



## Data Now Program (DNP) Researching and Correcting POC Edits

### Chapter 1: Proof of Coverage (POC)

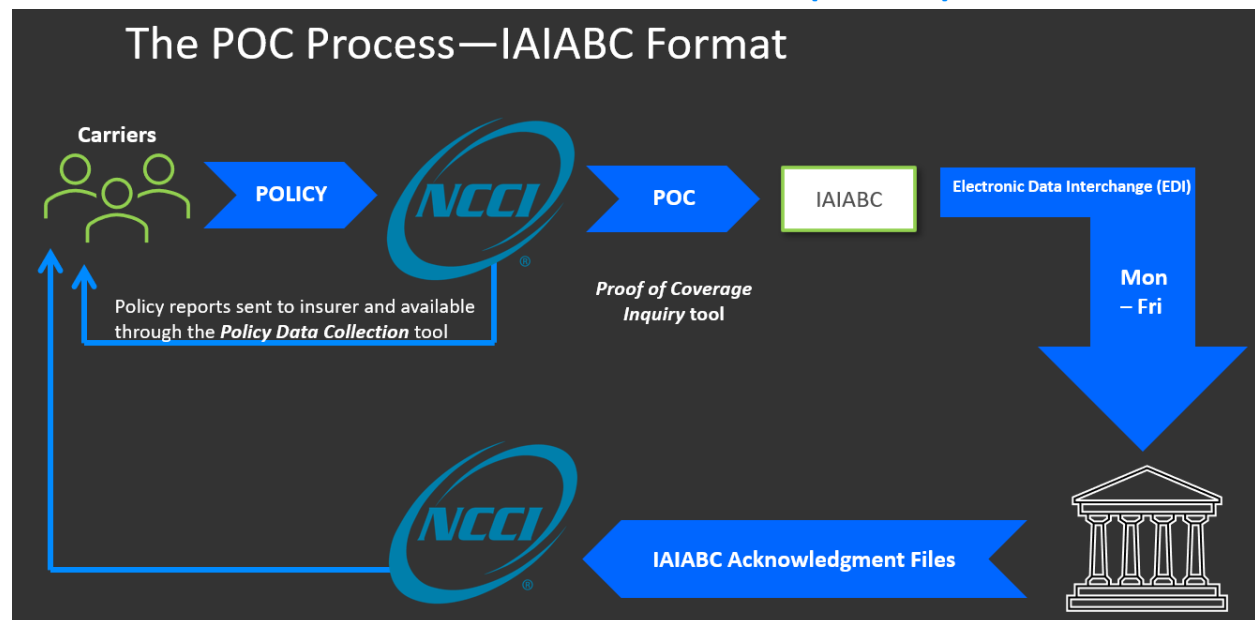
#### Background

- Verifies that employers have workers compensation
- NCCI provides key coverage information
  - Industrial accident boards
  - Department of Labor
  - Workers compensation commissions
- POC is state specific

#### Where to Find State Requirements

- *POC State Guide*
- *Policy and POC Reporting Guidebook*

### Chapter 2: The POC Process for the International Association of Industrial Accident Boards and Commissions (IAIABC) Format

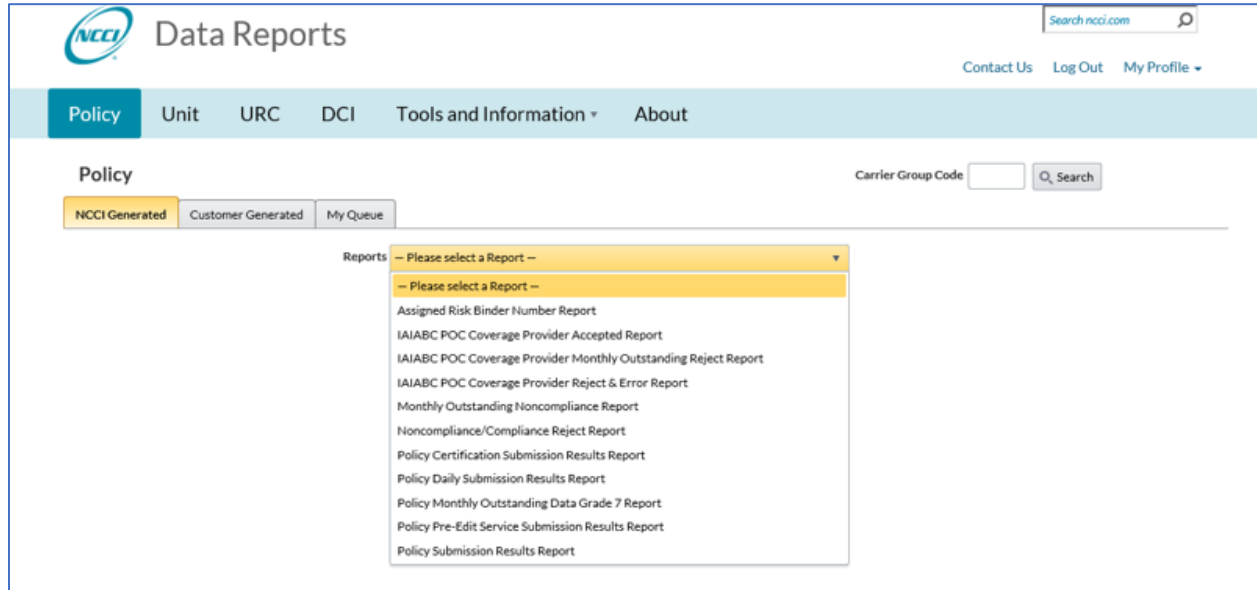


- IAIABC is the International Association of Industrial Accident Boards and Commissions
- NCCI sends the POC transactions the next business day
- The state will process the file in one to two days



# Data Now Program (DNP) Researching and Correcting POC Edits

Accessed through the Data Reports tool:



## Chapter 3: POC Data Reports

### Policy Data Reports vs. IAIABC POC Data Reports

- Policy
  - Policy reports
  - Data Grade Edits
  - Resolves immediately once corrected
- POC
  - POC reports
  - State Reject or Error
  - Takes a few days for the state to receive corrections
- IAIABC POC Coverage Provider Accepted Report
  - POC transactions that were accepted
- IAIABC POC Coverage Provider Reject & Error Report
  - POC transactions that were rejected or in error
- IAIABC POC Coverage Provider Monthly Outstanding Reject Report
  - POC transactions that were rejected
- Policy Monthly Outstanding Data Grade 7 Report
  - Data Grade 7 (POC Critical Error)



## Data Now Program (DNP) Researching and Correcting POC Edits

### IAIABC POC Coverage Provider Reject & Error Report

Cov Prvdr ID		NCCI Branch Code	Primary Insured Name	Policy Number	Pol Eff Dt	State	Pol Trans Code	NCCI Trans Receive Dt	IAIABC Triplicate Code	Trans Set Type Eff Dt	Dt State Processed Trans	Error / Reject Type	Error Description	Data Element in Error	Supporting Data	Req Seq Nbr	POC File Name
99999		000	Cobblers on 5th	DEPFL0001	12/13/2020	09-FL	14	12/12/2020	00731/54	12/13/2020	12/13/2020	Reject	Error Description: Mandatory Field Not Present	Industry Code	Employer Record Name: Cobblers on 5th Nm Type: P/C Code: FEIN: 587963156 Address: 2900 NW 55TH ST City: MIAMI State: FL Zip Code: 331221113 Legal Status: Ind Cd: Ul Nbr: Nbr of Emps: 2 Email: Emp Notify Date:	3602	FL18256

1. Cov Prvdr ID—Carrier Code—Key Field.
2. NCCI Branch Code—Branch listing for each carrier group.
3. Primary Insured Name.
4. Policy Number—Key Field.
5. Policy Effective Date—Key Field.
6. State—Identifies the POC state in error.
7. Pol Trans Code—Shows type of transaction. Verify that it is what you intended.
8. NCCI Trans Receive Dt—Date NCCI received the transaction.
9. IAIABC Triplicate Code—Identifies the actual POC transaction the state gets. In this example, 31 means an add and 54 means an employer location. Add Employer.
10. Trans Set Type Eff Dt—Date on which the transaction is effective.
11. Dt State Processed Trans—Date the state processes the transaction.
12. Error/Reject Type—Rejects are the most common acknowledgment from the states, but some states will accept transactions with errors. However, keep in mind that they still expect errors to be corrected. This report is the only report that will show you transactions that were rejected or identified with errors by the POC state. The Monthly Outstanding Report will only include POC transactions that were rejected by the POC state, but you will not see any of the errors.
13. Error Description—The general reason for the error or reject.
14. Data Element in Error—Helps to better identify the issue.
15. Supporting Data—Shows specifically what employer record is in error and what was reported.
16. Req Seq Nbr and POC File Name—Used more by NCCI to help us identify and assist carriers with resolving issues.



## Data Now Program (DNP) Researching and Correcting POC Edits

### Chapter 4: Most Common Rejects and Errors

#### Example 1: Florida—Mandatory Field Not Present—Industry Code

Primary Insured Name	Policy Number	Pol Eff Dt	State	Pol Trans Code	NCCI Trans Receive Dt	IAIABC Triplicate Code	Trans Set Type Eff Dt	Dt State Processed Trans	Error / Reject Type	Error Description	Data Element in Error	Supporting Data
Cobblers on 5th	DEPF0001	12/13/2020	09-FL	14	12/12/2020	00/31/54	12/13/2020	12/13/2020	Reject	Error Description: Mandatory Field Not Present	Industry Code	Employer Record Name: Cobblers on 5th Nm Type: P/C Code: FEIN: 587963156 Address: 2900 NW 55TH ST City: MIAMI State: FL Zip Code: 331221113 Legal Status: Ind Cd: UI Nbr: Nbr of Emps: 2 Email: Emp Notify Date:

- What is in error?
- How can we tell?

#### Tips for Correcting the Error

- Do not correct the Data Grade 7 on the same day you originally submitted the policy
  - Doing so will cause the state to reject the correction
- Industry code needs to be a valid North American Industry Classification System (NAICS) code
  - Available for free at the US Census website
- Make sure your codes are up to date
- Refer to the **POC State Guide** to see which codes a state requires



## Data Now Program (DNP) Researching and Correcting POC Edits

### Example 2: Maine Unemployment Identification (UI) Number—Not Statutorily Valid

Primary Insured Name	Policy Number	Pol Eff Dt	State	Pol Trans Code	NCCI Trans Receive Dt	IAIABC Triplicate Code	Trans Set Type Eff Dt	Dt State Processed Trans	Error / Reject Type	Error Description	Data Element in Error	Supporting Data
NATURAL PAPER CO	DEPME0022	12/15/2020	18-ME	01	12/25/2020	00/10/01	12/15/2020	12/26/2020	Reject	Error Description: Not statutorily valid State Comments: 0849 0930 Error Text: Employer UI Code (DN0329) check digit is NOT vali	Employer UI Code	Employer Record Name: NATURAL PAPER CO Nm Type: P/C Code: FEIN: 15458614 Address: 2424 FIELD AVE City: YORK State: ME Zip Code: 24685 Legal Status: Ind Cd: 424110 UI Nbr: 261701006 Nbr of Emps: 0 Email: Emp Notify Date:

- How can we tell this is a new business transaction?
- What is in error?
- How can we tell it is in error?

#### Tips for Correcting the Error

Check the **POC State Guide**:

**POC Data Reporting Requirements**

**Data Elements:**

- **State Unemployment Number**—An Employer Account Number (EAN), formerly called an Unemployment Insurance Account Number/Code (UIAN), is required for all employers having a location or employees in Maine. For new policies where the employer is a new entity, the EAN may not be known at the time of submission. In this instance, there is a default number 0000000002. Also, if an employer in the state of Maine is not required to pay unemployment compensation, a nonprofit for example, the default UIAN is 0000000003.
- **State Unemployment Number**—If the EAN number is unavailable after trying to get the number from the employer, please email the Maine WCB at [EDI.WCB@maine.gov](mailto:EDI.WCB@maine.gov). The request should contain the name, address, FEIN, and policy number of the employer.
- **Industry Code**—A valid 2012, 2017, or 2022 North American Industry Classification System (NAICS) code must be reported.
- Refer to the POC Data Element Requirements Chart located in NCCI's *Policy and Proof of Coverage (POC) Reporting Guidebook* for all requirements

**Address Reporting:**

- A valid address is required for all employers with a location in Maine.
- When there is no physical location in the state, Maine will accept Employer Names linked to:
  - Address Type 6—No Physical Location or an Address Type 2—Location of Operations with an Out-of-State Address reported with State Code Link 18
  - The out-of-state address is preferred.

**State Processing Exceptions:**

- Maine provides additional error codes on the IAIABC Coverage Provider Error and Reject Reports to assist in correcting errors. The [Maine IAIABC Code Reference Table \(PDF\)](#) contains the code descriptions.



## Data Now Program (DNP) Researching and Correcting POC Edits

### Example 3: Rhode Island UI Number—Mandatory Field Not Present

Primary Insured Name	Policy Number	Pol Eff Dt	State	Pol Trans Code	NCCI Trans Receive Dt	IAIABC Triplicate Code	Trans Set Type Eff Dt	Dt State Processed Trans	Error / Reject Type	Error Description	Data Element in Error	Supporting Data
LIBERTY UTILITY SERVICES	DEPRI0003	12/01/2020	38-RI	14	11/13/2020	00/31/87	02/01/2020	11/14/2020	Error	Error Description: Mandatory Field Not Present	Employer UI Code	Employer Record Name: LIBERTY UTILITY SERVICES Nm Type: P/C Code: FEN: 333486517 Address: City: State: Zip Code: Legal Status: Ind Cd: 339113 UI Nbr: Nbr of Emps: 0 Email: Emp Notify Date:

- What is the issue?
- How can we tell?

#### Tips for Correcting the Error

- Rhode Island returns an error rather than a reject
- Check the ***POC State Guide***

POC Data Reporting Requirements

**Data Elements:**

- **State Unemployment Number**—The Rhode Island Department of Labor and Training requires the Unemployment Identification Number (UI)—also known as the Employer Registration Number (ERN)—data element to be reported for POC purposes.

If the UI or ERN is not available for one of the following reasons, report the corresponding default codes:

- **Not Available**—When the insurer cannot get the UI Number for an established employer, report the default code "0000000001."
- **New Employer**—The UI Number is issued by the Rhode Island Division of Taxation after the employer has payroll. For new employers waiting for the assignment of a UI Number, report the default code "0000000002."
- **Exempt Employer**—For employers exempt per RIGL Section 28-42-8 that are not eligible for a UI Number, report the default code "0000000003."
- **If Any Policy**—Employers without employees in Rhode Island are not eligible for a UI Number. In this event, report the default code "0000000004."
- **Exposure Without Payroll**—For an employer with Rhode Island exposure and without Rhode Island payroll, report the default code "0000000004."

- Refer to the POC Data Element Requirements Chart located in NCCI's *Policy and Proof of Coverage (POC) Reporting Guidebook* for all requirements

**Address Reporting—No Physical Location:**

- When there is no physical location in the state, Rhode Island will accept Employer Names linked to:
  - Address Type 6—No Physical Location
  - Address Type 2—Location of Operations with an Out-of-State Address reported with State Code Link 38
  - The out-of-state address is preferred

**State Processing Exceptions:**

- None

- Refer to the ***Policy and Proof of Coverage Reporting Guidebook***



## Data Now Program (DNP) Researching and Correcting POC Edits

C. Name Record—Record Type Code 02													State(s) Applicability Exception	Conditional Reporting Requirement
Data Element	16	01	02	04	03	06	08	10	14	15	05	17		
Record Type Code	R	R	R	R	N	R	R	R	R	R	N	N		
Name Type Code	R	R	R	R	N	R	R	R	R	R	N	N		
Name Link Identifier	R	R	R	R	N	R	R	R	R	R	N	N		The primary name on the policy must always be reported as 001.
Professional Employer Organization or Client Company Code	C	C	C	C	N	C	C	C	C	C	N	N		Required when the Employee Leasing Policy Type Code reported is 2-8. Leave this field blank when the Employee Leasing Policy Type Code is 1.
Name of Insured	R	R	R	R	N	R	R	R	R	R	N	N		
Federal Employer Identification Number (FEIN)	R	R	R	R	N	R	R	R	R	R	N	N		
Continuation Sequence Number	R	R	R	R	N	R	R	R	R	R	N	N		The primary name on the policy must always be reported as 001.
Legal Nature of Entity Code	R	R	R	R	N	R	R	R	R	R	N	N		
State Code (State Unemployment Number)	C	C	C	C	N	C	C	C	C	C	N	N	Required in HI, ME, and RI.	Required when reporting a State Unemployment Number.
State Unemployment Number	C	C	C	C	N	C	C	C	C	C	N	N	Required in HI, ME, and RI.	Required when reporting a State Code.
State Unemployment Number Record Sequence Number	C	C	C	C	N	C	C	C	C	C	N	N		Required when reporting more than three State Unemployment Numbers for a name.
Name Link Counter Identifier	R	R	R	R	N	R	R	R	R	R	N	N		
Policy Change Effective Date	N	N	N	N	N	N	C	C	C	C	N	N		Required when a new name is added midterm, an element on the Name Record is changed, or when deleting the name. <b>Note:</b> Policy Change Effective Date will default to the Policy Effective Date if not reported.
Policy Change Expiration Date	N	N	N	N	N	N	C	C	C	C	N	N		Required when deleting the name. Field is numeric—if a date does not apply, zero-fill.

The “1” shows that a UI Number is mandatory in HI, ME, and RI.

### Example 4: Idaho Email Address—Mandatory Field Not Present

Policy Number	Pol Eff Dt	State	Pol Trans Code	NCCI Trans Receive Dt	IAIABC Triplicate Code	Trans Set Type Eff Dt	Dt State Processed Trans	Error / Reject Type	Error Description	Data Element in Error	Supporting Data
DNPID001	10/01/2022	11-ID	02	10/02/2022	00/20/01	10/01/2022	10/03/2022	Reject	Error Description: Mandatory Field Not Present	Employer Email Address	Employer Record Name: DNP GROUP LLC Nm Type: P/C Code: FEIN: 099403541 Address: 2300 DIAMOND HILL RD City: Boise State: ID Zip Code: 837124747 Legal Status: Ind Cd: 561499 UI Nbr: Nbr of Emps: 0 Email: Emp Notify Date:

- What type of transaction?
- What is the error?

### Federal Employer ID Number (FEIN) Edits

A Data Grade 7 edit will fire for the following:

- All the same numeric value
- Number sequences, such as 012345678
- Zeros in the last seven positions
- An invalid number pattern

### New York State Processing

- Despite a 1% reject rate, New York makes up almost 25% of all transactions
- Continuous coverage—can contribute to rejects
- New York State Workers’ Compensation Board (WCB) website
  - State will close out expired policies



## Data Now Program (DNP) Researching and Correcting POC Edits

### Example 5: New York Duplicate Batch/Transaction—Policy Number

An example of a New York error:

Primary Insured Name	Policy Number	Pol Eff Dt	State	Pol Trans Code	NCCI Trans Receive Dt	IAIABC Triplicate Code	Trans Set Type Eff Dt	Dt State Processed Trans	Error / Reject Type	Error Description	Data Element in Error	Supporting Data
BIG APPLE HOLDING CO	DEPNY0004	12/22/2020	31-NY	02	11/26/2020	00/20/01	12/22/2020	11/27/2020	Reject	Duplicate Batch/Transaction	Policy Number	Insured Record DEPNY0004

What is the issue?

#### Additional Research

Use New York’s WCB website:

- Search by FEIN

Transaction List for Policy number DEPNY0003														
Trans-Detail	Processed Date	Acknowledgment	Transaction Status	Received Date	Medium	Trans Eff Date	Triplicate	Transaction Type	Carrier ID	Policy Number	Policy Effective	Policy Expire	Insured Fein	Insured Name
<a href="#">Details</a>	11/26/2020	Accepted	Processed	11/26/2020	FTP	12/22/2020	00-42-60	Canceled by Insured Include Corp	w000000	DEPNY0003	12/22/2020	12/22/2021	999999999	BIG APPLE HOLDING CO
<a href="#">Details</a>	10/15/2020	Accepted	Processed	10/15/2020	FTP	12/23/2020	00-32-67	Off/Partner/Sole Prop	w000000	DEPNY0003	12/22/2020	12/22/2021	999999999	BIG APPLE HOLDING CO
<a href="#">Details</a>	10/15/2020	Accepted	Processed	10/15/2020	FTP	12/24/2020	00-20-01	Renewal Policy	w000000	DEPNY0003	12/22/2020	12/22/2021	999999999	BIG APPLE HOLDING CO

- Note that the policy number differs from the report

#### Correct the Error

- Use a Key Field Change instead of submitting a renewal transaction to avoid a late-reporting penalty. If you are unable to submit a Key Field Change, instead send a new business transaction for a renewal.

### Example 6: New York Invalid Event Sequence—Transaction Set Type Code

Primary Insured Name	Policy Number	Pol Eff Dt	State	Pol Trans Code	NCCI Trans Receive Dt	IAIABC Triplicate Code	Trans Set Type Eff Dt	Dt State Processed Trans	Error / Reject Type	Error Description	Data Element in Error	Supporting Data
CANAL STREET IMPORTS	DEPNY6600	12/15/2020	31-NY	02	11/26/2020	00/20/01	12/15/2020	11/27/2020	Reject	Error Description: Invalid event sequence	Transaction Set Type Code	Insured Record 20

- What type of transaction?
- What is the issue?

#### Additional Research

Use New York’s WCB website:

- Search by policy number

Transaction List for Policy number DEPNY6600														
Trans-Detail	Processed Date	Acknowledgment	Transaction Status	Received Date	Medium	Trans Eff Date	Triplicate	Transaction Type	Carrier ID	Policy Number	Policy Effective Date	Policy Expire Date	Insured Fein	Insured Name
<a href="#">Details</a>	11/27/2020	Rejected	Rejected	11/27/2020	FTP	12/15/2020	00-20-01	Renewal Policy Include Corp	w000000	DEPNY6600	12/15/2020	12/15/2021	999999999	CANAL STREET IMPORTS
<a href="#">Details</a>	11/15/2020	Accepted	Processed	11/15/2020	WEB	12/15/2020	00-32-67	Off/Partner/Sole Prop	w000000	DEPNY6600	12/15/2020	12/15/2021	999999999	CANAL STREET IMPORTS
<a href="#">Details</a>	11/15/2020	Accepted	Processed	11/15/2020	WEB	12/15/2020	00-20-01	Renewal Policy	w000000	DEPNY6600	12/15/2020	12/15/2021	999999999	CANAL STREET IMPORTS

- Note for “WEB” under Medium—used when carriers submit a change directly to the New York website



## Data Now Program (DNP) Researching and Correcting POC Edits

### Correct the Error

Contact NCCI to:

- Manually remove the reject
- Advise you in necessary corrections

### Example 7: New York Invalid Event Sequence—Transaction Set Type Code

Primary Insured Name	Policy Number	Pol Eff Dt	State	Pol Trans Code	NCCI Trans Receive Dt	IAIABC Triplicate Code	Trans Set Type Eff Dt	Dt State Processed Trans	Error / Reject Type	Error Description	Data Element in Error	Supporting Data
FAST TAXI CO	DEPNY70070	7/10/2020	31-NY	02	11/26/2020	00/20/01	7/10/2020	11/27/2020	Reject	Error Description: Invalid event sequence	Transaction Set Type Code	Insured Record 20

What is the issue?

### Additional Research

- Use New York’s WCB website.
- Search by policy number.

Transaction List for Policy number DEPNY70070

Trans-Detail	Processed Date	Acknowledgment	Transaction Status	Received Date	Medium	Trans Eff Date	Triplicate	Transaction Type	Carrier ID	Policy Number	Policy Effective Date	Policy Expire Date	Insured Fein	Insured Name
<a href="#">Details</a>	10/10/2020	Accepted	Processed	10/10/2019	WEB	10/10/2020	00-41-CE	WCB Closed Term- No Filling Received	w000000	DEPNY70070	7/10/2019	7/10/2020	999999999	FAST TAXIE CO
<a href="#">Details</a>	5/1/2019	Accepted	Processed	5/1/2019	FTP	7/10/2019	00-32-67	Off/Partner/Sole Prop	w000000	DEPNY70070	7/10/2019	7/10/2020	999999999	FAST TAXIE CO
<a href="#">Details</a>	5/1/2019	Accepted	Processed	5/1/2019	FTP	7/10/2019	00-20-01	Renewal Policy	w000000	DEPNY70070	7/10/2019	7/10/2020	999999999	FAST TAXIE CO

- Note “WEB” under Medium.
- WCB closed out the prior policy term. We can tell from the Triplicate and Transaction Type.

### Correct the Error

- Contact NCCI for direction
- Reinstate the prior term on the New York website

### Other State Websites

These states also have websites that are inquiry only:

- Kentucky
- Florida
- Nebraska
- Oregon

### State Processing in Maine

- Only makes up 3% of POC transactions but 27% of rejects
- Identifies employers by matching:
  - Address
  - FEIN
  - UI Number (Employer Account Number)



## Data Now Program (DNP) Researching and Correcting POC Edits

- This can cause some rejects of out-of-state primary insureds
- Returns errors

### Example 8: Maine Invalid Data Relationship

Primary Insured Name	Policy Number	Pol Eff Dt	State	Pol Trans Code	NCCI Trans Receive Dt	IAIABC Triplicate Code	Trans Set Type Eff Dt	Dt State Processed Trans	Error / Reject Type	Error Description	Data Element in Error	Supporting Data
BANK CORP	DEPME0009	8/15/2020	18-ME	02	08/15/2020	00/20/01	11/10/2020	08/16/2020	Reject	Error Description: Invalid data relationship State Comments: 0748 0719 Error Text: Employer FEIN (DN0016) is not what WC has for UIANDN329 Error with the PC1 record	Employer FEIN	Employer Record Name: BANK CORP Nm Type: P/C Code: FEIN: 817082127 Address: 52 ADAMS ST City: GARDINER State: ME Zip Code: 043451808 Legal Status: Ind Cd: 522110 UI Nbr: 0274300000 Nbr of Emps: 1 Email: Emp Notify Date:

- What type of transaction?
- What is the issue?

#### Correct the Error

Contact the state to validate the reported FEIN and UI Number combination.

### Example 9: Maine No Match on Database—Prior Policy Number

Primary Insured Name	Policy Number	Pol Eff Dt	State	Pol Trans Code	NCCI Trans Receive Dt	IAIABC Triplicate Code	Trans Set Type Eff Dt	Dt State Processed Trans	Error / Reject Type	Error Description	Data Element in Error	Supporting Data
UNIVERSITY BOOKS AND MORE	DEPME111	12/01/2020	18-ME	02	08/04/2020	00/20/01	12/01/2020	08/05/2020	Reject	Error Description: No match on database State Comments: 0834 Error Text: Prior Policy Number (DN0324) is NOT in the WCB database	Prior Policy Number	Insured Record DEPME0707

- What type of transaction?
- What is the issue?

#### Additional Research

Check whether prior term was also rejected.

#### Correct the Error

- Go back to the first rejected term and get that policy accepted
- Contact NCCI for assistance

### State Processing in Kentucky

- Makes up 8% of rejects
- State website



## Data Now Program (DNP) Researching and Correcting POC Edits

- Because of continuous coverage, a prior policy number can cause issues
- Will reject addresses not validated by US Postal Service
- Current coverage only—if a change is reported on multiple previous policy years, KY will only accept the first change reported

### Example 10: Kentucky No Match on Database—Prior Policy Number

Primary Insured Name	Policy Number	Pol Eff Dt	State	Pol Trans Code	NCCI Trans Receive Dt	IAIABC Triplicate Code	Trans Set Type Eff Dt	Dt State Processed Trans	Error / Reject Type	Error Description	Data Element in Error	Supporting Data
TOUR CO	DEPKY121212	12/06/2020	16-KY	02	12/25/2020	00/20/01	12/06/2020	12/26/2020	Reject	Error Description: No match on database	Prior Policy Number	Insured Record DEPKY000817

- What type of transaction?
- What state?
- What is the issue?

#### Correct the Error

- Error occurred because:
  - KY is still on the POC 2.1 file format, which does not include “Reason State Was Added to Policy—added at time of renewal”
  - KY is continuous coverage, so they look for that prior policy number
- Contact NCCI to resubmit the transaction as an “Add jurisdiction”

### Example 11: Kentucky Mandatory Field Not Present—Employer Address Line 1

Primary Insured Name	Policy Number	Pol Eff Dt	State	Pol Trans Code	NCCI Trans Receive Dt	IAIABC Triplicate Code	Trans Set Type Eff Dt	Dt State Processed Trans	Error / Reject Type	Error Description	Data Element in Error	Supporting Data
GRIFTH'S FEED AND SUPPLY	DEPKY140014	11/01/2020	16-KY	08	12/13/2020	00/31/54	11/01/2020	12/14/2020	Reject	Error Description: Mandatory Field Not Present	Employer Address Line 1	Employer Record Name: GRIFTH'S FEED AND SUPPLY Nm Type: P/C Code: FEIN: 987963147 Address: 1 MAIN ST City: FRANKFORT State: KY Zip Code: 40601 Legal Status: Ind Cd: 236220 UI Nbr: Nbr of Emps: 7 Email: Emp Notify Date:

- What is the state?
- What is the type of transaction?
- What is the issue?

#### Additional Research

Check the **POC State Guide** to determine whether the state accepts Address Type 6.



## Data Now Program (DNP) Researching and Correcting POC Edits

### Correct the Error

1. Delete the incorrect Address Record
2. Add the corrected Address Record

### Example 12: Nebraska Duplicate Batch/Transaction—Entire Transaction

Primary Insured Name	Policy Number	Pol Eff Dt	State	Pol Trans Code	NCCI Trans Receive Dt	IAIABC Triplicate Code	Trans Set Type Eff Dt	Dt State Processed Trans	Error / Reject Type	Error Description	Data Element in Error	Supporting Data
TEDS CUSTOM TRUCKS	DEPNE151515	09/15/2020	26-NE	08	08/21/2020	00/31/87	09/15/2020	08/22/2020	Reject	Error Description: Duplicate Batch/Transaction	Entire Transaction	Employer Record Name: TEDS CUSTOM TRUCKS Nm Type: P/C Code: FEIN: 6641258743 Address: City: State: Zip Code: Legal Status: Ind Cd: 335999 UI Nbr: Nbr of Emps: 6 Email: Emp Notify Date:

- What is the state?
- What is the issue?

### Additional Research

Check the ***POC State Guide***.

**POC Data Reporting Requirements**

**Data Elements:**

- Refer to the POC Data Element Requirements Chart located in NCCI's *Policy and Proof of Coverage (POC) Reporting Guidebook* for all requirements

**Address Reporting—No Physical Location:**

- When there is no physical location in the state, Nebraska will accept Employer Names linked to:
  - Address Type 6—No Physical Location
  - Address Type 2—Location of Operations with an Out-of-State Address reported with State Code Link 26
  - The out-of-state address is preferred
  - Nebraska will reject an employer linked to an Address Type 6—No Physical Location if that same employer is already linked to a Nebraska address

**State Processing Exceptions:**

- Nebraska will reject a POC transaction and require an explanation when the state is being readded to a policy. If this occurs, contact NCCI to resend the POC transaction.

### Correct the Error

- Delete the no specific location
- When the system processes the delete overnight, it will remove the reject
- Add employer with no specific location



## Data Now Program (DNP) Researching and Correcting POC Edits

Tip: Knowledge of Nebraska’s unique POC processing rules can prevent issues.

### Example 13: Oregon Not Statutorily Valid—Industry Code

Primary Insured Name	Policy Number	Pol Eff Dt	State	Pol Trans Code	NCCI Trans Receive Dt	IAIABC Triplicate Code	Trans Set Type Eff Dt	Dt State Processed Trans	Error / Reject Type	Error Description	Data Element in Error	Supporting Data
PNW TECHNOLOGY HOLDING LLC	DEPOR0019	03/17/2020	36-OR	14	07/20/2020	04/32/85	03/17/2020	07/23/2020	Reject	Error Description: Not statutorily valid	Industry Code	Employer Record Name: PNW TECHNOLOGY HOLDING LLC Nm Type: P/C Code: FEIN: 788745544 Address: 6705 REDSTONE ST SE City: TURNER State: OR Zip Code: 973929518 Legal Status: Ind Cd: UI Nbr: Nbr of Emps: 2 Email: Emp Notify Date:

What is the issue?

#### Additional Research

Caused by paired transactions (identified by Triplicate Code) following a rejected establishing transaction.

#### Correct the Error

- Avoid issues like this by making data grade corrections to establishing transactions one day after policy submission.
- This issue will require manual intervention. Contact NCCI for assistance.

### Example 14: Florida Must Be Valid Content—Transaction Set Type Eff Dt

Primary Insured Name	Policy Number	Pol Eff Dt	State	Pol Trans Code	NCCI Trans Receive Dt	IAIABC Triplicate Code	Trans Set Type Eff Dt	Dt State Processed Trans	Error / Reject Type	Error Description	Data Element in Error	Supporting Data
EMP 2 GO PEO III	DEPFLPEO0180	10/01/2020	09-FL	14	07/01/2020	00/31/54	12/18/2020	07/4/2020	Reject	Error Description: Must be valid content	Transaction Set Type Eff Dt	Employer Record Name: EMP 2 GO PEO III Nm Type: P/C Code: FEIN: 887721346 Address: 4949 TAMIAMI TRL N City: NAPLES State: FL Zip Code: 34103 Legal Status: Ind Cd: 561730 UI Nbr: Nbr of Emps: 50 Email: Emp Notify Date:

What is the issue?



## Data Now Program (DNP) Researching and Correcting POC Edits

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### Additional Research

Was the employer previously added to the policy with a different effective date?

### Correct the Error

- Florida will only accept a 10/01/2020 effective date
- It is important to understand each state's reporting rules
- If you need to add the employer back, you must add it back as of the original effective date for the state to accept it

### Claim Administrator Federal Employer Identification Number (FEIN)

- New POC Data Element
- Added to IAIABC 3.0 POC format
- NCCI will have two edits:
  - Edit 0317-01 Data Grade 6 POC Error
    - Field is missing, not numeric, or invalid
    - Works the same as Employer FEIN
    - Will be activated based on POC state requirements
  - Edit 0317-02 Data Grade 3 Default Error
    - Claim Administrator FEIN matches Risk ID Number reported on Header Record
    - Active now

### Resources

- *Policy and POC Reporting Guidebook*
- *POC State Guide*
- *Data Manager Dashboard*

### Key Takeaways

- Work your IAIABC POC Coverage Provider Reject & Error Report daily
  - Wait a day after submission before making corrections to Data Grade 7 on establishing coverage transactions
- POC rejects and errors take time to resolve
- States will return different reject or error reasons for the same issue