 2014	4,297	3,529	768
Aug 2014	191	129	62
Jul 2014	0	0	0
Jun 2014	226	0	226
May 2014	0	0	0
Apr 2014	0	0	0
Mar 2014	20	0	20
Feb 2014	1,783	1,685	98
Jan 2014	84	2	82
Dec 2013	0	0	0
Nov 2013	0	0	0

- This grid shows you how timely state coverage is added by comparing the received date of the transaction adding coverage to the **State Effective Date**

- The results are allocated to one of the following timeliness categories:
  - <=30 Days After (State Effective Date)
  - >30 Days After (State Effective Date)

- Monitoring state coverage timeliness will assist you for POC reporting purposes

Data Included

- Data is aggregated at the Carrier Group level

- Counts include only those transactions received that added state coverage in the current month and 11 prior months

- To view the counts by State for each month, click the expand arrow:

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Transactions Received as of 10/17/2014 2:15 AM

Month/State	Total	State Effective Date <=30 Days After	State Effective Date >30 Days After
Oct 2014	**4**	**4**	**0**
Sep 2014	**182**	**162**	**20**
AL-01	4	3	1
AR-03	3	3	0
FL-09	2	2	0
GA-10	11	9	2
IL-12	2	2	0
IN-13	78	70	8

State View

- Only those transactions adding coverage for a POC state will be included in the grid
- Counts include transactions for both Assigned Risk and Voluntary policies

Cancellations

Timeliness | Quality

Received | State Coverage | Cancellations | Reinstatements | Nonrenewals

View as: Count / Percent / Chart

Cancellations Received as of 10/17/2014 2:15 AM

Month	Total	Cancellations Effective Date >30 Days Prior	Cancellations Effective Date 0-30 Days Prior	Cancellations Effective Date >=1 Day After
Total	**104**	**4**	**19**	**81**
Oct 2014	2	0	2	0
Sep 2014	8	3	2	3
Aug 2014	90	0	14	76
Jul 2014	0	0	0	0
Jun 2014	2	1	1	0
May 2014	0	0	0	0
Apr 2014	0	0	0	0
Mar 2014	2	0	0	2
Feb 2014	0	0	0	0
Jan 2014	0	0	0	0
Dec 2013	0	0	0	0
Nov 2013	0	0	0	0

- This grid shows you how timely cancellation transactions are received by comparing the cancellation transaction's received date to the **Cancellations Effective Date**

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- The results are allocated to one of the following categories:
  - >30 Days Prior
  - 0–30 Days Prior
  - >=1 Day After

- Detailed key information is available by clicking any value with a hyperlink in the following columns:
  - 0–30 Days Prior
  - >=1 Day After

- Monitoring cancellation timeliness will assist you for POC reporting purposes

Data Included

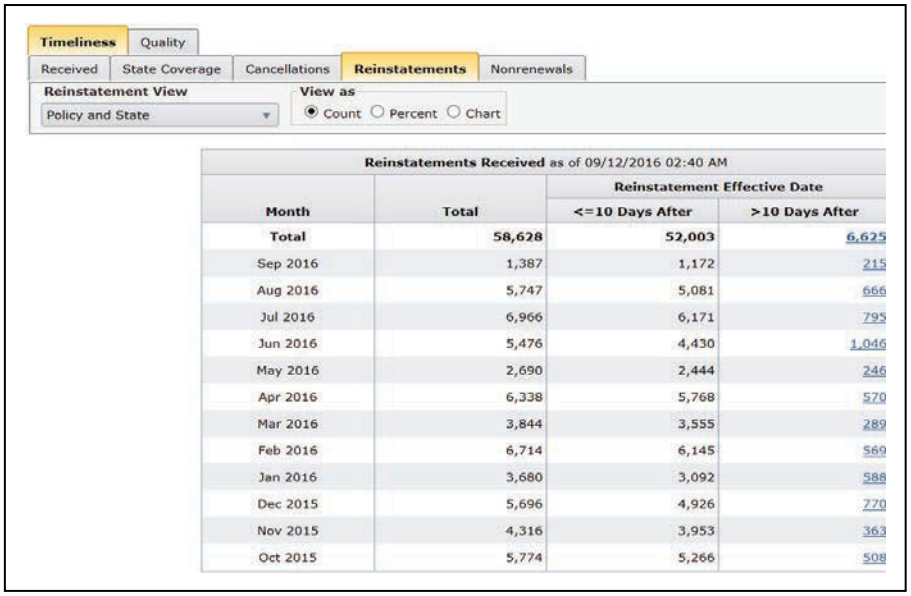
- Data is aggregated at the Carrier Group level

- Counts include only cancellation transactions for the current month and 11 prior months

- Only those cancellation transactions for policies that have a POC state on them at the time of cancellation will be included in the grid

- Transactions for both Assigned Risk and Voluntary policies are included

Reinstatements



Reinstatements Received as of 09/12/2016 02:40 AM

Month	Total	Reinstatement Effective Date	
		<=10 Days After	>10 Days After
Total	**58,628**	**52,003**	**6,625**
Sep 2016	1,387	1,172	215
Aug 2016	5,747	5,081	666
Jul 2016	6,966	6,171	795
Jun 2016	5,476	4,430	1,046
May 2016	2,690	2,444	246
Apr 2016	6,338	5,768	570
Mar 2016	3,844	3,555	289
Feb 2016	6,714	6,145	569
Jan 2016	3,680	3,092	588
Dec 2015	5,696	4,926	770
Nov 2015	4,316	3,953	363
Oct 2015	5,774	5,266	508

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- This grid shows you how timely reinstatement transactions are received by comparing the reinstatement transaction's received date to the **Reinstatement Effective Date**. There are three views to select from:
  - Policy and State (a combined view)—displays reinstatement transactions received at both the Policy and State levels
  - Policy—displays reinstatement transactions at the Policy level
  - State—displays reinstatement transactions at the State level

- The results are allocated to one of the following categories:
  - <=10 Days After
  - >10 Days After

- Detailed key information is available by clicking any value with a hyperlink in the column >10 Days After.

- Monitoring reinstatement timeliness will assist you for POC reporting purposes.

Data Included

- Data is aggregated at the Carrier Group level

- Counts include only reinstatement transactions for the current month and 11 prior months

- Only those reinstatement transactions for policies that have a POC state on them at the time of reinstatement will be included in the grid

- Transactions for both Assigned Risk and Voluntary policies are included

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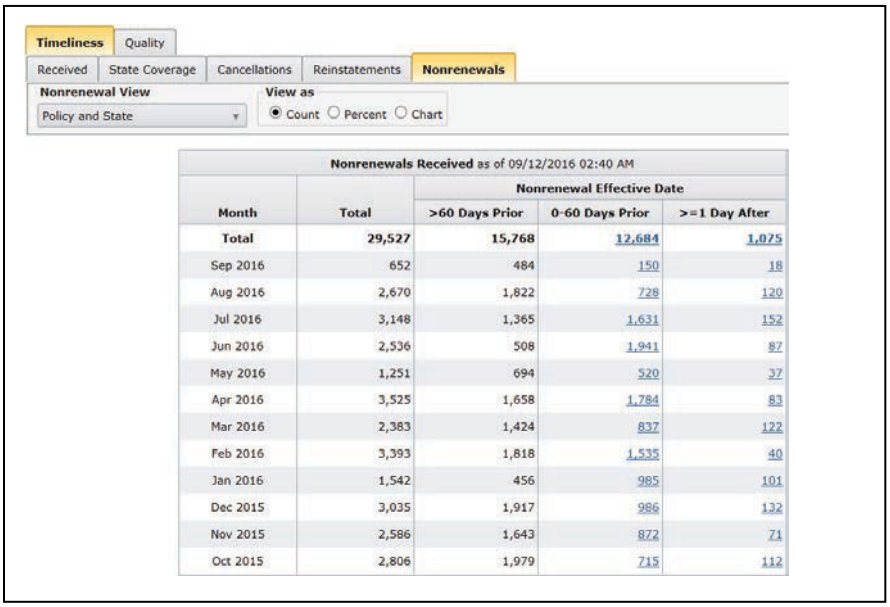
Nonrenewals



Nonrenewals Received as of 09/12/2016 02:40 AM

Month	Total	Nonrenewal Effective Date		
		>60 Days Prior	0-60 Days Prior	>=1 Day After
Total	**29,527**	**15,768**	**12,684**	**1,075**
Sep 2016	652	484	150	18
Aug 2016	2,670	1,822	728	120
Jul 2016	3,148	1,365	1,631	152
Jun 2016	2,536	508	1,941	87
May 2016	1,251	694	520	37
Apr 2016	3,525	1,658	1,784	83
Mar 2016	2,383	1,424	837	122
Feb 2016	3,393	1,818	1,535	40
Jan 2016	1,542	456	985	101
Dec 2015	3,035	1,917	986	132
Nov 2015	2,586	1,643	872	71
Oct 2015	2,806	1,979	715	112

- This grid shows you how timely nonrenewal transactions are received by comparing the nonrenewal transaction's received date to the **Nonrenewal Effective Date**

- There are three views to select from:
  - Policy and State (a combined view)—Displays nonrenewal transactions received at both the Policy and State levels
  - Policy—Displays nonrenewal transactions at the Policy level
  - State—Displays nonrenewal transactions at the State level

- The results are allocated to one of the following categories:
  - > 60 Days Prior
  - 0–60 Days Prior
  - >=1 Day After

- Detailed key information is available by clicking any value with a hyperlink in the following columns:
  - 0–60 Days Prior
  - >=1 Day After

- Monitoring nonrenewal timeliness will assist you for POC reporting purposes

Data Included

- Data is aggregated at the Carrier Group level

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- Counts include only nonrenewal transactions for the current month and 11 prior months
- Only those nonrenewal transactions for policies that have a POC state on them at the time of nonrenewal will be included in the grid
- Transactions for both Assigned Risk and Voluntary policies are included

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Quality

On the main menu, select the **Policy** option.

Click the **Quality** tab that's next to the **Timeliness** tab.

The Quality section has five subfolder options:

- Rejects (default subfolder highlighted in orange)
- Edits
- Proof of Coverage
- Noncompliance
- Assigned Risk Binders

Outstanding Rejects

- This grid displays the number of outstanding Data Grade 8 (Single Record Rejects) and Data Grade 9 (Entire Transaction Rejects) on NCCI's database
- The results are allocated into one column for Data Grade 8 counts and one column for Data Grade 9 counts
- Detailed key information is available by clicking the value in each column if > 0
- Monitoring outstanding rejects will assist you in taking corrective action to clear these rejects and improve your quality and availability of data

Data Included

- Data is aggregated at the Carrier Group level.
- Counts include data processed for the most recent 90 days for Data Grade 9s, and 8 days for Data Grade 8s.

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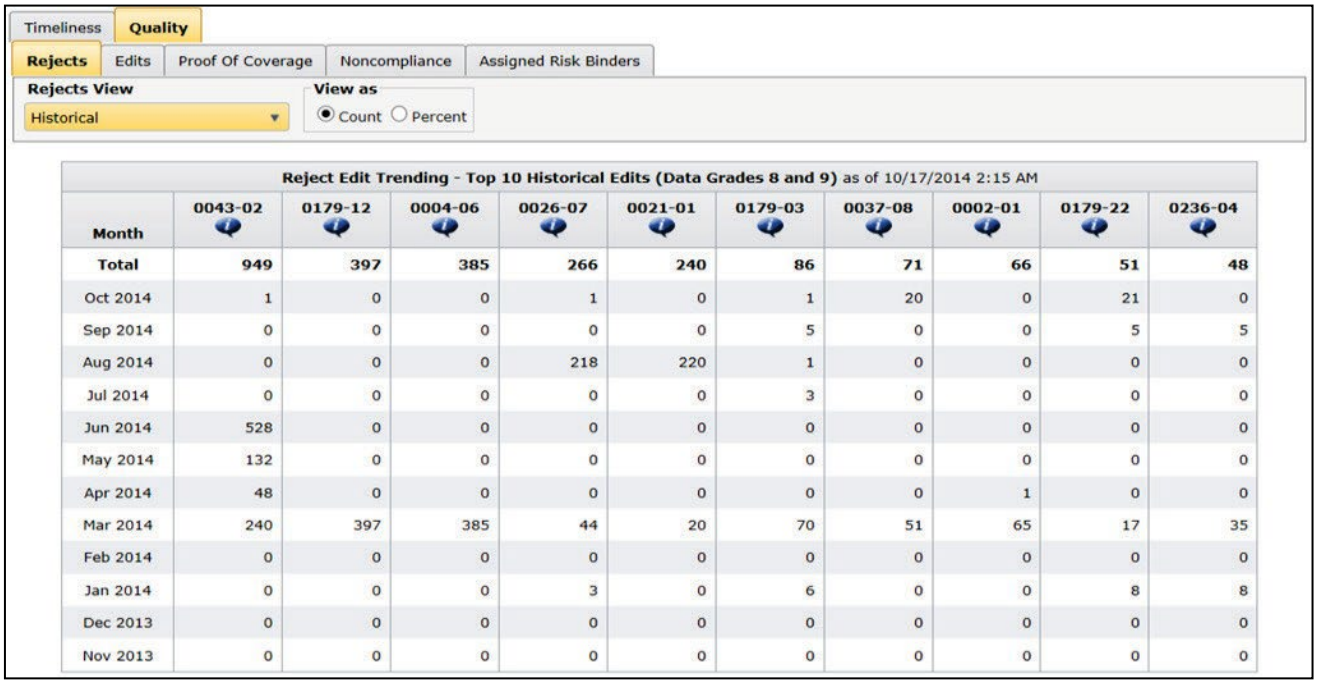
- This grid includes a current (outstanding) view of data only. For example, if a Data Grade 8 or 9 has been deleted or cleared, this data is no longer deemed outstanding and will not be included in this grid.

Historical Rejects



Reject Edit Trending - Top 10 Historical Edits (Data Grades 8 and 9) as of 10/17/2014 2:15 AM

Month	0043-02	0179-12	0004-06	0026-07	0021-01	0179-03	0037-08	0002-01	0179-22	0236-04
Total	949	397	385	266	240	86	71	66	51	48
Oct 2014	1	0	0	1	0	1	20	0	21	0
Sep 2014	0	0	0	0	0	5	0	0	5	5
Aug 2014	0	0	0	218	220	1	0	0	0	0
Jul 2014	0	0	0	0	0	3	0	0	0	0
Jun 2014	528	0	0	0	0	0	0	0	0	0
May 2014	132	0	0	0	0	0	0	0	0	0
Apr 2014	48	0	0	0	0	0	0	1	0	0
Mar 2014	240	397	385	44	20	70	51	65	17	35
Feb 2014	0	0	0	0	0	0	0	0	0	0
Jan 2014	0	0	0	3	0	6	0	0	8	8
Dec 2013	0	0	0	0	0	0	0	0	0	0
Nov 2013	0	0	0	0	0	0	0	0	0	0

- This grid displays the top 10 edit numbers by highest volume (in descending order) for edits received—whether outstanding, corrected, deleted, or deactivated—for the current month and 11 prior months
  - Between 1 and 10 edits will display, depending on how many unique edit numbers have been processed during the time frame of the grid
- The reject edit description can be viewed by clicking the blue callout box
- Monitoring historical rejects can assist you in monitoring data reporting trends by identifying significantly high volumes of edit numbers for a specific month
- Data is aggregated at the Carrier Group level

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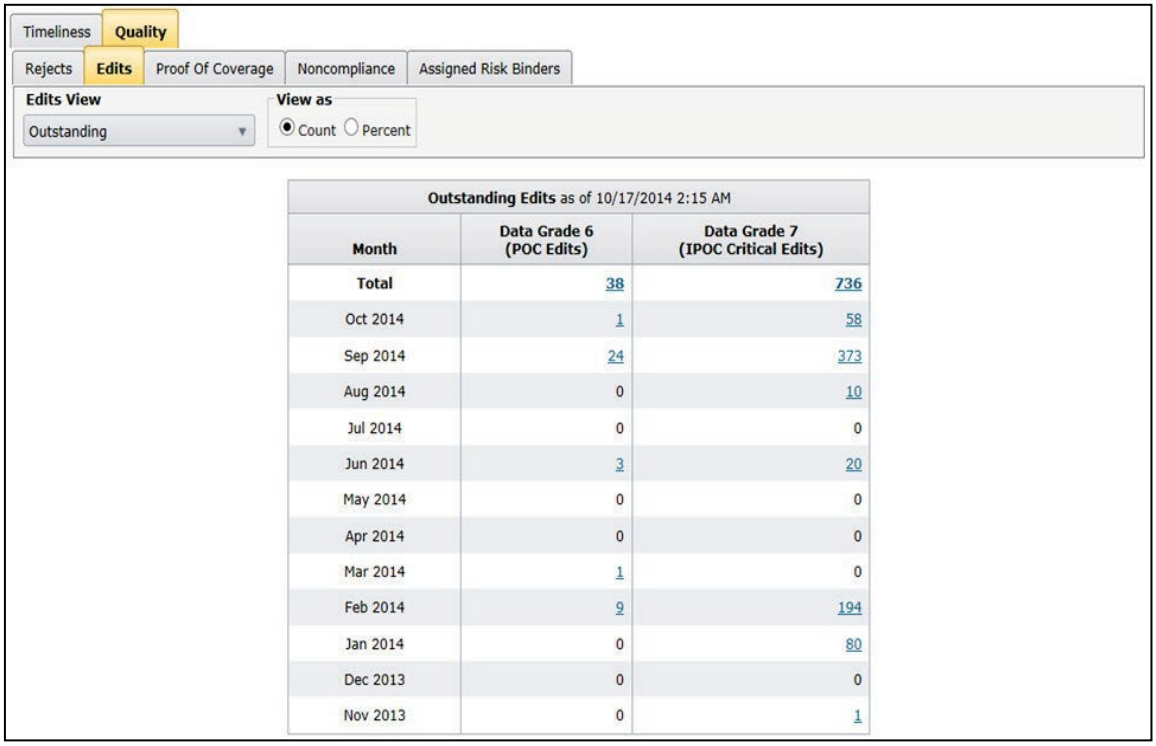
Outstanding Edits



Outstanding Edits as of 10/17/2014 2:15 AM

Month	Data Grade 6 (POC Edits)	Data Grade 7 (IPOC Critical Edits)
Total	38	736
Oct 2014	1	58
Sep 2014	24	373
Aug 2014	0	10
Jul 2014	0	0
Jun 2014	3	20
May 2014	0	0
Apr 2014	0	0
Mar 2014	1	0
Feb 2014	9	194
Jan 2014	0	80
Dec 2013	0	0
Nov 2013	0	1

- This grid displays the number of outstanding Data Grade 6 (POC edits) and Data Grade 7 (IPOC critical edits) on NCCI's database
- The results are allocated into one column for Data Grade 6 counts and one for Data Grade 7 counts
- Detailed key information is available by clicking the value in each column, if > 0
- Monitoring outstanding edits will assist you in taking corrective action to correct these edits and improve your quality and availability of data

Data Included

- Data is aggregated at the Carrier Group level.
- Counts include edits received for the current month and 11 prior months.
- This grid includes a current (outstanding) view of data only. For example, if a Data Grade 6 or 7 has been cleared, this data is no longer deemed outstanding and will not be included in this grid.

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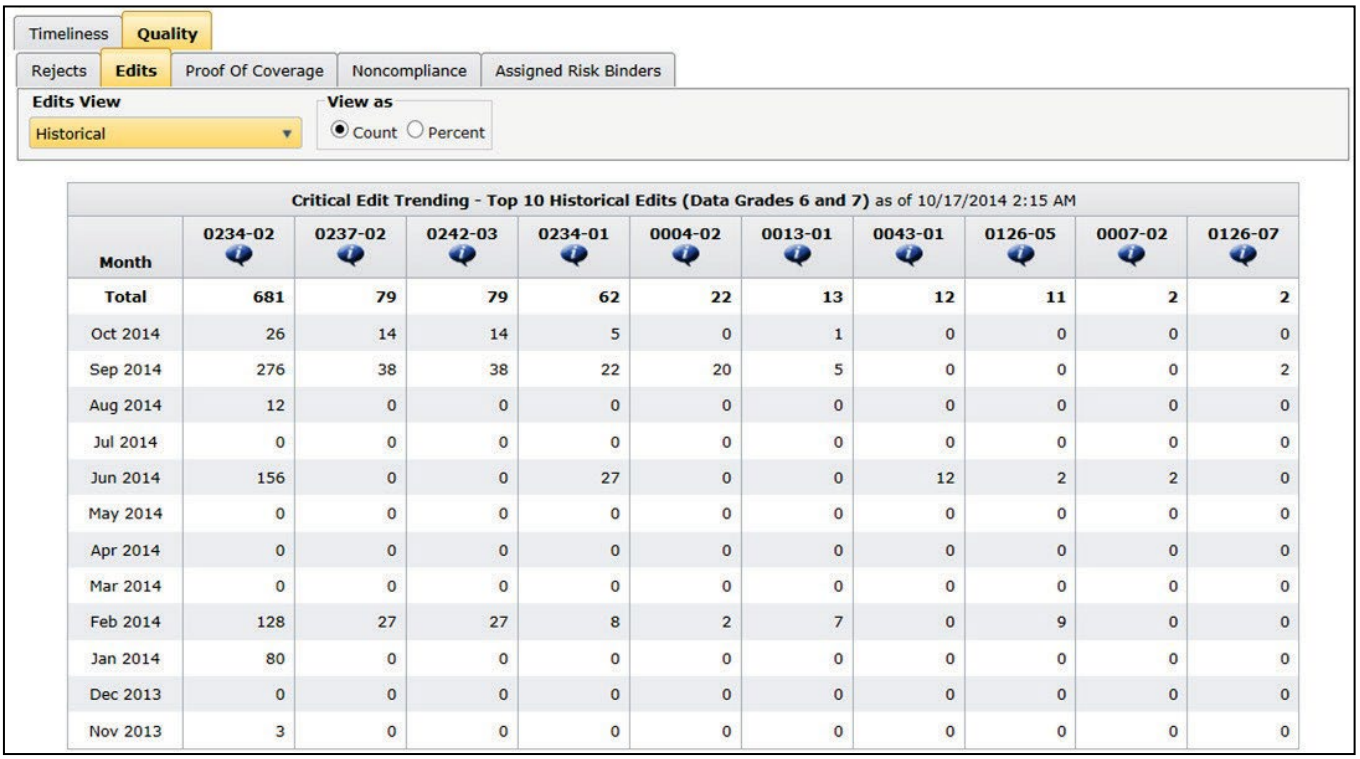
- Only those edits for policies that have one or more NCCI Data Collection states on them are included in the counts.
- Edits for both Assigned Risk and Voluntary policies are included.



Critical Edit Trending - Top 10 Historical Edits (Data Grades 6 and 7) as of 10/17/2014 2:15 AM

Month	0234-02	0237-02	0242-03	0234-01	0004-02	0013-01	0043-01	0126-05	0007-02	0126-07
Total	**681**	**79**	**79**	**62**	**22**	**13**	**12**	**11**	**2**	**2**
Oct 2014	26	14	14	5	0	1	0	0	0	0
Sep 2014	276	38	38	22	20	5	0	0	0	2
Aug 2014	12	0	0	0	0	0	0	0	0	0
Jul 2014	0	0	0	0	0	0	0	0	0	0
Jun 2014	156	0	0	27	0	0	12	2	2	0
May 2014	0	0	0	0	0	0	0	0	0	0
Apr 2014	0	0	0	0	0	0	0	0	0	0
Mar 2014	0	0	0	0	0	0	0	0	0	0
Feb 2014	128	27	27	8	2	7	0	9	0	0
Jan 2014	80	0	0	0	0	0	0	0	0	0
Dec 2013	0	0	0	0	0	0	0	0	0	0
Nov 2013	3	0	0	0	0	0	0	0	0	0

Historical Edits

- This grid displays the top 10 edit numbers by highest volume (in descending order) for edits received—whether outstanding, corrected, or deactivated—for the current month and 11 prior months
  - Between 1 and 10 edits will display, depending on how many unique edit numbers have been processed during the time frame of the grid
- It can assist you in monitoring data reporting trends, by identifying significantly high volumes of edit numbers for a specific month
- Data is aggregated at the Carrier Group level

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Proof of Coverage—Reject Rates by State

Timeliness | Quality

Rejects | Edits | Proof Of Coverage | Noncompliance | Assigned Risk Binders

POC View

Reject Rates

Average Reject Rates by State as of 09/26/2016 02:20 AM

State	Average Reject Rate	Sep 2016	Aug 2016	Jul 2016	Jun 2016	May 2016	Apr 2016	Mar 2016	Feb 2016	Jan 2016	Dec 2015	Nov 2015	Oct 2015
AK-54	1.0%	0.0%	0.0%	N/A	N/A	N/A	N/A	N/A	N/A	0.0%	1.6%	0.7%	1.5%
CO-05	0.0%	N/A	0.0%	N/A	N/A	N/A	N/A	N/A	N/A	0.0%	0.0%	0.0%	0.0%
CT-06	1.5%	0.0%	2.2%	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
FL-09	1.5%	N/A	0.2%	0.0%	N/A	N/A	N/A	N/A	N/A	1.2%	0.6%	0.8%	3.3%
HI-52	0.0%	N/A	0.0%	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A
ID-11	0.0%	0.0%	0.0%	0.0%	N/A	N/A	N/A	N/A	N/A	0.0%	0.0%	0.0%	0.0%
KY-16	4.4%	N/A	0.0%	N/A	N/A	N/A	N/A	N/A	N/A	N/A	4.9%	5.9%	3.4%
ME-18	64.4%	N/A	0.0%	N/A	N/A	N/A	N/A	N/A	N/A	32.4%	54.6%	70.9%	69.4%
MS-23	0.8%	N/A	37.4%	0.0%	N/A	N/A	N/A	N/A	N/A	N/A	0.0%	0.0%	0.0%
MT-25	0.6%	N/A	N/A	0.0%	N/A	N/A	N/A	N/A	N/A	0.0%	0.0%	1.6%	0.4%
NE-26	8.4%	N/A	N/A	0.0%	N/A	N/A	N/A	N/A	N/A	N/A	10.3%	7.9%	7.7%
NM-30	1.3%	0.0%	0.0%	0.0%	N/A	N/A	N/A	N/A	N/A	N/A	2.2%	1.8%	1.6%
NY-31	0.9%	N/A	0.0%	0.0%	N/A	N/A	N/A	N/A	N/A	1.6%	1.1%	1.1%	0.9%
OR-36	0.5%	N/A	0.0%	0.0%	N/A	N/A	N/A	N/A	N/A	0.0%	0.3%	0.6%	0.8%
RI-38	0.4%	N/A	0.0%	N/A	N/A	N/A	N/A	N/A	N/A	0.0%	0.4%	0.3%	0.4%
SC-39	1.7%	N/A	33.3%	38.7%	N/A	N/A	N/A	N/A	N/A	0.7%	0.2%	0.1%	0.1%
TN-41	0.2%	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	N/A	0.2%	0.4%	0.2%
UT-43	28.5%	N/A	0.0%	34.7%	N/A	N/A	N/A	N/A	N/A	24.9%	24.8%	21.4%	42.5%

States displayed are those that receive data in the IAIABC POC format and return errors on the status of the data on their database.
N/A - No data reported

- This grid is the default option for the **POC View** in the gray action bar
- It displays the average reject rates by individual State to view reporting performance by state and potentially assist in identifying data reporting trends
- If no data has been reported for a month, "N/A" displays in the field
- If data has been reported for a month, however, and the state has no rejects, 0.0% displays
- If rejects for a particular month and state >= 5%, they will be highlighted for informational purposes

Data Included

- Data is aggregated at the Carrier Group level
- Counts include data for only those states that acknowledge

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- The transactions included are identified with an acknowledgement code of:
  - TR—rejected
  - TA—accepted
  - TE—accepted with errors

- The grid includes those transactions reported in the current month and 11 prior months

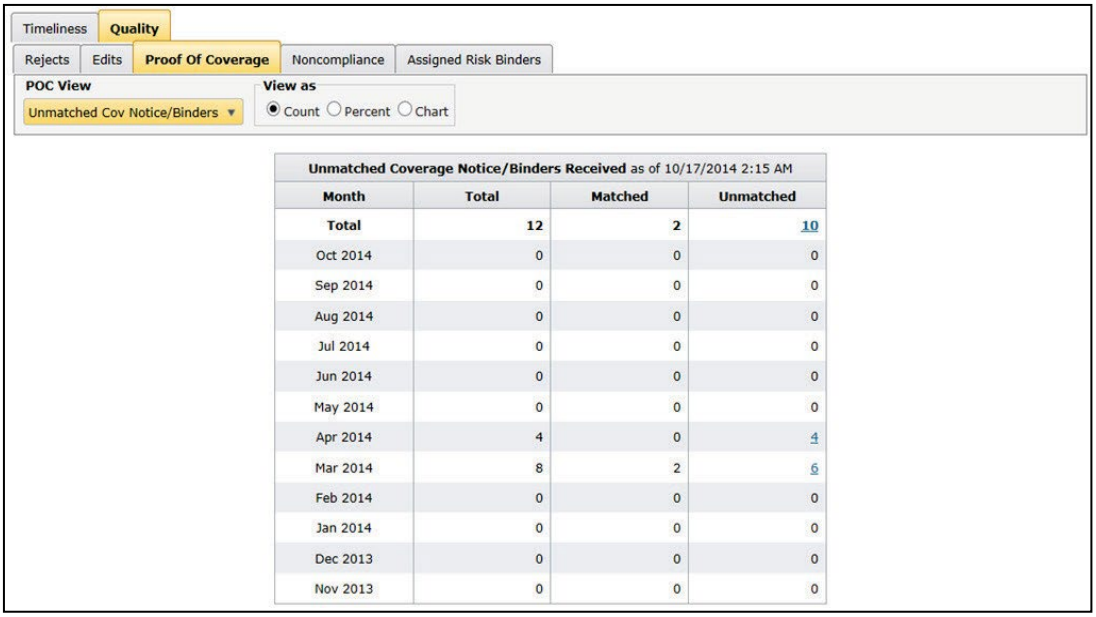
- The Average Reject Rate Calculations are as follows:
  - To calculate the average reject rate **by state** for the entire year:

    Summarize counts of all TA, TR, and TE transactions received for the entire year displayed in the grid, by state. Divide the number of TR transactions received for the same time frame by this sum to obtain the reject rate. Reject Rate = TR / (TA + TE + TR).

  - To calculate the average reject rate by state **by month**:

    Summarize counts of all TA, TR, and TE records for the applicable month, by state, displayed in the grid. Divide the number of TR records received by that sum for the same time frame to obtain the reject rate. Reject Rate = TR / (TA + TE + TR).

Proof of Coverage—Unmatched Proof of Coverage Notices



Unmatched Coverage Notice/Binders Received as of 10/17/2014 2:15 AM

Month	Total	Matched	Unmatched
Total	**12**	**2**	**10**
Oct 2014	0	0	0
Sep 2014	0	0	0
Aug 2014	0	0	0
Jul 2014	0	0	0
Jun 2014	0	0	0
May 2014	0	0	0
Apr 2014	4	0	4
Mar 2014	8	2	6
Feb 2014	0	0	0
Jan 2014	0	0	0
Dec 2013	0	0	0
Nov 2013	0	0	0

- This grid can be accessed by selecting the second option (**Unmatched Cov Notice/Binders**) in the **POC View** on the gray action bar

- It displays those Coverage Notice Binders (CNBs) received that remain unmatched to a policy

- This assists data reporters in identifying whether they need to report the matching policy or make a key field change

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- The results are allocated to one of the following columns:
  - Total (sum of Matched and Unmatched)
  - Matched
  - Unmatched

- Detailed key information is available by clicking any value with a hyperlink in the Unmatched column

Data Included

- Data is aggregated at the Carrier Group level

- Counts include data for POC states only

- The grid includes data reported the current month and 11 prior months

- The data displayed is current. It excludes CNBs cancelled flat

- A **Matched** CNB has a corresponding policy on the database matching on the following key fields:
  - Carrier Code
  - Policy Number
  - Policy Effective Date

- An **Unmatched** CNB does not match on one or more of the above key fields

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Outstanding Noncompliance Transactions

Timeliness | Quality

Rejects | Edits | Proof Of Coverage | Noncompliance | Assigned Risk Binders

Policy View: Voluntary and Assigned Risk

View as: Count Percent Chart

Outstanding Noncompliance Transactions as of 10/17/2014 2:15 AM

Reason Code	Description	Total	1 - 90 Days	91 - 180 Days	181 - 365 Days	>365 Days
	Total	375	0	1	2	372
04	Noncompliance - audit	178	0	0	0	178
01	Nonpayment of amount billed at final audit	165	0	1	0	164
03	Nonpayment - default on payment plan i.e., deposit, installment or endorsement premium	15	0	0	0	15
02	Nonpayment - dispute resolved; debt not paid	6	0	0	2	4
99	Noncompliance - other	6	0	0	0	6
05	Noncompliance - loss control or inspection(s)	5	0	0	0	5
98	Nonpayment - other e.g., nonpayment of claim deductible	0	0	0	0	0

- This grid displays transactions that are currently noncompliant so that you can take action to make them compliant (e.g., by paying outstanding premium due, complying with loss control audits)

- The results are allocated to one of the following categories (displaying the time frame the transaction has been in noncompliance):
  - Total
  - 1–90 Days
  - 91–180 Days
  - 181–365 Days
  - >365 Days

- Detailed key information is available by clicking any value with a hyperlink in all the columns for which data exists

- The grid displays the number of outstanding noncompliance transactions in order from the highest to lowest volume by reason code

- The following reason codes are displayed, even if they have no associated transactions:
  - 01 – Nonpayment of amount billed at final audit
  - 02 – Nonpayment—dispute resolved; debt not paid
  - 03 – Nonpayment—default on payment plan, i.e., deposit, installment, or endorsement premium

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- 04 – Noncompliance—audit
- 05 – Noncompliance—loss control or inspection(s)
- 98 – Nonpayment—other, e.g., nonpayment of claim deductible
- 99 – Noncompliance—other

Note: Multiple reason codes can be included on one noncompliance transaction.

Data Included

- Data is aggregated at the Carrier Group level
- Transactions that are in "noncompliance" are Transaction 17s with Record Type Code = Z1 and a Noncompliance/Compliance Notification Type Code = 1 (Notification of Noncompliance Reason(s))
- Counts include transactions for both Voluntary and Assigned Risk policies, depending on which Policy View option was selected:
  - Voluntary and Assigned Risk (default option)
  - Voluntary
  - Assigned Risk
- Voluntary policies include NCCI Data Collection states. Assigned Risk policies include NCCI Plan Administered Residual Market states only
- This grid excludes transactions for those policies currently cancelled flat

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Assigned Risk Binder Tracking

Timeliness | Quality

Rejects | Edits | Proof Of Coverage | Noncompliance | Assigned Risk Binders

View detail by: Month Carrier State

View as: Count Percent Chart

Assigned Risk Binder Tracking as of 10/17/2014 2:15 AM

Assignment Year/Month	Total Assigned	Matched Within Assignment Date					Unmatched
		1-30 Days	31-60 Days	61-180 Days	>180 Days	Total	
2014	**149**	**0**	**0**	**0**	**0**	**0**	**149**
2013	**1,963**	**1**	**1**	**307**	**79**	**388**	**1,575**
Dec	2	0	0	0	0	0	2
Nov	8	0	0	1	0	1	7
Oct	108	0	0	0	0	0	108
Sep	1,234	0	0	0	1	1	1,233
Aug	1	0	0	0	0	0	1
Jul	221	1	1	0	0	2	219
Jun	59	0	0	59	0	59	0
May	252	0	0	247	0	247	5
Apr	0	0	0	0	0	0	0
Mar	0	0	0	0	0	0	0
Feb	8	0	0	0	8	8	0
Jan	70	0	0	0	70	70	0

- This grid displays the number of assigned risk binders that have been assigned, and whether they are matched or unmatched to a policy
- It can assist you in identifying which policies need to be reported to match to associated binders, or which ones need to be updated (i.e., through a key field change) in order to match to a binder
- There are three ways to view results using the View detail by options: Month, Carrier, or State
- The results are allocated to the following categories:
  - Total Assigned
  - Matched Within Assignment Date:
    - 1–30 Days
    - 31–60 Days
    - 61–180 Days
    - >180 Days
    - Total
  - Unmatched
- Detailed key information is available by clicking any value with a hyperlink in the Unmatched column
- Binders are considered matched to a policy when the policy is reported with the assigned binder number and processes without errors

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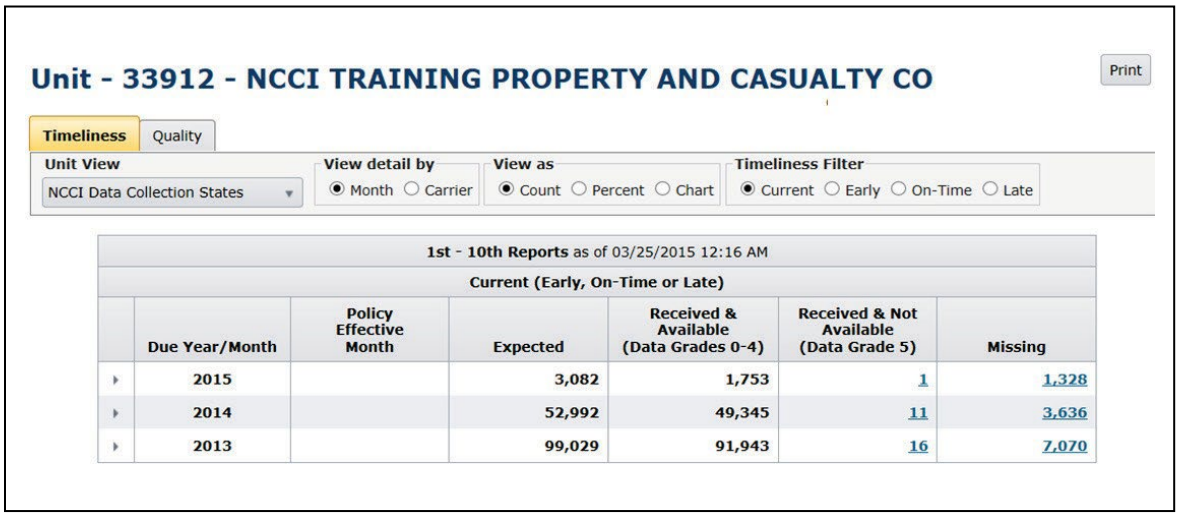
Unit Statistical Data Overview

Accessing Unit Statistical Data

On the main menu, select the **Unit** option.

- The Timeliness screen appears:

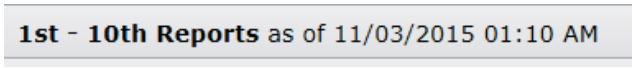


There are two main folder options within the Unit section:

- Timeliness
- Quality

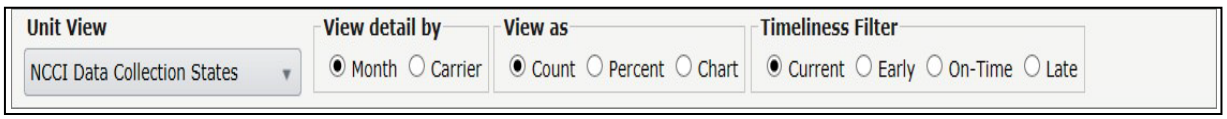
General Screen Functionality

- All grids (i.e., charts) for Unit Statistical Data display the date and time that the data was last refreshed, which is daily, Monday through Sunday. This information is viewable within the grid title:



There is an exception for the Open Notifications and Validation grids, which are updated on a real-time basis.

Selection Options



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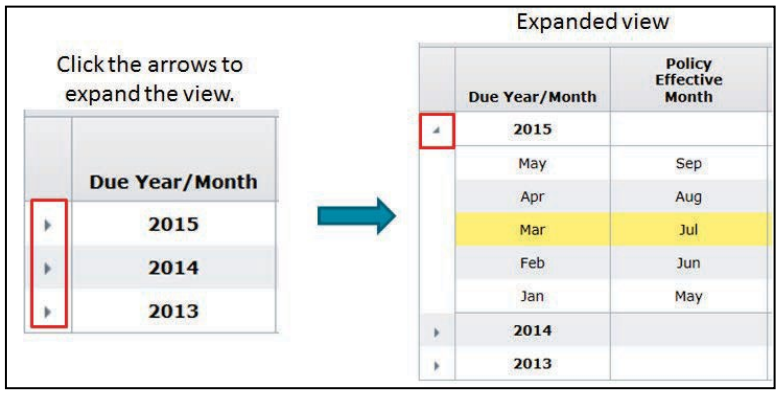
- **Unit View:** Select a Unit View from the filter. This could change the columns within the grid and/or the associated data on your screen.

- **View detail by:** Select various ways to view your data. You could select options such as Month or Carrier, depending on which grid you are viewing.

- **View as:** Select which format to view your data—Count (default), Percent, or Chart. Again, different options are available, depending on which grid you are viewing.

- **Timeliness Filter:** Select the timeliness option in which to view your data—Current, Early, On-Time, or Late.

- **Expand/Collapse Functionality** (not available for all grids): Click the arrows on a summary row to view your data at a more detailed level. For example, you can expand upon a summary row for a year to see the data broken out by the associated months for that year. Click the arrows again to view the data in a "collapsed" view with summarized yearly totals.



How to Print Data on Your Screen

- The **Print** button enables you to print all viewable data on the screen into an HTML document:

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How to Drill Down to Detailed/Key Field Data

Expected	Received & Available (Data Grades 0-4)	Received & Not Available (Data Grade 5)	Missing
2,436	951	3	1,482
260	0	0	260
264	0	0	264
622	228	0	394
593	273	0	320
697	450	3	244

Click on value

- Click a hyperlink value within a grid to access detailed/key information
- For values **< 1,000**, options provided are:
  - View a list of data on your screen (this list will display the same number of rows as the value you clicked on)
  - Sort the data within this list
  - Print the data within this list
  - Download the data within the list directly to a CSV file
- For values **>= 1,000**, options provided are:
  - View a partial list of data containing the first 1,000 rows of data
    - Sort the data within this partial list
    - Print the 1,000 rows of data
    - Download the entire data set directly to a CSV file
  - Download the entire data set directly to a CSV file (the number of rows of data displayed in the CSV file will match the value you clicked on in the grid)
  - Cancel and return to the screen you were on

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Timeliness

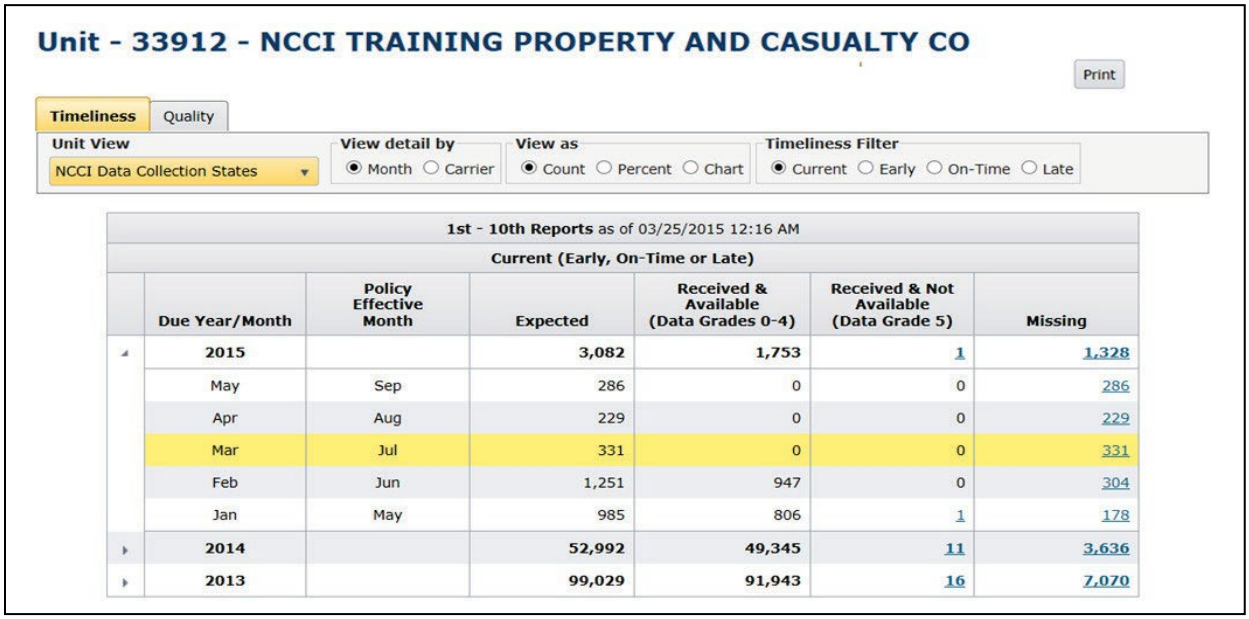
Selecting the **Unit** option on the main menu takes you to the Timeliness screen by default.

1st–10th Reports

Unit View

- There are two different views to select from:
  - NCCI Data Collection States
  - States Subject to DQIP

Unit View—NCCI Data Collection States



1st - 10th Reports as of 03/25/2015 12:16 AM

Current (Early, On-Time or Late)

Due Year/Month	Policy Effective Month	Expected	Received & Available (Data Grades 0-4)	Received & Not Available (Data Grade 5)	Missing
2015		**3,082**	**1,753**	**1**	**1,328**
May	Sep	286	0	0	286
Apr	Aug	229	0	0	229
Mar	Jul	331	0	0	331
Feb	Jun	1,251	947	0	304
Jan	May	985	806	1	178
2014		**52,992**	**49,345**	**11**	**3,636**
2013		**99,029**	**91,943**	**16**	**7,070**

- This view includes states where NCCI performs experience rating and/or ratemaking functions for the state. This view also includes:

  - **Supplemental States Requested for Interstate Rating:**
    NCCI expects to receive unit reports for the purpose of interstate rating. States include Massachusetts (MA), Minnesota (MN), North Carolina (NC), and Wisconsin (WI). The states are subject to experience rating if the policy is interstate-rated or NCCI intrastate-rated or are part of a multistate policy.

- This grid shows you the volume of units expected, received, and missing.

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- The results are allocated to one of the following categories:
  - Expected
  - Received and Available (Data Grades 0–4)
  - Received and Not Available (Data Grade 5)
  - Missing (Expected less 2 Received columns)

- Detailed key information is available by clicking any value with a hyperlink in the following columns:
  - Received and Not Available (Data Grade 5)
  - Missing

- Monitoring unit timeliness will assist you in measuring your performance for:
  - ***Data Quality Incentive Program (DQIP)***, which, for Unit Statistical Data, if >= 99% of units are received and available 1 month early, you could receive a 10% credit toward the DQIP Factor.
  - ***Carrier Report Card***, which, for Unit Statistical Data, evaluates reporting performance based on the receipt of units that are available and on time by due month/year. If this occurs for >= 98% of due units, you can receive Grade A.

Data Included—NCCI Data Collection States

- Data is aggregated at the Carrier Group level; however, you can view performance by individual Carrier, using the View detail by **Carrier** option

- Counts include data for:
  - NCCI Data Collection States
  - 1st–10th Reports at the unit report level
  - Rated and Nonrated Units
  - Voluntary and Assigned Risk data

- This grid captures units expected and received for the two prior years, current year-to-date and two prospective months, to assist you in proactively reporting Unit Statistical Data early, which will assist in obtaining a credit DQIP Factor (see above).

- To calculate Unit Statistical Data timeliness, the following options are available to select from in the **Timeliness Filter:**

  - **Current** (default)**:** Displays all unit reports expected, received, and missing, which could be early, on time, or late.

  - **Early:** Displays all unit reports received prior to the due month. For example, this is month 18 or 19 after Policy Effective Date for a first report.

  - **On-Time:** Displays all unit reports received by the due month. For example, this is month 18, 19, or 20 after Policy Effective Date for a first report.

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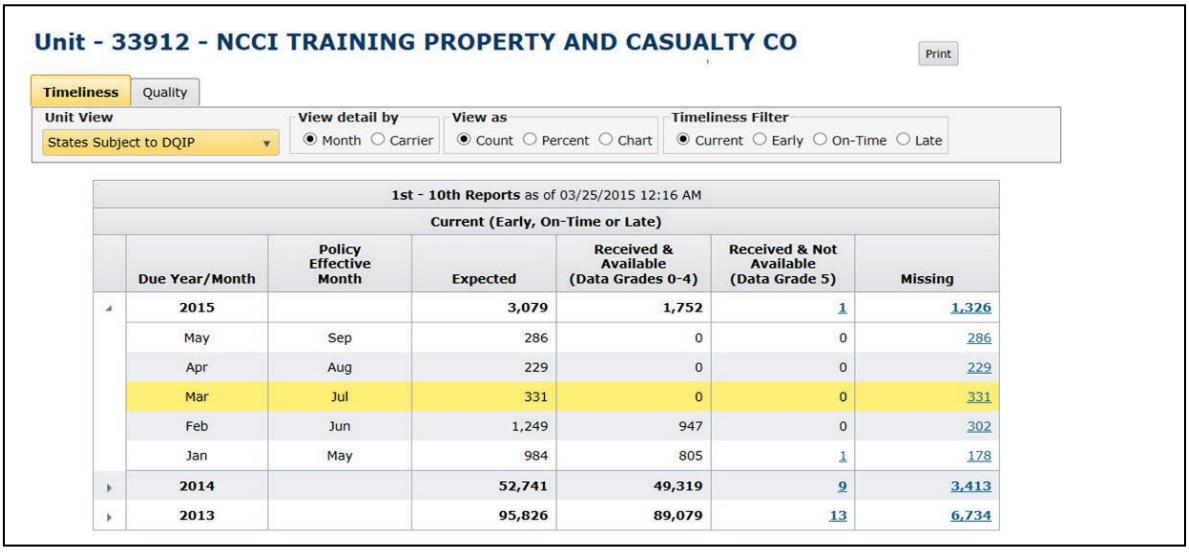
— **Late:** Displays all unit reports received > 3 months after the due month. For example, this is month 24 or subsequent after the Policy Effective Date for a first report.

Unit View—States Subject to DQIP



1st - 10th Reports as of 03/25/2015 12:16 AM

Current (Early, On-Time or Late)

Due Year/Month	Policy Effective Month	Expected	Received & Available (Data Grades 0-4)	Received & Not Available (Data Grade 5)	Missing
2015		**3,079**	**1,752**	**1**	**1,326**
May	Sep	286	0	0	286
Apr	Aug	229	0	0	229
Mar	Jul	331	0	0	331
Feb	Jun	1,249	947	0	302
Jan	May	984	805	1	178
2014		**52,741**	**49,319**	**9**	**3,413**
2013		**95,826**	**89,079**	**13**	**6,734**

This grid shows you the same information as the NCCI Data Collection States view, with the exception that the states included in this grid are those subject to ***DQIP***.

- Monitoring unit timeliness for **States Subject to DQIP** assists you in proactively reporting and updating your data to ensure it is submitted timely and accurately prior to the monthly ***DQIP*** run.

- ***DQIP*** will reward a credit DQIP Factor for those units that are reported timely and that are **available**, per below. These are units with less than a Data Grade 5. It's important to monitor the column **Received & Not Available (Data Grade 5)**, and drill down to the details to assist in editing your data.

If >=99% of units are received and available 1 month early, you can receive a 10% credit toward the DQIP Factor

— If >10% of units are reported Not Available Past Due, a 10% debit can be applied toward your DQIP Factor

— If >3% of units are reported Not Available 3 Months Past Due, a 25% debit can be applied toward your DQIP Factor

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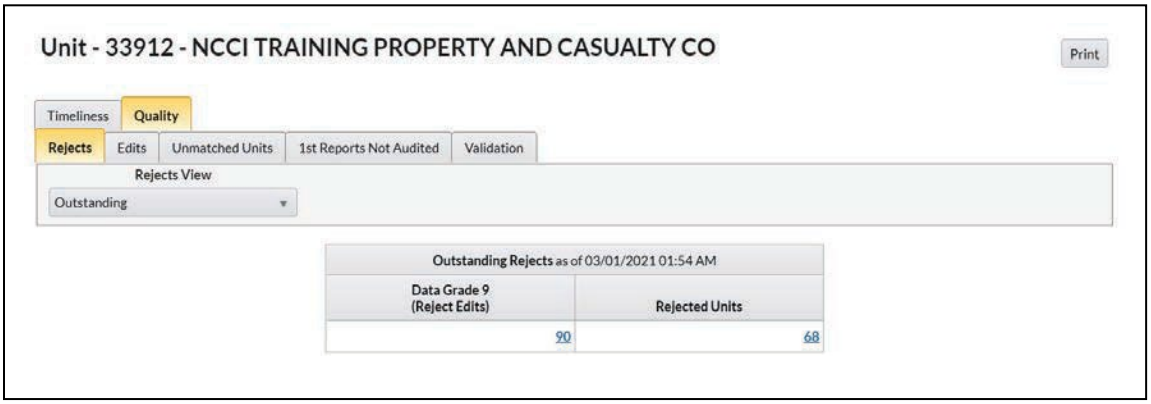
Quality

On the main menu, select the **Unit** option.

Click the **Quality** tab that's next to the **Timeliness** tab.
The Quality section has five subfolder options:

- Rejects (default subfolder highlighted in orange)
- Edits
- Unmatched Units
- 1st Reports Not Audited
- Validation

Outstanding Rejects



- This grid displays the number of outstanding Data Grade 9s on NCCI's database
- The results are allocated into two columns—one for the number of Data Grade 9 Reject Edits and one for the number of Units that rejected (meaning each unit received one or more Data Grade 9 edits)
- Detailed key information is available by clicking the value in each column if > 0
- Monitoring outstanding rejects will assist you in taking corrective action to clear these rejects and improve your quality and availability of data

Data Included

- Data is aggregated at the Carrier Group level.
- Data includes *any* unit submitted to NCCI.
- Counts include data processed for the most recent 120 days.

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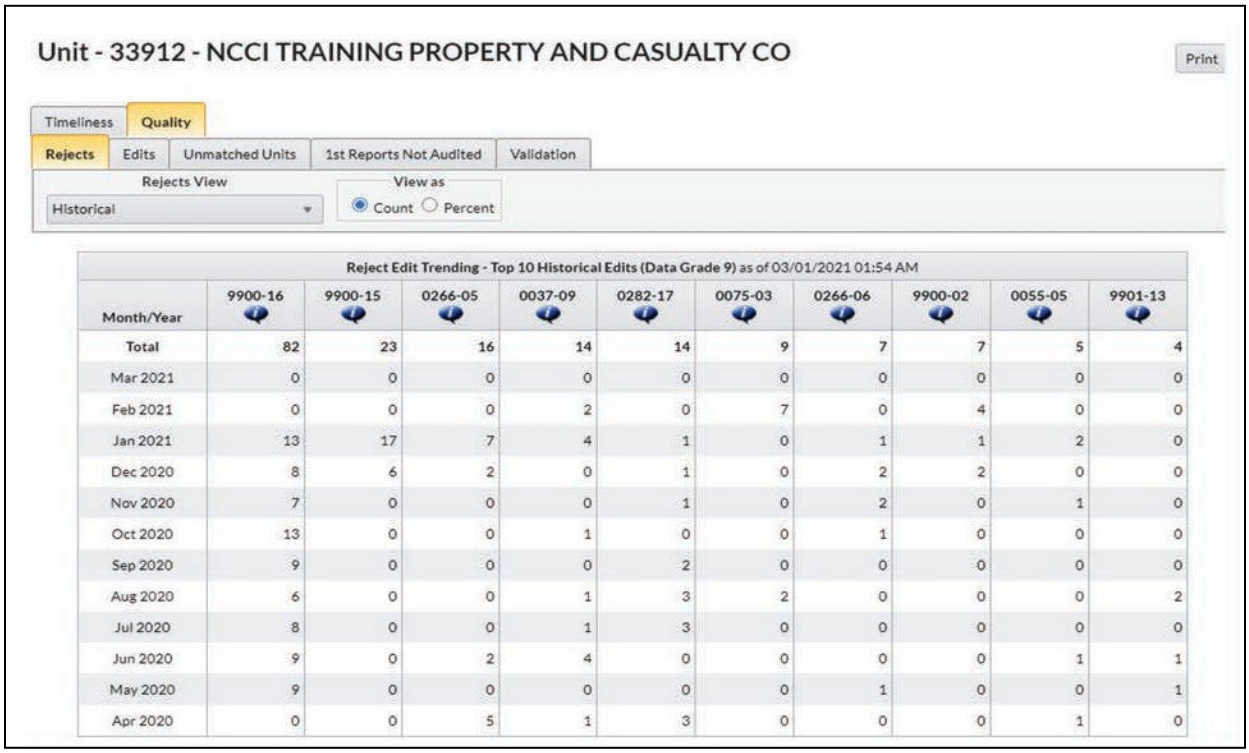
- This grid includes a current (outstanding) view of data only. For example, if a Data Grade 9 has subsequently been deleted or cleared, this data is no longer deemed outstanding and will not be included in this grid.

Historical Rejects



Reject Edit Trending - Top 10 Historical Edits (Data Grade 9) as of 03/01/2021 01:54 AM

Month/Year	9900-16	9900-15	0266-05	0037-09	0282-17	0075-03	0266-06	9900-02	0055-05	9901-13
Total	82	23	16	14	14	9	7	7	5	4
Mar 2021	0	0	0	0	0	0	0	0	0	0
Feb 2021	0	0	0	2	0	7	0	4	0	0
Jan 2021	13	17	7	4	1	0	1	1	2	0
Dec 2020	8	6	2	0	1	0	2	2	0	0
Nov 2020	7	0	0	0	1	0	2	0	1	0
Oct 2020	13	0	0	1	0	0	1	0	0	0
Sep 2020	9	0	0	0	2	0	0	0	0	0
Aug 2020	6	0	0	1	3	2	0	0	0	2
Jul 2020	8	0	0	1	3	0	0	0	0	0
Jun 2020	9	0	2	4	0	0	0	0	1	1
May 2020	9	0	0	0	0	0	1	0	0	1
Apr 2020	0	0	5	1	3	0	0	0	1	0

- This grid displays the top 10 edit numbers by highest volume (in descending order) for edits received—whether still outstanding, cleared, deleted, or deactivated—for the current month and 11 prior months
  - Between 1 and 10 edits will display, depending on how many unique edit numbers have been processed during the time frame of the grid

- Monitoring historical rejects can assist you in monitoring data reporting trends by identifying significantly high volumes of edit numbers for a specific month

- Data is aggregated at the Carrier Group level

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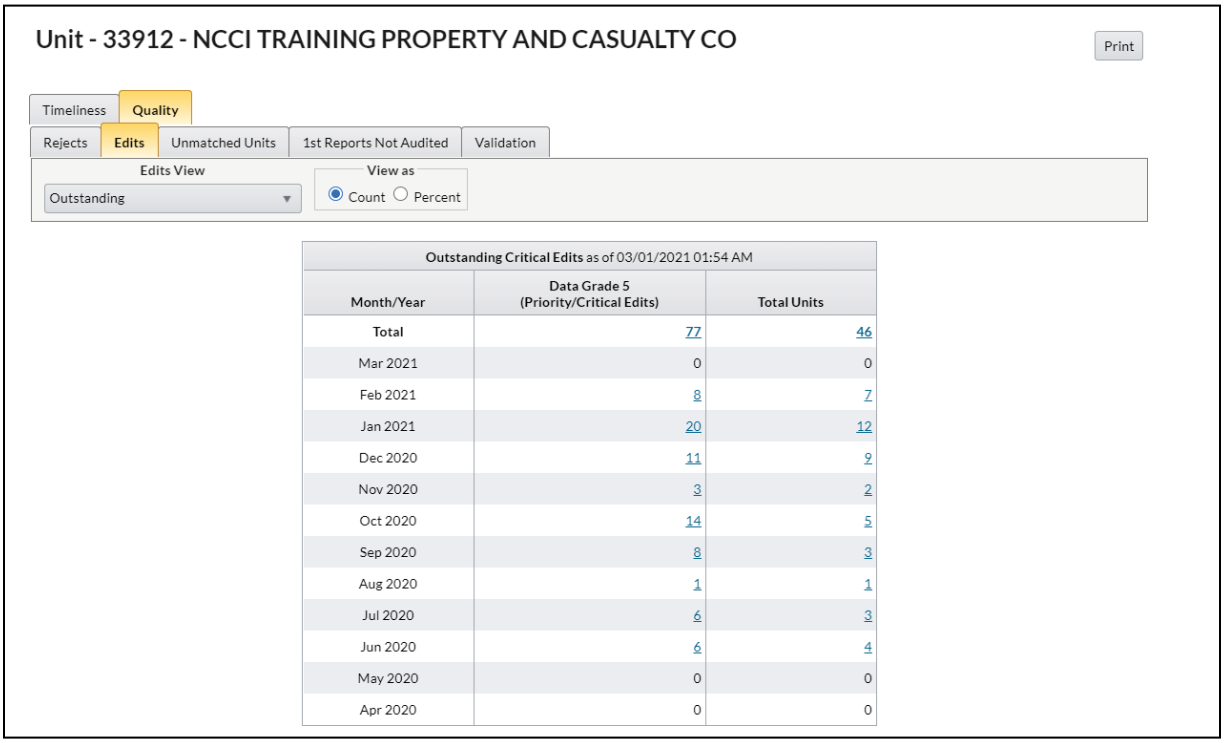
Outstanding Edits



Outstanding Critical Edits as of 03/01/2021 01:54 AM

Month/Year	Data Grade 5 (Priority/Critical Edits)	Total Units
Total	77	46
Mar 2021	0	0
Feb 2021	8	7
Jan 2021	20	12
Dec 2020	11	9
Nov 2020	3	2
Oct 2020	14	5
Sep 2020	8	3
Aug 2020	1	1
Jul 2020	6	3
Jun 2020	6	4
May 2020	0	0
Apr 2020	0	0

- This grid displays the number of outstanding Data Grade 5 edits (Priority/Critical Edits) and the associated number of units
- Detailed key information is available by clicking the value in each column, if > 0
- Monitoring outstanding edits will assist you in taking corrective action to correct these edits and improve your quality and availability of data

Data Included

- Data is aggregated at the Carrier Group level.
- Data for NCCI Data Collection states is included.
- Counts include edits (and their associated number of units) received for the current month and 11 prior months.
- This grid includes a current (outstanding) view of data only. For example, if a Data Grade 5 has been cleared, this data is no longer deemed outstanding and will not be included in this grid.

Only those edits (and associated units) for policies that have one or more NCCI Data Collection states on them are included in the counts.

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Historical Edits

Unit - 33912 - NCCI TRAINING PROPERTY AND CASUALTY CO

Print

Timeliness | Quality

Rejects | Edits | Unmatched Units | 1st Reports Not Audited | Validation

Edits View: Historical

View as: Count / Percent

Critical Edit Trending - Top 10 Historical Edits (Data Grade 5) as of 03/01/2021 01:54 AM

Month/Year	0162-02	0037-06	0115-05	0116-04	9901-26	0116-03	0122-02	0282-18	0001-02	0282-20
Total	61	51	18	12	8	5	3	3	2	2
Mar 2021	0	0	0	0	0	0	0	0	0	0
Feb 2021	6	0	0	0	0	0	0	0	1	0
Jan 2021	17	0	0	2	2	1	1	2	1	0
Dec 2020	6	2	0	1	0	1	1	0	0	0
Nov 2020	2	0	0	0	0	0	0	0	0	0
Oct 2020	11	0	0	3	2	1	0	0	0	0
Sep 2020	8	0	18	0	2	0	0	0	0	0
Aug 2020	0	0	0	0	0	0	1	0	0	0
Jul 2020	5	0	0	3	0	1	0	0	0	0
Jun 2020	3	2	0	0	0	0	0	0	0	0
May 2020	1	30	0	3	2	1	0	1	0	0
Apr 2020	2	17	0	0	0	0	0	0	0	2

- Data is aggregated at the Carrier Group level
- This grid displays the top 10 edit numbers by highest volume (in descending order) for edits received—whether outstanding, cleared, or deactivated—for the current month and 11 prior months
  - Between 1 and 10 edits will display, depending on how many unique edit numbers have been processed during the time frame of the grid
- It can assist you in monitoring data reporting trends, by identifying significantly high volumes of edit numbers for a specific month

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Unmatched Units

Unit - 33912 - NCCI TRAINING PROPERTY AND CASUALTY CO

Print

Timeliness | Quality

Rejects | Edits | Unmatched Units | 1st Reports Not Audited | Validation

View detail by: Month / Carrier

View as: Count / Percent / Chart

Unmatched Units Received as of 03/01/2021 01:54 AM

Due Year/Month	Policy Effective Month	Total (Data Grades 0-5)	Matched	Unmatched
2021		8,742	8,742	0
Mar	Jul	3,265	3,265	0
Feb	Jun	2,917	2,917	0
Jan	May	2,560	2,560	0
2020		41,196	41,196	0
2019		43,808	43,784	24

- This grid displays the number of non-rejected units that have been received, by Due Month, and the counts of those that match and do not match to a corresponding policy on NCCI's database.
- Detailed key information is available by clicking the value in the Unmatched column, if > 0.
- It is important to monitor the detailed information to see if a key field change is needed on the unit to match to the policy. A unit is considered matched when the following key fields are the same on both the unit and policy:
  - Carrier Code
  - Policy Number
  - Policy Effective Date
  - Exposure State
- Monitoring unmatched units will assist you in taking corrective action to improve the quality and availability of your data.

Data Included

- Data is aggregated at the Carrier Group level
- Counts include data for:
  - 1st Reports at the unit report level
  - Rated and Nonrated Units
  - Voluntary and Assigned Risk data

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1st Reports Not Audited

Unit - 33912 - NCCI TRAINING PROPERTY AND CASUALTY CO

Timeliness | Quality

Rejects | Edits | Unmatched Units | 1st Reports Not Audited | Validation

View by: Month / Carrier — View as: Count / Percent / Chart

1st Reports Not Audited as of 03/01/2021 01:54 AM				
			Not Audited	
Due Month/Year	1st Reports Received(Data Grades 0-5)	Audited	<= 22nd Month	> 22nd Month
Total	**41,837**	**40,608**	1	1,228
Mar 2021	3,383	3,382	1	0
Feb 2021	3,157	3,157	0	0
Jan 2021	3,351	3,351	0	0
Dec 2020	3,720	3,140	0	580
Nov 2020	3,849	3,307	0	542
Oct 2020	3,272	3,215	0	57
Sep 2020	4,983	4,934	0	49
Aug 2020	2,999	2,999	0	0
Jul 2020	3,053	3,053	0	0
Jun 2020	3,480	3,480	0	0
May 2020	3,270	3,270	0	0
Apr 2020	3,320	3,320	0	0

- This grid displays the number of 1st reports that have been received, along with the count of those unit reports that have been audited and those that have and have not been audited

- The results are allocated into three columns:
  - Audited
  - Not Audited <=22nd Month
  - Not Audited >22nd Month

- Detailed key information is available by clicking either of the Not Audited columns if the value is > 0

- It is important to monitor unit reports that have not been audited because it could negatively impact your DQIP Factor:
  - For ***DQIP***, if >10% of 1st unit reports have not been audited by the 22nd month (after the Policy Effective Date), you could receive a 10% debit to your DQIP Factor

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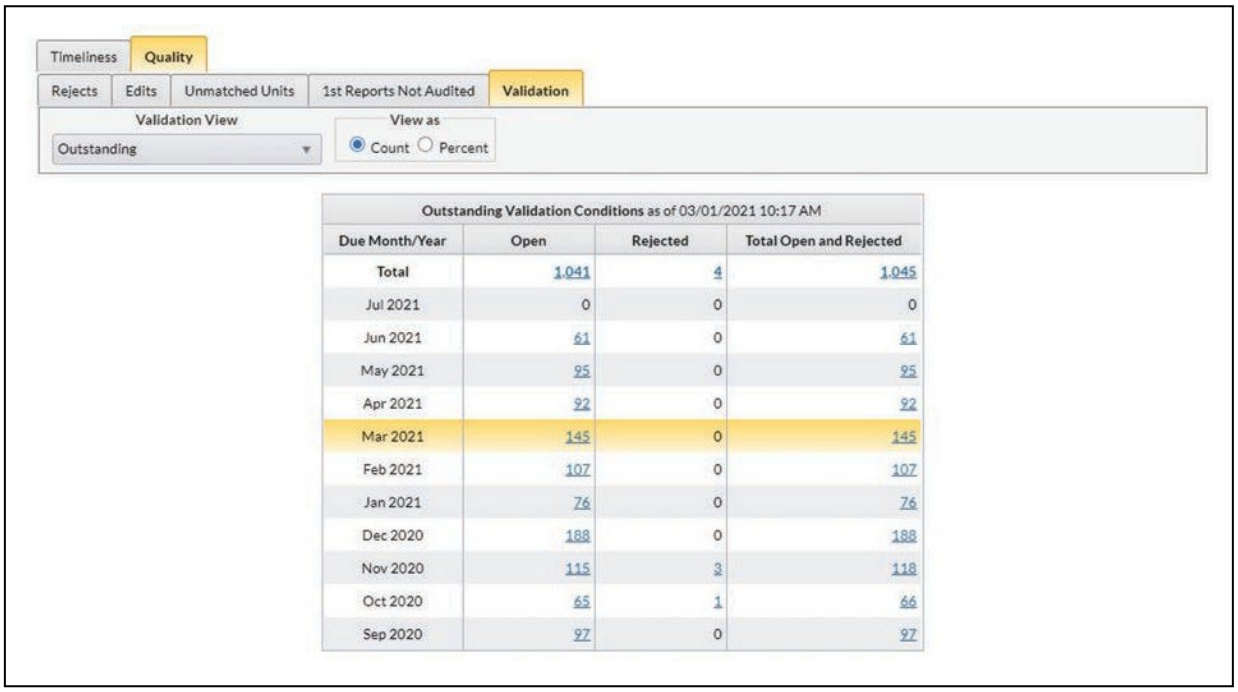
Validation



Outstanding Validation Conditions as of 03/01/2021 10:17 AM

Due Month/Year	Open	Rejected	Total Open and Rejected
Total	1,041	4	1,045
Jul 2021	0	0	0
Jun 2021	61	0	61
May 2021	95	0	95
Apr 2021	92	0	92
Mar 2021	145	0	145
Feb 2021	107	0	107
Jan 2021	76	0	76
Dec 2020	188	0	188
Nov 2020	115	3	118
Oct 2020	65	1	66
Sep 2020	97	0	97

- This grid displays the number of outstanding Validation edits with an Open or Reject status
- Detailed key information is available by clicking the value in each column, if > 0
- Monitoring outstanding validation edits will assist you in taking corrective action to fix these edits and improve your quality and availability of data

Data Included

- Data is aggregated at the Carrier Group level.
- Counts include validation edits received for the current month, four future months, and six past months.
- This grid includes a current (outstanding) view of data only. For example, if a validation edit has been cleared or an explanation has been accepted, this data is no longer deemed outstanding and will not be included in this grid.

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Compliance Trending

Timeliness | Quality

Rejects | Edits | Unmatched Units | 1st Reports Not Audited | Validation

Validation View: Compliance Trending

View as: Count / Percent

Compliance Trending as of 03/01/2021 10:17 AM

Due Month/Year	Total	Current			Past Due	Resolved On Time
		Open	Pending at NCCI	Rejected		
Total	243	149	16	**0**	**0**	78
Jul 2021	0	0	0	0	0	0
Jun 2021	59	39	0	0	0	20
May 2021	72	40	0	0	0	32
Apr 2021	112	70	16	0	0	26

Compliance Criteria

- At least 50 validation edits
- More than 20% of the validation edits are past due

- Data is aggregated at the Carrier Group level
- This grid includes a snapshot of validation edits trending towards being included in the DQIP Compliance Program
- It can assist you in monitoring and addressing validation edits by identifying edits for a specific month that may potentially be included in the DQIP Program for Validation Edits Category
- Detailed key information is available by clicking the value in each column, if > 0

Note: This screen includes data excluded for DQIP Validation Category, including certain independent bureau states.

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Historical Validation Edits

Timeliness | Quality

Rejects | Edits | Unmatched Units | 1st Reports Not Audited | Validation

Validation View: Historical

View as: Count / Percent

Validation Conditions - Top 10 Historical Edits as of 03/01/2021 10:17 AM

Due Month/Year	L804	L303	L306	L741	L108	L742	E134	E301	L304	E132
Total	3,178	171	156	142	124	108	86	81	53	37
Jul 2021	0	0	0	0	0	0	0	0	0	0
Jun 2021	161	6	5	11	10	6	0	3	4	0
May 2021	283	10	9	10	0	8	0	3	4	0
Apr 2021	222	7	10	6	0	4	32	5	5	23
Mar 2021	467	32	34	46	0	32	30	14	17	0
Feb 2021	309	19	10	10	42	9	24	13	5	14
Jan 2021	285	10	22	12	6	9	0	8	3	0
Dec 2020	678	37	16	15	44	11	0	13	2	0
Nov 2020	296	19	30	11	22	9	0	1	9	0
Oct 2020	314	18	13	17	0	17	0	5	2	0
Sep 2020	163	13	7	4	0	3	0	16	2	0

- Data is aggregated at the Carrier Group level
- This grid displays the top 10 validation conditions by highest volume (in descending order) for edits received—whether outstanding, cleared, or explained—for the current month, four future months, and six past months
  - Between 1 and 10 validation edits will display, depending on how many unique Type Codes have been processed during the time frame of the grid
- It can assist you in monitoring data reporting trends, by identifying significantly high volumes of validation edits for a specific month

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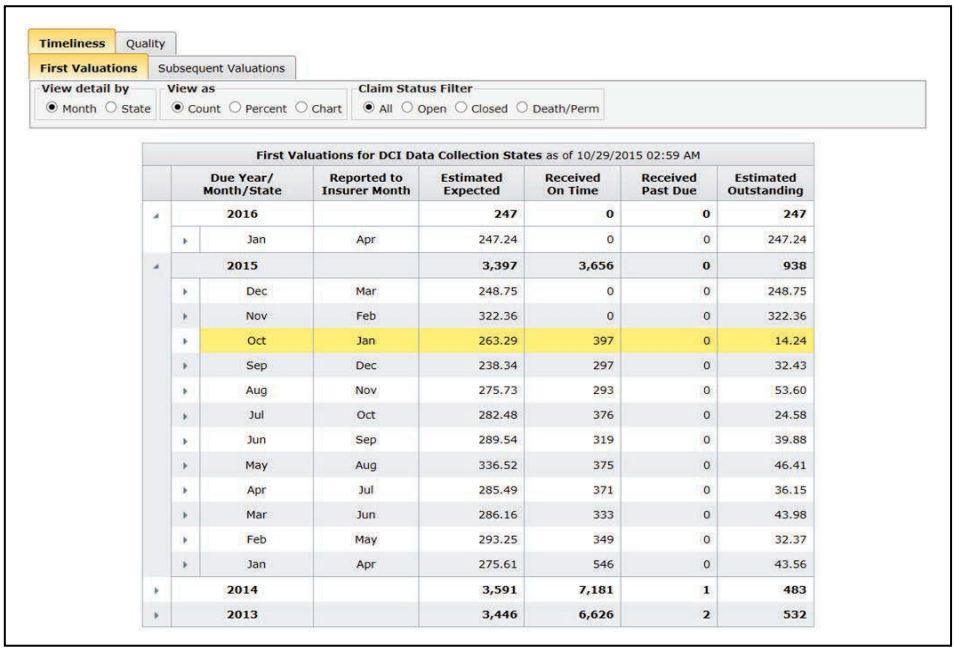
DCI Data Overview

Accessing DCI Data

On the main menu, select the **DCI** option.

The Timeliness screen appears:



First Valuations for DCI Data Collection States as of 10/29/2015 02:59 AM

Due Year/ Month/State	Reported to Insurer Month	Estimated Expected	Received On Time	Received Past Due	Estimated Outstanding
2016		**247**	**0**	**0**	**247**
Jan	Apr	247.24	0	0	247.24
2015		**3,397**	**3,656**	**0**	**938**
Dec	Mar	248.75	0	0	248.75
Nov	Feb	322.36	0	0	322.36
Oct	Jan	263.29	397	0	14.24
Sep	Dec	238.34	297	0	32.43
Aug	Nov	275.73	293	0	53.60
Jul	Oct	282.48	376	0	24.58
Jun	Sep	289.54	319	0	39.88
May	Aug	336.52	375	0	46.41
Apr	Jul	285.49	371	0	36.15
Mar	Jun	286.16	333	0	43.98
Feb	May	293.25	349	0	32.37
Jan	Apr	275.61	546	0	43.56
2014		**3,591**	**7,181**	**1**	**483**
2013		**3,446**	**6,626**	**2**	**532**

There are two main folder options within the DCI section:

- Timeliness
- Quality

General Screen Functionality

- All grids (i.e., charts) for DCI data display the date and time that the data was last refreshed. This information is viewable within the grid title:

First Valuations for DCI Data Collection States as of 03/26/2015 02:31 AM

This refresh occurs on a daily basis, Monday through Sunday.

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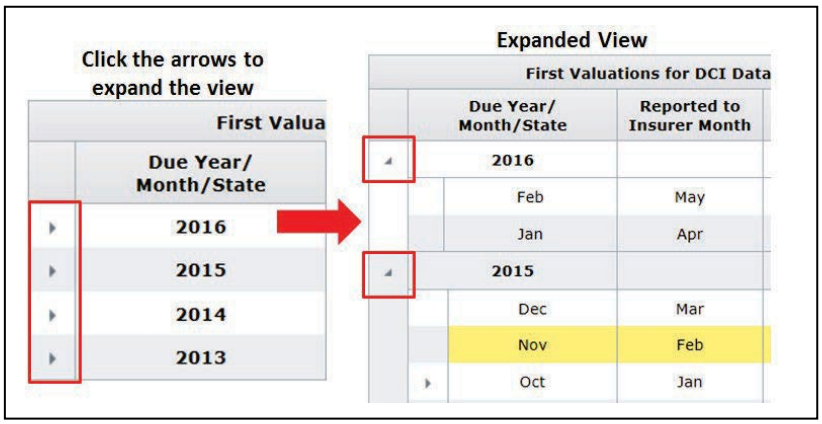
Selection Options

- **View detail by:** Select various ways to view your data. You could select options such as Month or State, depending on which grid you are viewing.
- **View as:** Select which format to view your data—Count (default), Percent, or Chart.
- **Claim Status Filter:** Select the claim status option in which to view your data—All, Open, Closed, or Death/Perm.
- **Expand/Collapse Functionality** (not available for all grids): Click the arrows on a summary row to view your data at a more detailed level. For example, you can expand upon a summary row for a year to see the data broken out by the associated months or states for that year. Click the arrows again to view the data as a "collapsed" view with summarized yearly totals.



How to Print Data on Your Screen

- The **Print** button enables you to print all viewable data on the screen into an HTML document:

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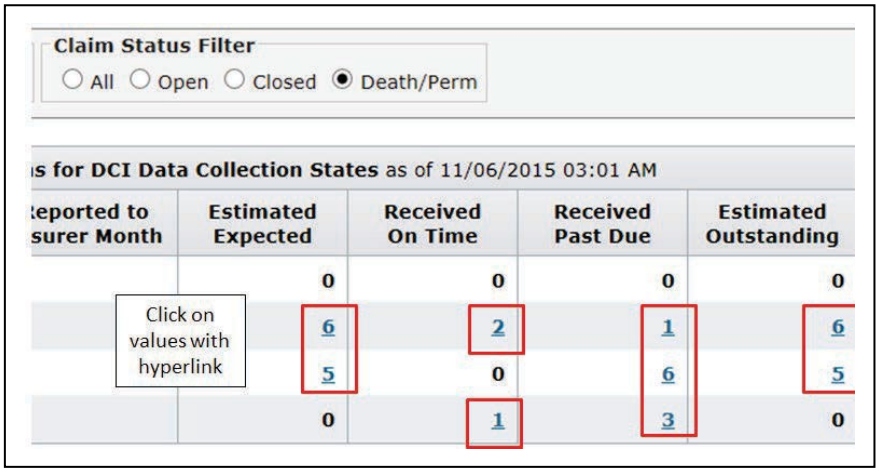
How to Drill Down to Detailed/Key Field Data



- Click a hyperlink value within a grid to access detailed/key information
- For values **< 1,000**, options provided are:
  - View a list of data on your screen (this list will display the same number of rows as the value you clicked on)
  - Sort the data within this list
  - Print the data within this list
  - Download the data within the list directly to a CSV file
- For values **>= 1,000**, options provided are:
  - View a partial list of data containing the first 1,000 rows of data
    - Sort the data within this partial list
    - Print the 1,000 rows of data
    - Download the entire data set directly to a CSV file
  - Download the entire data set directly to a CSV file (the number of rows of data displayed in the CSV file will match the value you clicked on in the grid)
  - Cancel and return to the screen you were on

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Timeliness

Selecting the **DCI** option on the main menu takes you to the Timeliness screen by default.

First Valuations

View detail by: Month / State
View as: Count / Percent / Chart
Claim Status Filter: All / Open / Closed / Death/Perm

First Valuations for DCI Data Collection States as of 03/26/2015 02:31 AM

Due Year/ Month/State	Reported to Insurer	Estimated Expected	Received On Time	Received Past Due	Estimated Outstanding
2015		7	42	0	7
Jun	Sep	0	1	0	0
May	Aug	1	3	0	1
FL-09		1	0	0	1
TX-42		N/A	3	0	N/A
Apr	Jul	0	1	0	0
Mar	Jun	2	14	0	2
Feb	May	1	15	0	1
Jan	Apr	3	11	0	3
2014		14	174	0	14
2013		31	203	1	31

- This grid displays timeliness performance for First Valuations
- It displays those first valuations that are:
  - Estimated Expected—For Open and Closed claims by applying NCCI's state sampling ratios to received unit claims where incurred indemnity loss value, or reserves, are greater than zero. Claims with benefits payable under Federal Acts are not included. Death and Permanent Total claims are expected at 100%.
  - Received On Time—For all claim status types. These include claims received <22 months from Reported to Insurer Date.
  - Received Past Due—For all claim status types. These include claims received >=22 months from Reported to Insurer Date.
  - Estimated Outstanding—For all claim status types. This takes the Estimated Expected count and subtracts those claims already received (on-time or past due). Refer further down to Row Calculations for additional information.
- Detailed key information is available by selecting the Death/Perm claim status option and clicking the values in each column if > 0

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State Information

- The grid includes NCCI Data Collection states (18-month valuations)

- Independent Bureau States (18-month valuations): MA, MI, MN, NJ, NY, PA, and TX
  - First Valuations will not be calculated for these states since all Unit Statistical Data is not available for estimating purposes. "N/A" will be displayed for the Estimated Expected and Estimated Outstanding columns for these states.
  - Received counts will not be included in the Month or Year totals for the Month view and Year totals for the State view.

  Note: Texas includes 6-month valuations as First Valuations.

- A state will be displayed if no claims are expected for the state, but claims have been received

View detail by—Month

- Upon using this default view, the grid will display the Due Year first, as a summary row. Two full prior years will be displayed (with a collapsed default view), the current year to date, plus 3 prospective months.

- Upon expanding the year, each Due Month for that year will be displayed.

- Upon expanding the Due Month, individual states will be displayed.

View detail by—State

- Upon selecting this view, the grid will display the Due Year first, as a summary row. Two full prior years will be displayed (with a collapsed default view), the current year to date, plus 3 prospective months.

- Upon expanding the year, individual states will be displayed.

- Upon expanding a state, the data will then be broken out by month.

Row Calculations

View detail by Month:

- **Year Summary row:** Count will display sum of values below it (vertical calculation) and truncated to a whole number across all columns.

- **Month Summary row:** Count will display sum of values below it (vertical calculation) and truncated to 2 decimal places for Estimated Expected and Estimated Outstanding columns.

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- **State row:** Estimated Outstanding count will display Estimated Expected minus (Received On Time plus Received Past Due). The Estimated Expected and Estimated Outstanding fields will display counts truncated to 2 decimal places.
  - If the Estimated Expected and Estimated Outstanding fields are less than the Received columns, the Estimated Outstanding field will be truncated to zero

- The **Received On Time** and **Received Past Due** columns will always display whole numbers for all rows.

Note: See exceptions under State Information.

View detail by State:

- **Year Summary row:** Count will display sum of values below it (vertical calculation) and truncated to a whole number across all columns.

- **State Summary row:** Count will display sum of values below it (vertical calculation) and truncated to 2 decimal places for Estimated Expected and Estimated Outstanding columns.

- **Month row:** Estimated Outstanding count will display Estimated Expected minus (Received On Time plus Received Past Due). The Estimated Expected and Estimated Outstanding fields will display counts truncated to 2 decimal places.
  - If the Estimated Expected and Estimated Outstanding fields are less than the Received columns, the Estimated Outstanding field will be truncated to zero

- The **Received On Time** and **Received Past Due** columns will always display whole numbers for all rows.

Note: See exceptions under State Information.

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Subsequent Valuations

Timeliness | Quality

First Valuations | Subsequent Valuations

View detail by: Month / State

View as: Count / Percent / Chart

Subsequent Valuations for DCI Data Collection States as of 03/26/2015 02:31 AM

Due Year/ Month/State		Reported to Insurer	Expected	Received On Time	Received Past Due	Missing
2015			**5,862**	**3,465**	**0**	**2,397**
	Jun	Sep	1,074	632	0	442
	May	Aug	970	590	0	380
	Apr	Jul	941	566	0	375
	Mar	Jun	996	575	0	421
	Feb	May	918	431	0	487
	Jan	Apr	963	671	0	292
2014			**13,931**	**12,813**	**13**	**1,105**
2013			**10,922**	**10,592**	**199**	**131**

- This grid displays timeliness performance for Subsequent Valuations
- It displays subsequent valuations that are:
  - Expected—every 12 months after the first valuation until the claim has been:
    - Closed
    - Reclassified as a medical claim (i.e., no indemnity payments made or anticipated), Federal Act, or a nonapplicable DCI state (for TX, a jurisdiction state other than TX), or
    - Is valued at the 138th month

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- Received On Time—See chart below
- Received Past Due—See chart below
- Missing—Expected less both the Received columns

DCI Subsequents- Claims by Due Month (NCCI Data Collection States)			
Valuation Level	EARLY	ON TIME	PAST DUE
	RTI Months to include	RTI Months to include	RTI Months to include
018*	Months 18 - 20	Month 21	>= Month 22
030	Months 30 - 32	Month 33	>= Month 34
042	Months 42 - 44	Month 45	>= Month 46
054	Months 54 - 56	Month 57	>= Month 58
066	Months 66 - 68	Month 69	>= Month 70
078	Months 78 - 80	Month 81	>= Month 82
090	Months 90 - 92	Month 93	>= Month 94
102	Months 102 - 104	Month 105	>= Month 106
114	Months 114 - 116	Month 117	>= Month 118
126	Months 126 - 128	Month 129	>= Month 130
138	Months 138 - 140	Month 141	>= Month 142

*Applies to TX only

- Detailed key information is available by clicking any value in the Missing column if > 0

State Information

- The grid includes NCCI Data Collection states (30+ month valuations)
- Independent Bureau States (30+ month valuations): MA, MI, MN, NJ, NY, PA, and TX

  Note: Texas includes 18+ month valuations as subsequent valuations.

View detail by—Month

- Upon using this default view, the grid will display the Due Year first, as a summary row. Two full prior years will be displayed (with a collapsed default view), the current year to date, plus 3 prospective months.
- Upon expanding the year, each Due Month for that year will be displayed.
- Upon expanding the Due Month, individual states will be displayed.

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View detail by—State

- Upon selecting this view, the grid will display the Due Year first, as a summary row. Two full prior years will be displayed (with a collapsed default view), the current year to date, plus 3 prospective months.
- Upon expanding the year, individual states will be displayed.
- Upon expanding a state, the data will then be broken out by month.

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Quality

On the main menu, select the **DCI** option.

Click the **Quality** tab that's next to the **Timeliness** tab.

Below the **Quality** tab are two options in the Rejects View:

- Outstanding (default), which displays the Reject Summary grid
- Historical, which displays the Reject Edit Trending—Top 10 Historical Edits grid

Reject Summary

Reject Summary as of 11/06/2015 03:01 AM

Original Received Month	Data Grade 9 (Total Claims Rejected)	Outstanding	
		Rejected Edits	Rejected Claims
Total	**1,875**	**1,332**	**1,332**
Nov 2015	0	0	0
Oct 2015	443	443	443
Sep 2015	511	511	511
Aug 2015	2	0	0
Jul 2015	919	378	378
*Original Received Date > 120 days		0	0

*Original Received Date is greater than 120 days; however, activity has occurred with the most recent 120 days.

- This grid displays the total number of claims that rejected (whether subsequently fixed or not), and then the Outstanding number of rejected claims, along with the volume of edits belonging to the total number of rejected claims. Data is displayed by the original month those claims or edits were received in.
- A claim is considered rejected if it receives one or more Data Grade 9 edits.
- Rejected claims >= 120 days old are eliminated from NCCI's database.
- If a rejected claim has activity that causes it to reject again, it will be displayed in this grid for the original month it was received, even if the subsequent activity was in a later month. If the original received date is > 120 days from the current date and has had subsequent activity within the most recent 120 days, it will display in the bottom row, Original Received Date > 120 days.
- Detailed key information is available by clicking the values in either of the Outstanding columns if > 0.

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- Monitoring outstanding rejects will assist you in taking corrective action to clear these rejects and improve your quality and availability of data.

Historical Rejects

Timeliness | Quality

Rejects View: Historical

View as: Count / Percent

Reject Edit Trending - Top 10 Historical Edits (Data Grade 9) as of 03/26/2015 02:31 AM

Month	0092-04	0421-02	0437-02	0092-02	0001-01	0092-03	0093-04	0415-05	9900-03	0415-02
Total	**111**	**103**	**79**	**54**	**44**	**40**	**36**	**31**	**26**	**25**
Mar 2015	0	1	0	0	1	1	0	0	0	0
Feb 2015	16	11	3	0	9	13	0	0	1	0
Jan 2015	34	10	5	52	4	16	21	0	12	25
Dec 2014	30	20	71	0	26	0	0	0	11	0
Nov 2014	27	58	0	1	4	10	15	31	2	0
Oct 2014	0	0	0	0	0	0	0	0	0	0
Sep 2014	1	1	0	0	0	0	0	0	0	0
Aug 2014	0	0	0	0	0	0	0	0	0	0
Jul 2014	3	2	0	1	0	0	0	0	0	0
Jun 2014	0	0	0	0	0	0	0	0	0	0
May 2014	0	0	0	0	0	0	0	0	0	0
Apr 2014	0	0	0	0	0	0	0	0	0	0

- This grid displays the top 10 reject edit numbers by highest volume (in descending order) for reject edits received—whether outstanding, corrected, deleted, or deactivated—for the current month and 11 prior months
  - Between 1 and 10 edits will display, depending on how many unique edit numbers have been processed during the time frame of the grid
- The reject edit description can be viewed by clicking the blue callout box
- Monitoring historical rejects can assist you in monitoring data reporting trends by identifying significantly high volumes of edit numbers for a specific month
- Data is aggregated at the Carrier Group level

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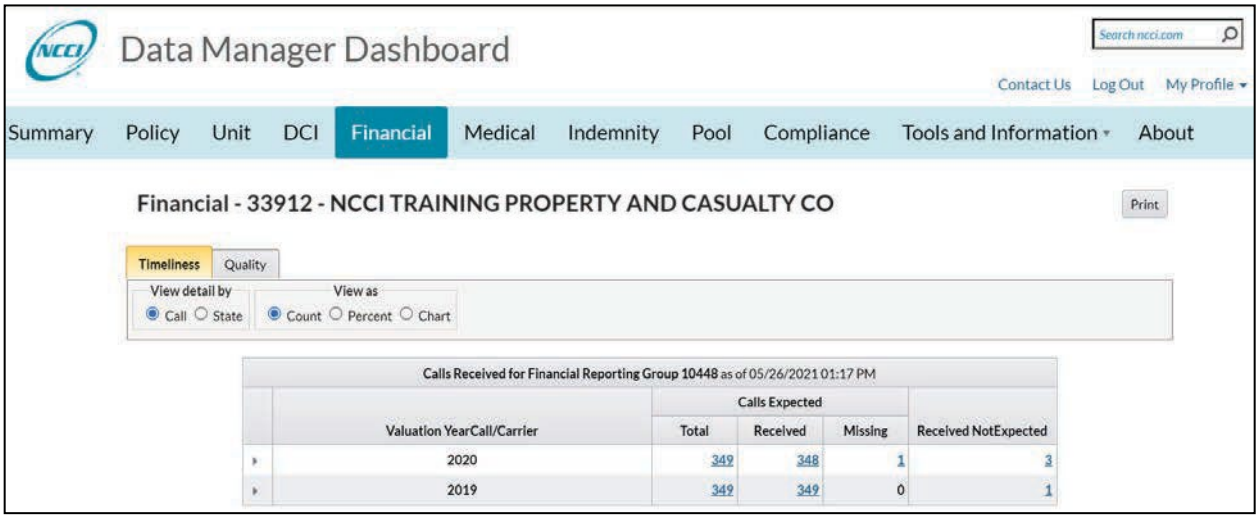
Financial Data Overview

Accessing Financial Data

On the main menu, select the **Financial** option.

The Timeliness screen appears:



There are two main folder options within this section:

- Timeliness
- Quality

General Screen Functionality

- All grids (i.e., charts) for Financial data display the date and time that the data was last refreshed. This information is viewable within the grid title:

- This refresh is done on a real-time basis, Monday through Sunday.

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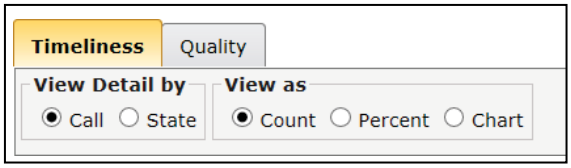
Selection Options



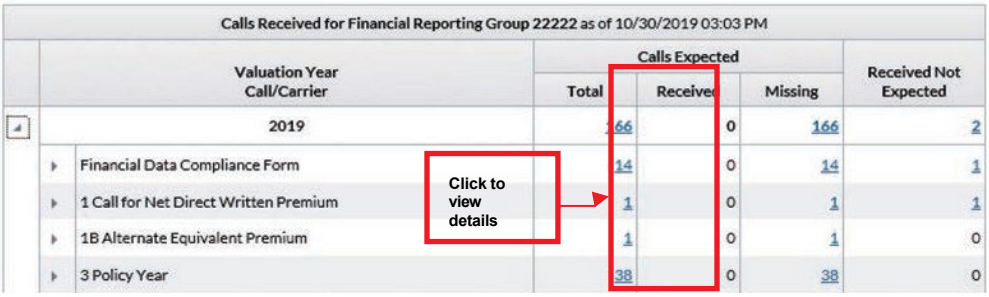
- **View detail by:** Select various ways to view your data. You could select options such as Call or State.
- **View as:** Select which format to view your data—Count (default), Percent, or Chart. Again, different options are available, depending on which grid you are viewing.

- **Expand/Collapse Functionality** (not available for all grids): Click the arrows on a summary row to view your data at a more detailed level. For example, you can expand upon a summary row for a year to see the data broken out by the associated Calls for that year. Or click the arrows again to view the data in a "collapsed" view with summarized yearly totals.

How to Print Data on Your Screen

- The **Print** button enables you to print all viewable data on the screen into an HTML document:

How to Drill Down to Detailed/Key Field Data



- Click a hyperlink value within a grid to access detailed/key information

- For values **< 1,000**, options provided are:
  - View a list of data on your screen (this list will display the same number of rows as the value you clicked on)
  - Sort the data within this list
  - Print the data within this list
  - Download the data within the list directly to a CSV file

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- For values **>= 1,000**, options provided are:
  - View a partial list of data containing the first 1,000 rows of data
    - Sort the data within this partial list
    - Print the 1,000 rows of data
  - Download the entire data set directly to a CSV file (the number of rows of data displayed in the CSV file will match the value you clicked on in the grid)
  - Cancel and return to the screen you were on

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Timeliness

Selecting the **Financial** option on the main menu takes you to the Timeliness screen by default.

View by Valuation Year, Call, and then by Carrier:

Calls Received for Financial Reporting Group 22222 as of 10/30/2019 03:03 PM

Valuation Year Call/Carrier	Calls Expected			Received Not Expected
	Total	Received	Missing	
2019	166	0	166	2
Financial Data Compliance Form	14	0	14	1
11111	1	0	1	0
22222	1	0	1	0
90001	1	0	1	0

- This view displays the status of all Financials Calls expected to be reported by the carrier for the most recent Valuation Year and prior Valuation Year. To view the same information broken out by Valuation Year, then by State, and then by Carrier, select the **State** radio button.
  - Monitor this grid to ensure that Financial Calls are reported in a timely fashion.
  - Early or late reporting of Financial Call data could be subject to ***Aggregate Data Quality Incentive Program (ADQIP)*** credits or fines. Refer to the Incentive Program section for your timeliness and quality results.
- This grid displays the following information:
  - **Expected**—The number and type of Call that NCCI expects to receive
  - **Received**—The number of expected Calls that have been received
  - **Missing**—The number of expected Calls that have not been received
  - **Received Not Expected**—The number of Calls received by NCCI that were not expected

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Data Included

- Data is displayed at the Reporting Group level
- You can click any of the hyperlink values to obtain the following information:

Financial - 22222 - NCCI TEST ACCT 2

Print Download

Calls Received for Financial Reporting Group 22222 - Total Calls Expected as of 10/30/2019 03:14 PM

2019

Valuation Year	Carrier Code	Call Type	State	Due Date	Received Date	Subject to ADQIP
2019	90014	Financial Data Compliance Form	CW-99	04/01/2020		Y
2019	90018	Financial Data Compliance Form	CW-99	04/01/2020		Y
2019	90003	1 Call for Net Direct Written Premium	CW-99	05/01/2020		Y
2019	90001	1B Alternate Equivalent Premium	AL-01	03/15/2020		N
2019	11111	3 Policy Year	MS-23	04/01/2020		Y
2019	22222	3 Policy Year	RI-38	04/01/2020		Y
2019	90001	3 Policy Year	AL-01	04/01/2020		Y
2019	90001	3 Policy Year	AR-03	04/01/2020		Y
2019	90006	3 Policy Year	AL-01	04/01/2020		Y
2019	90006	3 Policy Year	AR-03	04/01/2020		Y

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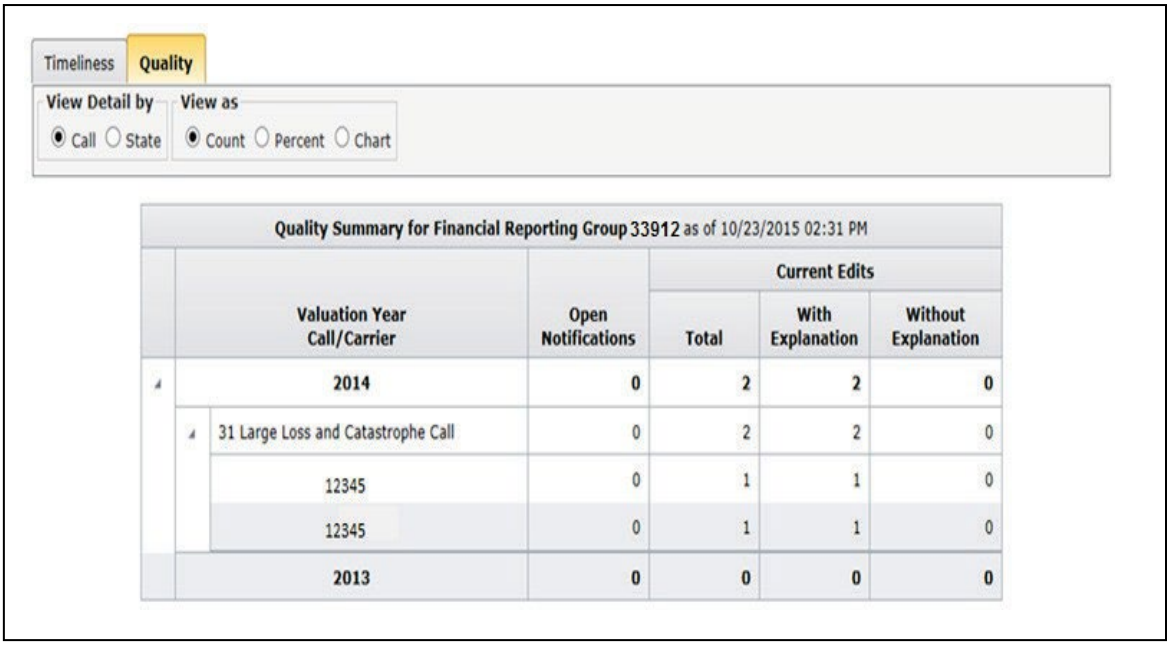
Quality

On the main menu, select the **Financial** option.

Click the **Quality** tab that's next to the **Timeliness** tab.



- This grid displays open edits and notifications associated with the Financial Calls from the most recent Valuation Year and prior Valuation Year

- The table shows, by Call Type, then by Carrier, the following information:

  - **Open Notifications**—The number of open notifications sent by NCCI to the carrier. Notifications are requests for correction or additional explanation of errors on the Calls.
  - **Total Current Edits**—The number of open edits on the Calls. The next two columns categorize the open errors based on whether they have an explanation.

- You can also click View Detail by **State** to view the same information by State, then Call Type, then by Carrier

  Use the ***Financial Data Collection*** tool to research and review your edits and notifications in more detail.

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Data Quality Incentive Program (DQIP) Overview

Description

The **DQIP** tab displays the most recent and historical results for ***DQIP***, which is designed to improve the availability of data for use in NCCI's products and services through monetary incentives.

The applicable states for ***DQIP*** include:

Policy Data—AK, AL, AR, AZ, CO, CT, DC, FL, GA, HI, IA, ID, IL, IN, KS, KY, LA, MD, ME, MO, MS, MT, NC (interstate only), NE, NH, NM, NV, OK, OR, RI, SC, SD, TN, TX, UT, VA, VT, WI (interstate only), WV

Unit Statistical Data—AK, AL, AR, AZ, CO, CT, DC, FL, GA, HI, IA, ID, IL, IN, KS, KY, LA, MD, ME, MO, MS, MT, NC (when reported directly to NCCI), NE, NH, NM, NV, OK, OR, RI, SC, SD, TN, TX, UT, VA, VT, WI (when reported directly to NCCI), WV

Validation Edits—AK, AL, AR, AZ, CO, CT, DC, FL, GA, HI, IA, ID, IL, IN, KS, KY, LA, MD, ME, MO, MS, MT, NC, NE, NH, NM, NV, OK, OR, RI, SC, SD, TN, TX, UT, VA, VT, WV

DCI Data—TX (effective with First Quarter 2022, Texas is the only state evaluated for DCI reporting)

For a detailed explanation of ***DQIP***, refer to the ***Data Quality Guidebook*** (available at **ncci.com**).

Data Included

- Data is aggregated by Group for a rolling four-quarter period

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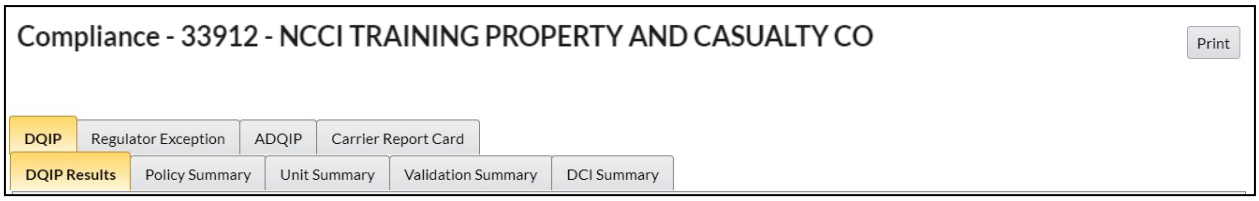
Accessing DQIP Program Data

On the main menu, select the **Compliance** option.

Click the **DQIP** tab.

The DQIP Results screen appears:

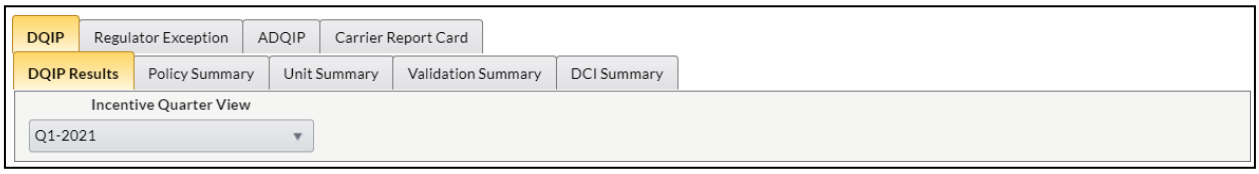


Five main subfolders are available within the Incentive Program section:

- DQIP Results
- Monthly Summaries (one for each data type)

DQIP Results

- The Incentive Quarter View allows you to choose the quarter evaluated by ***DQIP***



- The screen defaults to the most recent quarter
- The DQIP Results grid shows the availability results for each data type evaluated by ***DQIP***

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Evaluation Date 07/01/2022 | Evaluation Period 07/01/2021 - 06/30/2022 | Applied DQIP Factor 0.90

Policy Data Received

Plan Type=Voluntary Volume Eligibility=120	
Total Received	13,408

Incentive Category			Data Provider Results		
Category	Threshold	Adjustment	Count	%	Adjustment
Available Prior to Policy Effective Date	>=80%	-5%	11,663	87.0%	-5%

Unit Data Received or Due

Plan Type=Voluntary and Assigned Risk Volume Eligibility=120 1st Report Volume Eligibility=120	
Total Received	19,170
Total Overdue	2
Total Received & Overdue	19,172
Total Available On Time	19,146
Total 1st Reports Received	15,874

Incentive Category			Data Provider Results		
Category	Threshold	Adjustment	Count	%	Adjustment
Available 1 Month Early	>=99%	-10%	19,137	99.8%	-10%
Not Available Past Due	>10%	10%	26	0.1%	0%
Not Available 3 Months Past Due	>3%	25%	11	0.1%	0%
1st Reports Not Audited by the End of the 22nd Month	>10%	10%	25	0.2%	0%

Validation Edits Due

Plan Type=Voluntary and Assigned Risk Volume Eligibility=50		
	Due	Resolved
Total Validation Edits	139	133

Incentive Category			Data Provider Results		
Category	Threshold	Adjustment	Count	%	Adjustment
Past Due	>20%	15%	10	7.2%	0%

DCI Data Expected

Plan Type=Voluntary and Assigned Risk Volume Eligibility=20		
	Expected	Received
Total 18 Month Valuations	73	55
Total >18 Month Valuations	34	25

Incentive Category			Data Provider Results		
Category	Threshold	Adjustment	Count	%	Adjustment
18 Month Valuations Past Due	>50%	10%	20	27.4%	0%
>18 Month Valuations Past Due	>10%	5%	9	26.5%	5%

Note:
- The Unit credit adjustment is not applicable when a debit adjustment applies to either Unit Statistical data or Validation Edits.
- DQIP will evaluate Unit Validation edits that have a due date in April 2021 and thereafter.

- Data types evaluated are:
  - Policies
  - Units
  - Validation Edits
  - Detailed Claim Information

- **Incentive Quarter information**—above the data types, the screen displays the following information about the selected quarter:

  - The Evaluation Date (top left) shows when the DQIP results were last updated. Updates occur monthly.

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- The Evaluation Period (middle) shows the date range of the data evaluated by ***DQIP*** for the quarter.
- The DQIP Factor (right) is the result of the adjustments. It is a multiplier applied to Data Collection and Statistical Agent Fees.
  - Trending indicates that the quarter is not yet complete, so the DQIP Factor is not finalized
  - Applied indicates that the DQIP Factor is final and will be applied to the following quarter's fees

- The criteria are explained in the ***Data Quality Guidebook*** (available at **ncci.com**). They are also summarized in Appendix A.

- **Data Type information**—For each data type, the boxes on the left show information specific to the data type.

  - Plan Type—Indicates which plans (Voluntary and/or Assigned Risk) are evaluated by ***DQIP*** for the data type.

  - Volume Eligibility—Shows the minimum amount to qualify for a ***DQIP*** adjustment for the data type. The amount used depends on the data type:
    - Policy Data—Policies received
    - Unit Statistical Data—Units received or overdue
    - Validation Edits—Validation edits due
    - Detailed Claim Information data—DCI valuations expected

  - Totals (description varies by data type)—The Group's actual totals for the data type:
    - If the Group's total is less than the Volume Eligibility value, then the Group is not subject to ***DQIP*** for that data type.
    - Unit categories use Total Received & Overdue for determining volume eligibility. The 1st Reports Not Audited by the End of the 22nd Month category uses Total 1st Reports Received for volume eligibility.

- ***DQIP* Categories**—For each data type, the grids on the right show the results by category.

  - **Incentive Category:**
    - Incentive Category—A description of the category
    - Threshold—The minimum value percentage to receive a credit or debit adjustment
    - Adjustment—The adjustment received if the category's result meets the threshold

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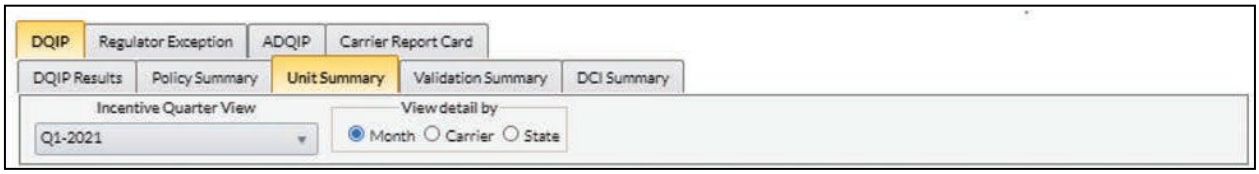
Data Provider Results:

- Count—The actual count of the Group's data that falls within the category
- %—The actual percentage (count/total) of the Group's data that falls within the category
- Adjustment—The credit or debit assessed based on the category's results (debit or credit adjustments are highlighted with orange shading)

DQIP Summaries

- Click the data type Summary tab to display the DQIP results for each category, broken out by month. This allows you to identify months with reporting performance issues.

- The options above the Summary grid allow you to change the Incentive Quarter and to select how to view the detail. The View detail by options vary by data type but may include:
  - Month (default)
  - Carrier
  - State



- **Summary**—For each data type, the Summary grid displays the same totals and category results as the DQIP Results screen. Results are broken out by month.

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DQIP | Regulator Exception | ADQIP | Carrier Report Card

DQIP Results | Policy Summary | Unit Summary | Validation Summary | DCI Summary

Incentive Quarter View: Q1-2021

View detail by: Month / Carrier / State

Evaluation Date 02/01/2021

				Units Received or Due 04/01/2020 - 03/31/2021									
				Available		Not Available					1st Reports Not Audited		
				Threshold>=99%		Threshold>10%		Threshold>3%			Threshold>10%		
	Month/ Carrier	Total Received	Total Overdue	1 Month Early	%	Past Due	%	3 Months Past Due	%	Total 1st Reports Rcv'd	by the End of the 22nd Month	%	*Info Only
	Total	759	0	190	25.0%	288	37.9%	2	0.3%	711	13	1.8%	14
	Mar 2021												
	Feb 2021												
	Jan 2021	0	0	0	0.0%	0	0.0%	0	0.0%	0	0	0.0%	0
▸	Dec 2020	226	0	0	0.0%	108	47.8%	0	0.0%	213	2	0.9%	3
▸	Nov 2020	75	0	0	0.0%	75	100.0%	1	1.3%	70	2	2.9%	2
▸	Oct 2020	105	0	0	0.0%	105	100.0%	1	1.0%	95	2	2.1%	2
	Sep 2020	0	0	0	0.0%	0	0.0%	0	0.0%	0	0	0.0%	0
▸	Aug 2020	91	0	0	0.0%	0	0.0%	0	0.0%	85	0	0.0%	0
▸	Jul 2020	72	0	0	0.0%	0	0.0%	0	0.0%	70	1	1.4%	1
	Jun 2020	0	0	0	0.0%	0	0.0%	0	0.0%	0	0	0.0%	0
	May 2020	0	0	0	0.0%	0	0.0%	0	0.0%	0	0	0.0%	0
▸	Apr 2020	190	0	190	100.0%	0	0.0%	0	0.0%	178	6	3.4%	6

*Informational Only - 1st Reports Not Audited as of the Evaluation Date

- Any percentages that are potential issues (i.e., below threshold for credit categories or above threshold for debit categories) are highlighted in orange shading. Focus on these when delving into your DQIP results.

- Click the arrows to drill down further into the data.

◂	Dec 2020	226	0	0	0.0%	108	47.8%	0	0.0%	213	2	0.9%	3
	24831	204	0	0	0.0%	99	48.5%	0	0.0%	193	2	1.0%	3
	33912	22	0	0	0.0%	9	40.9%	0	0.0%	20	0	0.0%	0

- The data counts are hyperlinks (as described in the How to Drill Down to Detailed/Key Field Data section of this guide).
  - Clicking the link will open a detailed list of the data that comprises the selected count
  - The list can be printed or downloaded as a CSV document

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Regulator Exception Program Overview

Description

The ***Regulator Exception Program*** provides information to state regulators regarding data providers that are significantly impacting the availability of Unit Statistical Data used in experience rating modifications in the regulator's state.

- The report only includes data that is not available 3 or more months past due as of the Evaluation Date and is based on experience rated units for the state (1st through 3rd Unit Reports)

The **Preview** tab under the **Regulator Exception** tab displays data applicable to the Regulator Exception Report, allowing you to monitor your data *prior to* the issuance of the report to regulators.

For a detailed explanation of the ***Regulator Exception Program***, refer to the ***Data Quality Guidebook*** (available at **ncci.com**).

Data Included

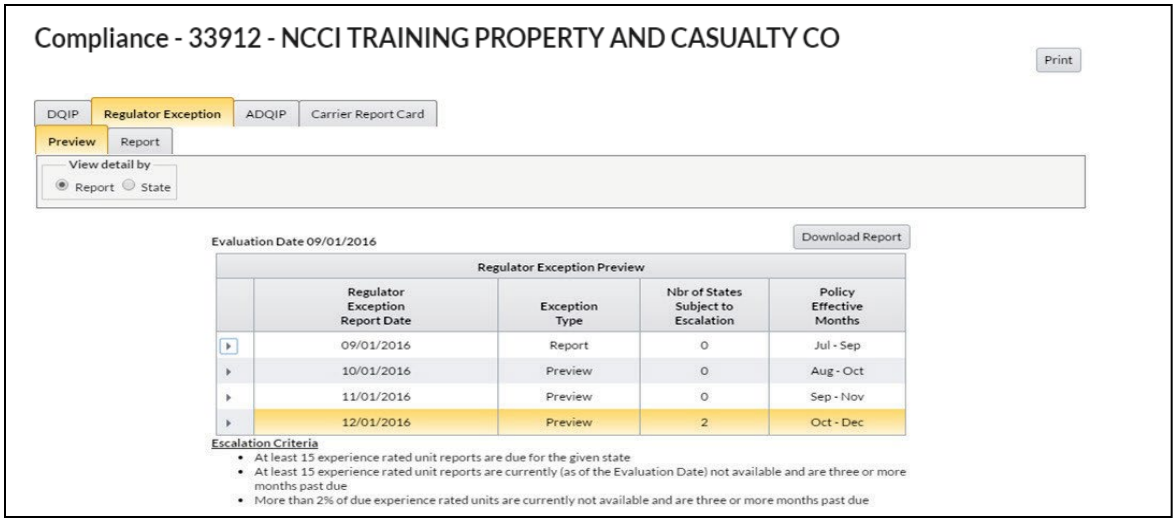
- Data is aggregated at the Group level for the ***Regulator Exception Program***
- The ***Regulator Exception Program*** only considers 1st to 3rd report level experience rated units

Accessing Regulator Exception Program Data

On the main menu, select the **Compliance** option.

Select the **Regulator Exception** tab.

The Preview screen appears:



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There are two subfolder options within the Regulator Exception tab:

- Preview (default screen)
- Report

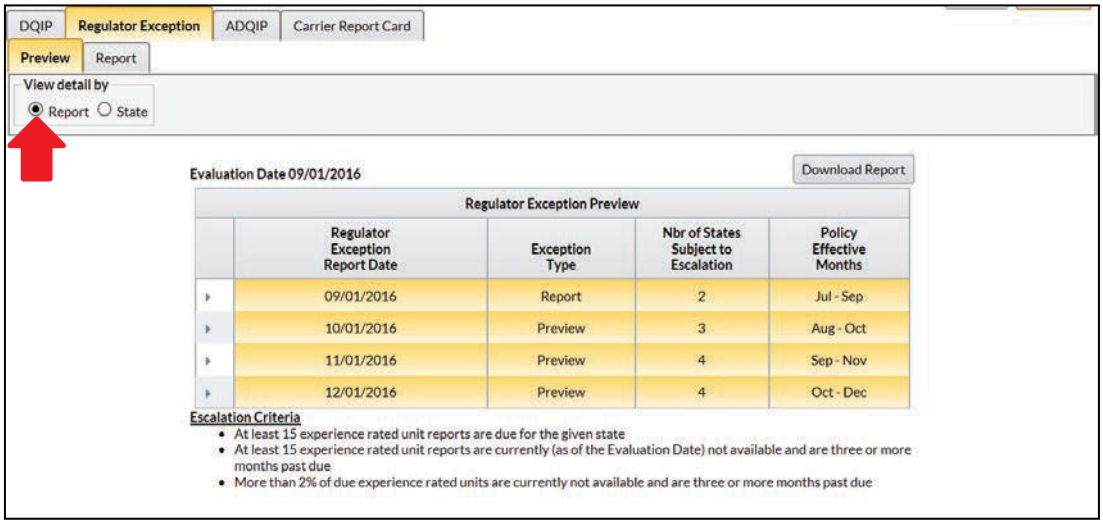
Regulator Exception—Preview

- The Preview grid shows data providers if they are being escalated or are trending to escalation for each month and state

- There are two options (radio buttons) for viewing the detail:
  - Report
  - State

View detail by Report

- Select **Report** to display the Preview by Report Date.



- The Evaluation Date shows when the ***Regulator Exception Program*** results were last updated. Updates occur monthly.

- The screen displays the following Exception Types:

  - **Report**—Final results for the current month. If they are above the escalation criteria in a state, then the state regulator will be informed via the Regulator Exception Report.

  - **Preview**—Preliminary data for the next 3 months. By submitting or correcting the not available units, the carrier may avoid escalation for a state currently above the escalation threshold.

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- For each Regulator Exception Report Date, the following columns are displayed:
  - **Exception Type**—Whether it is a report or preview
  - **Nbr of States Subject to Escalation**—For that report date, the number of states that are at or above the escalation criteria
  - **Policy Effective Months**—The policy effective months that are evaluated for the specified Regulator Exception Report Date
- The **Escalation Criteria** displays the criteria for the program at the bottom of the screen.
  - The criteria are explained in the ***Data Quality Guidebook*** (available at **ncci.com**). They are also summarized in Appendix B.
  - Orange shading denotes any Regulator Exception Report Dates and/or States that are at risk for escalation (they are at or above the escalation criteria).
- To determine which states are subject to escalation, click the arrow to the left of the Regulator Exception Report Date and expand the grid. The following columns display for each state:

State	Units Subject to Experience Rating	Units Due	Not Available (Data Grade 5 & Missing)	
			Total	%
AK-54	View	69	1	1.4%
AL-01	View	187	3	1.6%
AR-03	View	173	1	0.6%
AZ-02	View	432	0	0.0%
CO-05	View	321	4	1.2%
CT-06	View	291	13	4.5%
DC-08	View	170	1	0.6%
FL-09	View	748	18	2.4%

  - **Units Subject to Experience Rating**—Click the **View** hyperlink to get the details by month for the state
  - **Units Due**—The number of units due in the state for the Policy Effective Months applicable to the report
  - **Not Available (Data Grade 5 & Missing)**
    - **Total**—Of the units due, the number that are currently not available for use and are 3 or more months past due

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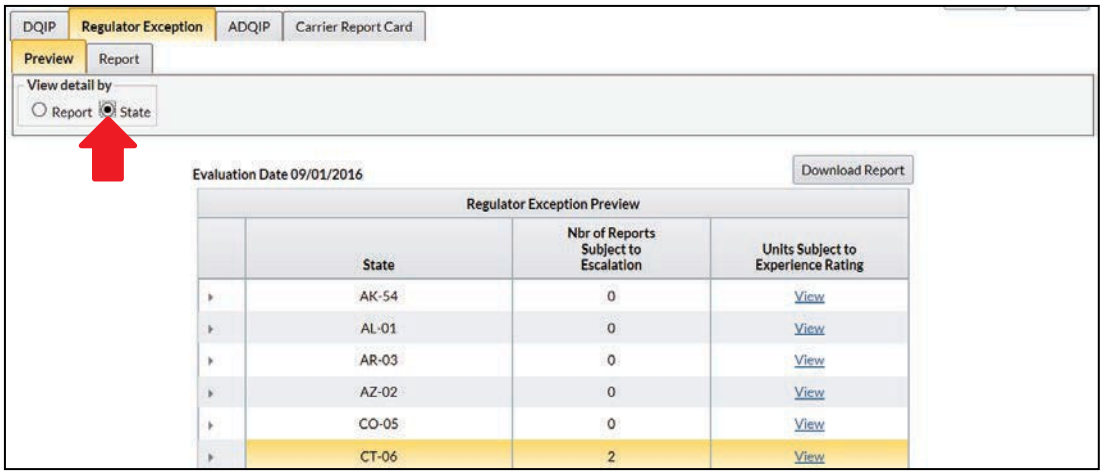
- **%**—The percentage of the units due that are not available (Not Available Total/Units Due)

- **Download Report**—Clicking this button will generate a CSV report listing the currently not available units evaluated by the ***Regulator Exception Program***

View detail by State

- Select **State** to display the preview by State.



- The Evaluation Date shows when the ***Regulator Exception Program*** results were last updated. Updates occur monthly.

- For each State, the following columns are displayed:

  — **Nbr of Reports Subject to Escalation**—For that state, the number of report months that are at or above the escalation criteria

  — **Units Subject to Experience Rating**—Click the **View** hyperlink to get the details by month for the state

- The **Escalation Criteria** displays the criteria for the program at the bottom of the screen.
  — The criteria are explained in the ***Data Quality Guidebook*** (available at **ncci.com**). They are also summarized in Appendix B.

  — Orange shading denotes any Regulator Exception Report Dates and/or States that are at risk for escalation (they are at or above the escalation criteria).

- To determine which reports are subject to escalation, click the arrow next to the State and expand the grid. The following columns are now displayed for each report:

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Exception Report Eligibility- Based on 3 Months Totals					
Rating Eff 3 Month/Year Period	Regulator Exception Report Date	Policy Effective Months	Units Due	Not Available (Data Grade 5 & Missing)	
				Total	%
10/16 - 12/16	12/01/16	Oct - Dec	313	17	5.4%
09/16 - 11/16	11/01/16	Sep - Nov	294	17	5.8%
08/16 - 10/16	10/01/16	Aug - Oct	291	13	4.5%
07/16 - 09/16	09/01/16	Jul - Sep	317	10	3.2%

- **Rating Eff 3 Month/Year Period—T**he date range of ratings primarily affected by the units evaluated for the Regulator Exception Report Date.

- **Regulator Exception Report Date—**The evaluation date of the report. Note that future dates are previews showing preliminary data. By submitting or correcting the not available units, the carrier may avoid escalation for a report currently above the escalation threshold.

- **Policy Effective Months—**The policy effective months that are evaluated for the specified Regulator Exception Report Date.

- **Units Due**—The number of units due in the state for the Policy Effective Months applicable to the report.

- **Not Available (Data Grade 5 & Missing)**
  - **Total**—Of the units due, the number that are currently not available for use and are 3 or more months past due
  - **%**—The percentage of the units due that are not available (Not Available Total/Units Due)

- **Download Report**—Clicking this button will generate a CSV report listing the units currently not available evaluated by the ***Regulator Exception Program***.

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Units Subject to Experience Rating

- The grid shows the results by month for the selected Report Date/State. Use this screen to isolate the Policy Effective Date range of units not available.

Regulator Exception Preview - Units Subject to Experience Rating								
10/01/2016 - FL-09								
					Not Available			
Rating Eff Month/Year Impacted	Policy Eff Month (1st - 3rd Report Levels)	Initial Regulator Exception Report Date (if eligible)	Due Date	Units Due	Data Grade 5	Missing	Total	%
12/2016	DEC	12/01/2016	08/2016	312	1	7	8	2.6%
11/2016	NOV	11/01/2016	07/2016	227	1	5	6	2.6%
10/2016	OCT	10/01/2016	06/2016	298	1	11	12	4.0%
09/2016	SEP	09/01/2016	05/2016	232	0	2	2	0.9%
08/2016	AUG	08/01/2016	04/2016	218	0	4	4	1.8%
07/2016	JUL	07/01/2016	03/2016	311	1	4	5	1.6%

- The grid displays the following information:
  - **Dates**—The first four columns show date information (such as the month the unit is due and the date the Policy Effective Month could initially be listed on a Regulator Exception Report)
  - **Unit Statistical Data**—The remaining columns show the unit reporting results by month
- The data elements are the same as listed on the Exception Preview.
- The count of Units Not Available is further split into units with Data Grade 5 errors and missing units.

Exception Report

- The Report screen shows results that were submitted to a state regulator on a Regulator Exception Report
- There are two options (radio buttons) for viewing the detail:
  - Report
  - State

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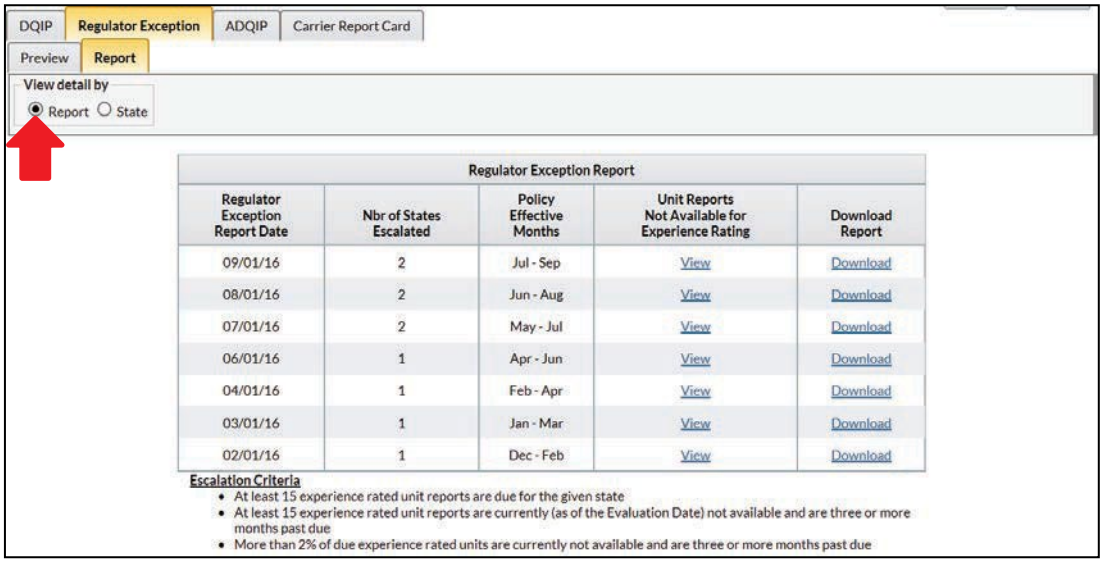
View detail by Report

- Select **Report** to display the Regulator Exception reports by Report Date



Regulator Exception Report Date	Nbr of States Escalated	Policy Effective Months	Unit Reports Not Available for Experience Rating	Download Report
09/01/16	2	Jul - Sep	View	Download
08/01/16	2	Jun - Aug	View	Download
07/01/16	2	May - Jul	View	Download
06/01/16	1	Apr - Jun	View	Download
04/01/16	1	Feb - Apr	View	Download
03/01/16	1	Jan - Mar	View	Download
02/01/16	1	Dec - Feb	View	Download

- The grid includes the following columns:
  - **Regulator Exception Report Date**—The date of the report(s) sent to state regulators
  - **Nbr of States Escalated**—How many states received the Exception Report for that Report Date
  - **Policy Effective Months**—The policy effective months that are evaluated for the specified Regulator Exception Report Date
  - **Unit Reports Not Available for Experience Rating**—Click the **View** hyperlink to open the grid showing escalated states and unit counts
  - **Download Report**—Click the **Download** hyperlink to open a CSV report showing the details of the units that were not available when the report was evaluated
- If the screen displays "No results found," then the carrier has not been escalated to a state regulator within the previous 16 months
- The **Escalation Criteria** displays the criteria for the program at the bottom of the screen
  - The criteria are explained in the ***Data Quality Guidebook*** (available at **ncci.com**). They are also summarized in Appendix B.
- Once a carrier exceeds the escalation criteria in a state, it will appear on the Exception Report for that state for an additional 2 months after falling below the criteria

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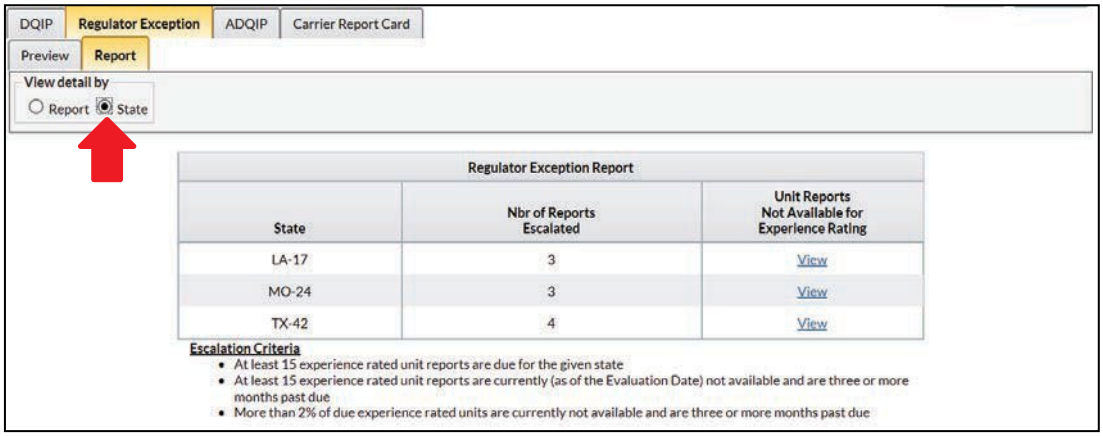
View detail by State

- Select **State** to display the Regulator Exception reports by State



- The grid includes the following columns:
  - **State**—Lists all the states that received an Exception Report for the carrier
  - **Nbr of Reports Escalated**—How many reports the carrier was on for that state
  - **Unit Reports Not Available for Experience Rating**—Click the **View** hyperlink to open the grid showing escalated reports and unit counts
- If the screen displays "No results found," then the carrier has not been escalated to a state regulator within the previous 16 months
- The **Escalation Criteria** displays the criteria for the program at the bottom of the screen
  - The criteria are explained in the ***Data Quality Guidebook*** (available at **ncci.com**). They are also summarized in Appendix B.
- Once a carrier exceeds the escalation criteria in a state, it will appear on the Exception Report for that state for an additional 2 months after falling below the criteria

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Unit Reports Not Available for Experience Rating

- The grid shows the counts of units due and not available for the state and Report Date

Regulator Exception Report - Unit Reports Not Available for Experience Rating				
09/01/2016				
State	Rating Eff Month/Year	Units Due	Not Available (Data Grade 5 & Missing)	
			Total	%
LA-17	Total	266	24	9.0%
	09/2016	78	6	7.7%
	08/2016	77	10	13.0%
	07/2016	111	8	7.2%
TX-42	Total	844	66	7.8%
	09/2016	275	26	9.5%
	08/2016	247	16	6.5%
	07/2016	322	24	7.5%

- Access this grid by clicking **View** while in either the Report or State view

- The grid includes the following information:

  - **State** or **Regulator Exception Report Date** escalated

  - **Rating Eff Month/Year** listed on the selected Regulator Exception Report
    - The **Total** row shows the sum of the 3 months evaluated by the specific report

  - **Units Due**—the number of units due that were evaluated

  - **Not Available (Data Grade 5 & Missing)**
    - **Total**—Of the units due, the number that were currently not available for use as of the Report Date and were 3 or more months past due
    - **%**—The percentage of the units due that are not available (Not Available Total/Units Due)

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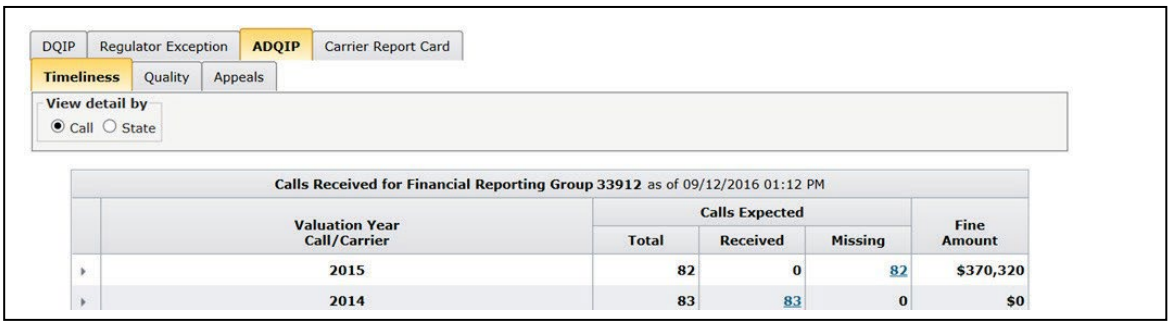
Aggregate Data Quality Incentive Program Overview

Description

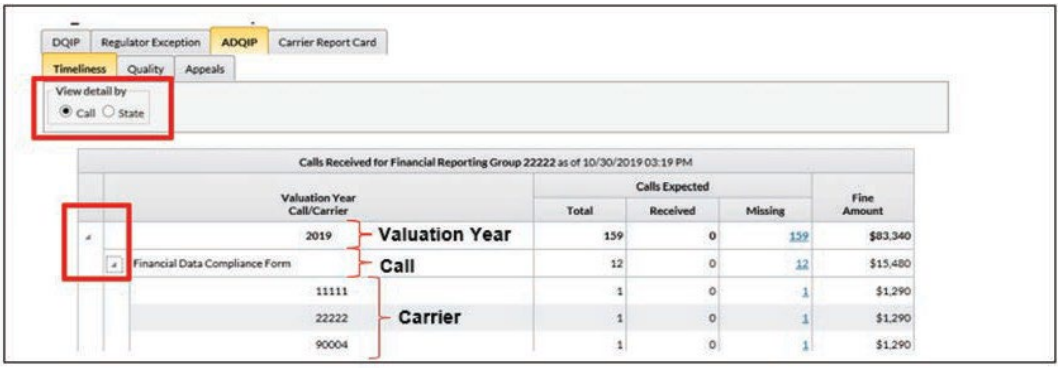
- ***ADQIP*** results are found under the main menu option Compliance
- ***ADQIP*** provides carriers with an incentive to submit Financial Call data in a timely and accurate manner by applying credits for accurate early reporting of key ratemaking Calls and reallocating the impact of late and/or inaccurate reporting of Financial Calls subject to ***ADQIP*** back to the applicable carriers by levying assessments
- For a detailed explanation of ***ADQIP***, refer to the program documentation in the ***Data Quality Guidebook*** (available at **ncci.com**)

Timeliness

- On the main menu, select the **Compliance** option
- Click the **ADQIP** tab
- The Timeliness screen appears:



- The grid displays the timeliness results and fine amounts for Calls subject to ***ADQIP***
- There are two views to select from to assist you in viewing your data:
  - View detail by **Call**—Enables you to view data by Valuation Year, Call, and then by Carrier



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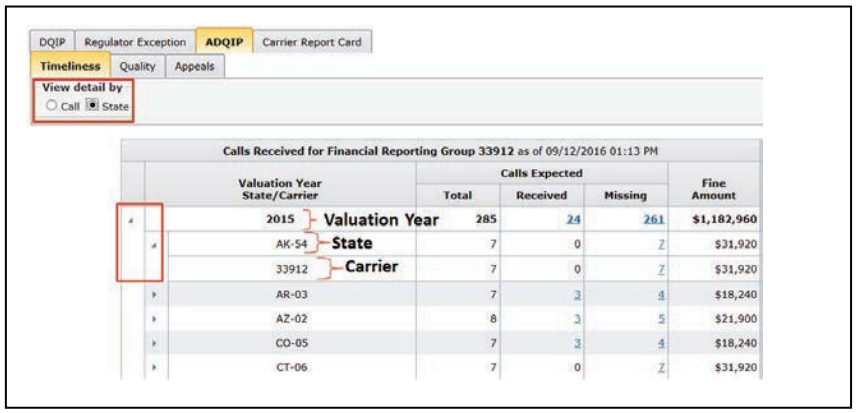
— View detail by **State—**Enables you to view data by Valuation Year, State, and then by Carrier



- **Expand/Collapse Functionality** (not available for all grids): Click the arrows on a summary row to view your data at a more detailed level. For example, you can expand upon a summary row for a year to see the data broken out by Call, State, or Carrier.

- You will always see two Valuation Years in the grid: the current Valuation Year and Prior Valuation Year.

- Regardless of which view you select, you can see the **Total** Calls Expected, those Calls **Received** and those Calls **Missing**. On the far right is the **Fine Amount** column.

- To see more details at the Year, State, Call, or Carrier level, click any hyperlink under the Received and Missing columns (for any values > 0).

- Upon clicking any of these hyperlinks, you'll be able to see the following columns:
  - Carrier Code
  - State
  - Market Share
  - Due Date
  - Fine Start Date
  - Received Date
  - Days Late/(Early)
  - Fine

- Note that data is displayed at the Reporting Group level for ***ADQIP***.

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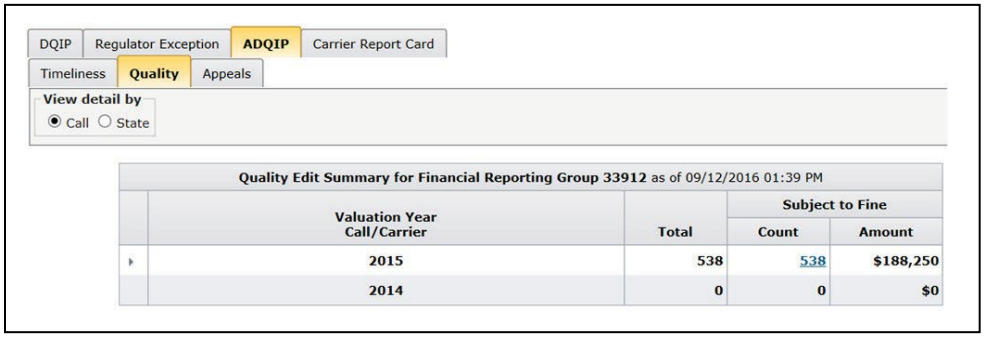
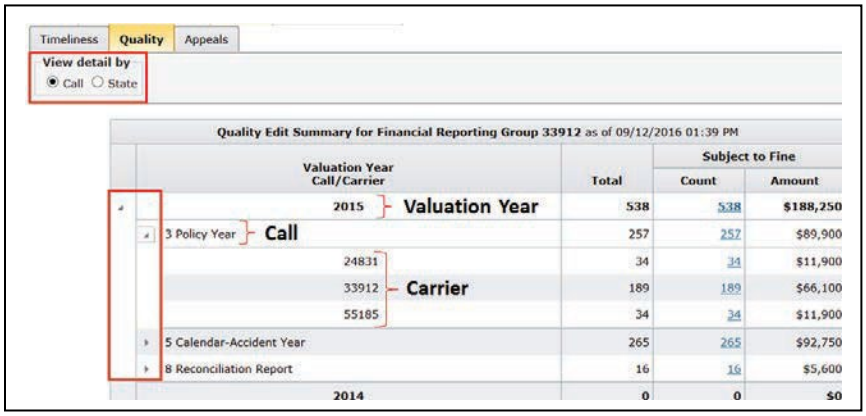
Quality

- On the main menu, select the **Compliance** option
- Click the **ADQIP** tab
- Click the **Quality** tab that's next to the **Timeliness** tab



- This screen displays the Quality Edit Summary and associated fine amounts for Calls subject to ***ADQIP***
- There are two views to select from to assist you in viewing your data:
  - View detail by **Call**—Enables you to view data by Valuation Year, Call, and then by Carrier



  - View detail by **State—**Enables you to view data by Valuation Year, State, and then by Carrier

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- **Expand/Collapse Functionality** (not available for all grids): Click the arrows on a summary row to view your data at a more detailed level. For example, you can expand upon a summary row for a year to see the data broken out by Call, State, or Carrier.

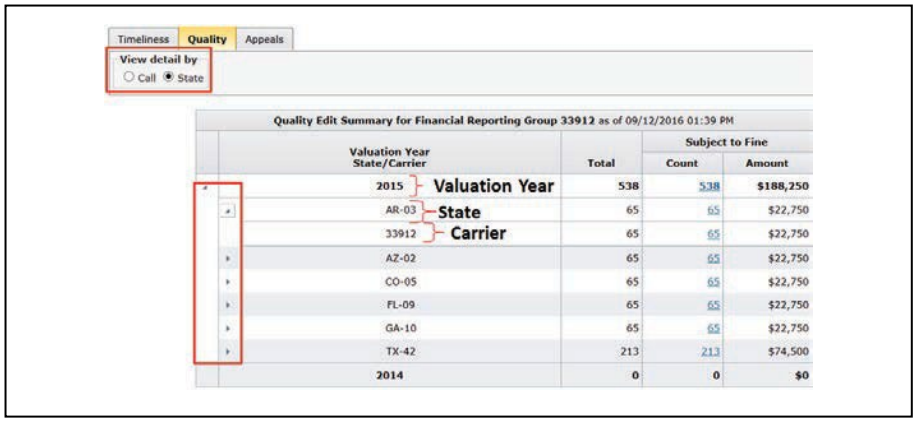
- Each row of this grid displays the fine amount either at a summary level or detail level (dependent on whether you expand or collapse the grid view). This enables you to pinpoint where the fines are stemming from.

- You will always see two Valuation Years in the grid: the current Valuation Year and Prior Valuation Year.

- Regardless of which view you select, you can see the **Total** edits received, the **Count** of those subject to fines, and the **Amount** in the last column.

- To see more details at the Year, State, Call, or Carrier level, click any hyperlink under the Count column (for any values > 0).

- Upon clicking any of these hyperlinks, you'll be able to see the following columns:
  - Carrier Code
  - State
  - Edit—This identifies the reason a Call received an edit
    - A Call and State may have multiple edits
    - Descriptions are located in the Financial Call Edit Matrix, which is accessible through the ***Financial Call Reporting Guidebook*** on **ncci.com**
  - Observation Year—Depending on the Call, this is either the Policy Year or Calendar/Accident Year
  - Explanation Accepted Status—Whether NCCI accepted an explanation provided by the carrier
  - Corr Status—Whether the edit was corrected
  - Fine Status—Whether a fine applies due to the edit
  - Explanation Assessment—Whether an additional fine was assessed due to a blank, vague, or incomplete edit explanation



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- Notification Assessment—Whether an additional fine was assessed due to a notification being sent on an edit where additional information is needed to resolve the edit or a correction to the data is needed to resolve the edit
- Fine—The fine associated with the particular edit

- Note that data is displayed at the Reporting Group level for ***ADQIP***, except for Texas Calls, which are reported at the coverage provider level.

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Appeals

- On the main menu, select the **Compliance** option
- Click the **ADQIP** tab
- Click the **Appeals** tab

- The ADQIP Appeals screen allows a carrier to view appeal information. To submit an appeal, you must use the ***Financial Data Collection*** tool.

- For details on submitting an appeal, refer to the ADQIP section of the ***Data Quality Guidebook*** (available at **ncci.com**).

  Note: Appeals must be submitted within 30 days of the release of the second ***ADQIP*** assessment letter. NCCI will respond to an appeal within 30 days of submission.

- This grid displays the most current Appeals at the top of the screen, in descending order by Appeal ID. The following information is displayed:
  - Appeal ID
  - Valuation Date
  - Group Code
  - Description
  - Status
  - Status Date
  - Last Updated by information

- You can expand/collapse the rows containing unique Appeal IDs by clicking the arrows on the far left. Upon expanding these rows, you can view additional information, such as:
  - Amount Granted
  - Comment
  - NCCI Response

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Carrier Data Quality Report Program (Carrier Report Card) Overview

Description

The ***Carrier Data Quality Report Program***, also referred to as the ***Carrier Report Card***, is the data quality program that evaluates the overall annual reporting performance of Financial Call, Unit Statistical, Policy, and Detailed Claim Information (DCI) data.

This annual ***Carrier Report Card*** evaluates a carrier's data reporting performance based on data that was received or due to NCCI during the previous calendar year, and in accordance with the parameters of each data type.

A Regulator ***Carrier Report Card***, which lists carriers with failing grades, will be sent to state regulators annually.

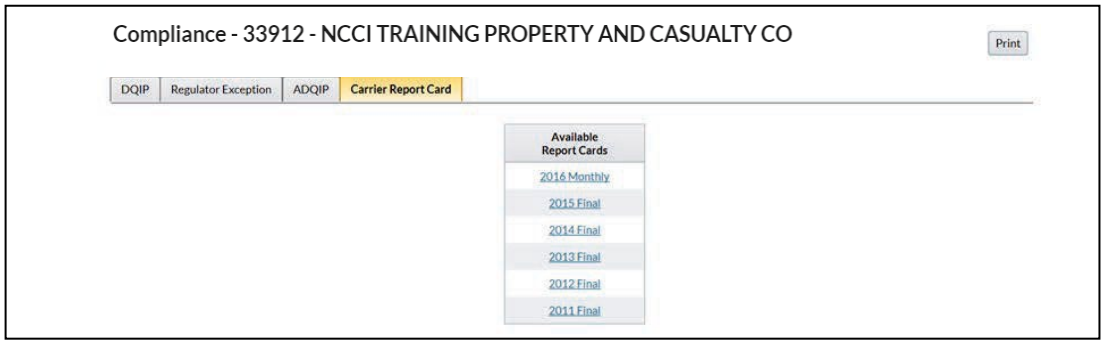
For a detailed explanation of the ***Carrier Report Card***, refer to the ***Data Quality Guidebook*** (available at **ncci.com**).

Accessing the Carrier Report Card

On the main menu, select the **Compliance** option.

Click the **Carrier Report Card** tab.

You will have the option to select the ***Carrier Report Card*** for the current year in addition to the most recent five prior ones, if available.



— The current year ***Carrier Report Card*** is a tracking version with year-to-date results as of the latest monthly update

The ***Carrier Report Card*** may include up to three pages:

- **Carrier Data Quality Report**—Results and grades for Financial, Unit, Policy, and Texas DCI data reporting
- **Medical Data Addendum**—Results and grades for Medical data reporting (for Medical reporters only)
  Indemnity Data Addendum—Results and grades for Indemnity data reporting (for Indemnity reporters only)

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The Addendums will not be displayed with:

- The Final ***Carrier Report Cards*** for Calendar Years 2013 and prior
- The Monthly ***Carrier Report Cards***

Carrier Data Quality Report

2022 Monthly Carrier Data Quality Report
Company Specific Data Availability Results - Data Received or Due in 2022

Carrier Group Code: 33912 - NCCI TRAINING PROPERTY AND CASUALTY CO

Evaluation Date: 08/01/2022
Evaluation Period: 01/01/2022 to 07/31/2022
Creation Date: 08/15/2022

	Financial Data (Evaluates financial call data due in 2022)						Unit Statistical Data (Evaluates 1st through 10th unit reports due in 2022)			Policy Data (Evaluates policies received in 2022)			Detailed Claim Information (Evaluates Valuations due in 2022)				
	Timeliness			Quality Tier III			Availability			Timeliness			Timeliness				
State	# Expected Calls	Average Days Late	Grade	# Calls Received	Average # Fined Edits	Grade	# Units Expected	% Available On Time	Grade	# Policies Received	% Received Within 60 Days	Grade	# Expected 18 Month Valuations	% On Time**	# Expected > 18 Month Valuations	% On Time**	Overall Grade
OVERALL	**510**	**0.2**	**A**	**509**	**0.0**	**PASS**	**10,116**	**99.5%**	**A**	**7,082**	**99.7%**	**A**	**22**	**59.1%**	**43**	**90.7%**	**PASS**
Alabama	16	0.0		16	0.0		63	100.0%		53	100.0%		0	-	0	-	
Alaska	3	0.0		3	0.0		3	100.0%		7	100.0%		0	-	0	-	
Arizona	13	0.0		13	0.0		213	100.0%		198	99.0%		0	-	0	-	
Arkansas	16	0.0		16	0.0		577	99.3%		488	100.0%		0	-	0	-	
Colorado	14	0.0		14	0.0		107	99.1%		116	99.1%		0	-	0	-	
Connecticut	16	0.0		16	0.0		115	100.0%		115	100.0%		0	-	0	-	
District of Columbia	13	0.0		13	0.0		6	100.0%		14	100.0%		0	-	0	-	
Florida	10	0.0		10	0.0		117	97.4%		44	88.6%		0	-	0	-	
Georgia	14	0.0		14	0.0		121	99.2%		95	100.0%		0	-	0	-	
Hawaii	3	0.0		3	0.0		3	100.0%		4	100.0%		0	-	0	-	
Idaho	13	0.0		13	0.0		26	100.0%		25	100.0%		0	-	0	-	
Illinois	21	0.0		21	0.0		1,064	99.2%		796	99.7%		0	-	0	-	
Indiana	16	0.0		16	0.0		437	100.0%		374	99.2%		0	-	0	-	
Iowa	21	0.0		21	0.0		2,135	99.6%		1,872	99.8%		0	-	0	-	
Kansas	17	7.1		16	0.0		1,057	99.5%		1,220	99.8%		0	-	0	-	
Kentucky	12	0.0		12	0.0		264	99.2%		196	100.0%		0	-	0	-	
Louisiana	13	0.0		13	0.0		63	100.0%		47	100.0%		0	-	0	-	
Maryland	12	0.0		12	0.0		47	100.0%		50	100.0%		0	-	0	-	
Mississippi	16	0.0		16	0.0		104	100.0%		75	100.0%		0	-	0	-	
Missouri	18	0.0		18	0.0		477	99.6%		357	100.0%		0	-	0	-	
Montana	9	0.0		9	0.0		29	100.0%		24	100.0%		0	-	0	-	
Nebraska	18	0.0		18	0.0		1,151	99.7%		1,010	99.9%		0	-	0	-	
Nevada	13	0.0		13	0.0		73	100.0%		64	100.0%		0	-	0	-	
New Hampshire	14	0.0		14	0.0		37	94.6%		44	100.0%		0	-	0	-	
New Mexico	12	0.0		12	0.0		80	100.0%		68	98.5%		0	-	0	-	
North Carolina	16	0.0		16	0.0		460	99.6%		96	100.0%		N/A	N/A	N/A	N/A	
Oklahoma	14	0.0		14	0.0		245	99.6%		209	99.5%		0	-	0	-	
Oregon	13	0.0		13	0.0		25	100.0%		30	100.0%		0	-	0	-	
Rhode Island	13	0.0		13	0.0		58	98.3%		68	100.0%		0	-	0	-	
South Carolina	16	0.0		16	0.0		129	98.4%		90	100.0%		0	-	0	-	
South Dakota	21	0.0		21	0.0		259	100.0%		253	99.2%		0	-	0	-	
Tennessee	16	0.0		16	0.0		116	100.0%		102	100.0%		0	-	0	-	
Texas	15	0.0		15	0.0		221	98.6%		231	100.0%		22	59.1%	43	90.7%	
Utah	12	0.0		12	0.0		96	100.0%		129	97.7%		0	-	0	-	
Vermont	13	0.0		13	0.0		6	100.0%		16	100.0%		0	-	0	-	
Virginia*	15	0.0		15	0.0		114	97.4%		120	100.0%		0	-	0	-	
West Virginia	3	0.0		3	0.0		18	100.0%		20	100.0%		0	-	0	-	
Wisconsin	N/A	N/A		N/A	N/A		0	-		149	100.0%		N/A	N/A	N/A	N/A	

* Per the Virginia Bureau of Insurance, multistate grading criteria & eligibility thresholds will be applied to Virginia-only data. Virginia failures will also be included in the Virginia Regulator Report on Data Quality and are highlighted above, if applicable.
** DCI timeliness is capped at 100%. This does not impact the calculation of the overall grade.
*** Failure is due to named experience period exclusion in one or more states.
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- The ***Carrier Report Card*** shows company-specific calendar year results and grades for Financial, Unit Statistical, Policy, and Detailed Claim Information data.
- Grading is based on the overall (aggregate of all states) data. State-level detail is also shown.
  - For the purposes of the Virginia Regulator ***Carrier Report Card***, grading is based on data in Virginia only. Failures for this state will be highlighted in yellow.
  - The grading criteria are explained in the ***Data Quality Guidebook*** (available at **ncci.com**). They are also summarized in Appendix C.

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There are three versions of the ***Carrier Report Card***:

- Monthly—Tracks the current calendar year with year-to-date results and grades as of the latest update. Note that grades are not finalized and could change between the latest update and the end of the year.
- Preliminary—Shows preliminary results and grades. It is distributed to the data manager and Financial Call actuary/corporate officer contacts in late January.
- Final—Shows the final results and grades. It is distributed to data executive contacts in late February.

Data Included

- Data is displayed at the Group level for data received or due during the calendar year

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Medical Data Addendum

2013 Preliminary Medical Data Addendum Preview
Company Specific Data Availability Results - Data Due in 2013

Carrier Group Code: 33912
Carrier Group Name: NCCI TRAINING PROPERTY AND

Evaluation Date: 12/01/2013
Creation Date: 01/22/2014

		Completeness			Quality				
Reporting Quarter	Due Date	% Categories Completed on Time	Quarters Completed on Time	Grade	Critical Elements	Priority Elements	Low Elements	Quarters Passing	Grade
4Q 2012	3/31/2013	100%	Y		0	0	0	Y	
1Q 2013	6/30/2013	100%	Y		0	0	0	Y	
2Q 2013	9/30/2013	100%	Y		0	0	0	Y	
3Q 2013	12/31/2013	100%	Y		0	0	0	Y	
			4	PASS				4	PASS

Completeness Grading

Completeness Grade is based on the number of **Quarters Completed on Time.**

A quarter is complete when 100% of categories are completed by Due Date.

PASS >= 3 quarter(s) completed on time
FAIL < 3 quarter(s) completed on time

Quality Grading

Quality Grade is based on the number of **Quarters Passing.**

A quarter passes if:
- 0 Fined Critical Elements
- <= 2 Fined Priority Elements
- <= 2 Fined Low Elements

PASS >= 3 quarter(s) passing
FAIL < 3 quarter(s) passing

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- For Medical Data Call participants, a separate addendum evaluating Medical Data Call reporting is included with the ***Carrier Report Card***
- The Medical Data Addendum includes the four quarterly Medical Data Call submissions due in the calendar year being evaluated
- The grade for Medical Data Addendum is based on the Completeness and Quality of Call submissions, with grading on a "Pass" or "Fail" basis
  - The grading criteria are displayed on the Addendum
- The Medical Data Addendum is not shown on the Monthly ***Carrier Report Cards***.
  - To track your Medical data during the year, review the quarterly incentive program results displayed in NCCI's ***Medical Data Collection*** tool. A quarter without fines would be considered completed on time and passing quality.

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Indemnity Data Addendum

2022 Preliminary Indemnity Data Addendum
Company Specific Data Availability Results – Data Due in 2022

Carrier Group Code:	33912	Evaluation Date:	01/01/2023
Carrier Group Name:	NCCI TRAINING PROPERTY AND CASUALTY CO	Creation Date:	01/01/2022

		Completeness			Transaction & Quarterly Quality					
Reporting Quarter	Due Date	% Categories Completed on Time	Quarters Completed on Time	Grade	Required Elements	Critical Elements	Priority Elements	Supplemental Elements	Quarters Passing	Grade
4Q 2021	3/31/2022	50%	N		0	0	1	1	Y	
1Q 2022	6/30/2022	100%	Y		0	0	2	2	Y	
2Q 2022	9/30/2022	100%	Y		0	0	2	2	Y	
3Q 2022	12/31/2022	100%	Y		0	0	2	2	Y	
			3	PASS					4	PASS

- For Indemnity Data Call participants, a separate addendum evaluating Indemnity Data Call reporting is included with the ***Carrier Report Card***
- The Indemnity Data Addendum includes the four quarterly Indemnity Data Call submissions due in the calendar year being evaluated
- The grade for Indemnity Data Addendum is based on the Completeness and Transaction and Quarterly Quality of Call submissions, with grading on a "Pass" or "Fail" basis
  - The grading criteria are displayed on the Addendum
- The Indemnity Data Addendum is not shown on the Monthly ***Carrier Report Cards***.
  - To track your Indemnity data during the year, review the quarterly incentive program results displayed in NCCI's ***Indemnity Data Collection*** tool. A quarter without fines would be considered completed on time and passing quality.

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Appendix A

Incentive Program Criteria

Data Quality Incentive Program criteria:

Data Type	Category	Volume Eligibility	Threshold	Adjustment
Policies	Available Prior to Policy Effective Date	120	>= 80%	**–5%**
	Not Available Within 60 Days of Policy Effective Date	120	> 20%	**0%**
Units	Available 1 Month Early	120	>= 99%	**–10%**
	Not Available Past Due	120	> 10%	**10%**
	Not Available 3 Months Past Due	120	> 3%	**25%**
	1st Reports Not Audited by the End of the 22nd Month	120	> 10%	**10%**
Validation Edits	Past Due	50	> 20%	**15%**
Detailed Claim Information (TX only)	DCI 18-Month Valuations Past Due	20*	> 50%	**10%**
	DCI > 18-Month Valuations Past Due		> 10%	**5%**

* Based on the sum of the DCI 18-Month Valuations Expected and the > 18-Month Valuations Expected.

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Appendix B

Regulator Exception Report Criteria

In order to be escalated on the Regulator Exception Report, the following criteria must be met or exceeded:

- At least 15 experience rated Unit Reports are due for the given state
- At least 15 experience rated Unit Reports are currently (as of the Evaluation Date) not available and are 3 or more months past due
- More than 2% of the due experience rated units are currently not available and are 3 or more months past due

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Appendix C

Carrier Report Card Grading Information

Financial Data

The **Timeliness** grade is based on the average days late, where zero days late is used for calls received early in the calculation of average days late.

The data evaluated includes Financial Calls 3, 5, 8, 20, 21, 3A, 31, 5A due in the performance year.

The grading scale is as follows:

Average Days Late	Grade
0 - < 1	A
1 - < 6	B
6 - < 9	C
9 - < 12	D
> = 12	F

The **Quality** grade is based on the average number of fined edits per call per state.

The data evaluated includes Financial Calls 3, 5, 8, 20, 21, 3A, 31, 5A due in the performance year.

A carrier will receive a failing Quality grade when listed as excluded from the experience period in any applicable state's loss cost or rate filing.

The grading scale is as follows:

Tier	Nbr of States	Avg Nbr of Fined Edits	
		Pass	Fail
Tier I	1 - 2	< = 4.0	> 4.0
Tier II	3 - 9	< = 1.5	> 1.5
Tier III	10 - 50	< = 1.0	> 1.0

Unit Statistical Data

The **Availability** grade is based on the percentage of units received and available by due month.

The data evaluated includes 1st - 10th reports due in the performance year.

Units reported directly to Wisconsin and North Carolina are not evaluated.

Minimum for grading: 120 units.

The grading scale is as follows:

% Available On Time	Grade
98 - 100	A
90 - < 98	B
80 - < 90	C
70 - < 80	D
< 70	F

Policy Data

The **Timeliness** grade is based on the percentage of policies received within 60 days of policy effective date.

The data evaluated includes policies received in the performance year, including interstate rated policies for North Carolina and Wisconsin.

Minimum for grading: 120 policies.

The grading scale is as follows:

% Received Within 60 Days	Grade
95 - 100	A
90 - < 95	B
80 - < 90	C
70 - < 80	D
< 70	F

Detailed Claim Information

Detailed Claim Information (DCI) Timeliness is based on the number of DCI valuations received on time, compared to the number expected, for Texas only.

The data evaluated includes all valuations (018-138) due in the performance year, with one overall grade.

Minimum for grading:
18 Month Valuations - 15 expected.
> 18 Month Valuations - 5 expected.

When both of these categories meet the minimum grading threshold, both categories must pass to receive a passing grade. If the minimum grading threshold is met for only one of the two categories, then the grade will be solely based on that one category.

The grading scale is as follows:

18 Month Valuations %	Grade
> = 50	PASS
< 50	FAIL

> 18 Month Valuations %	Grade
> = 80	PASS
< 80	FAIL

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