



Professional Employer Organization Arrangements

Connecticut - as of 2/21/2017								
Market Type	Policy Model	Policy Type Code	Endorsements*	Experience Rating Modification Application - While in a PEO Arrangement	Experience Rating Modification Application - Upon Termination of a PEO Arrangement	Data Reporting	Assigned Risk Supplemental Forms	NCCI Filing(s) and Circulars
Voluntary Market	<ul style="list-style-type: none"> Master Client Direct Purchase 	Master <ul style="list-style-type: none"> Code 2 Code 6 or <ul style="list-style-type: none"> Code 3 Code 8 Code 6 	Master PEO Policy <ul style="list-style-type: none"> No specific endorsement Client Policy (Nonleased Workers) <ul style="list-style-type: none"> No specific endorsement PEO Policy (Nonleased Workers) <ul style="list-style-type: none"> WC 00 03 19 	Master PEO experience rating modification applies	There are no NCCI manual rules or statutory requirements for the application of the experience rating modification factor upon termination of a PEO Arrangement.	Master Normal unit statistical reporting	N/A	Item B-1265
		Client Direct Purchase (Option 1) <ul style="list-style-type: none"> Code 7 	Client Direct Purchase (Option 1) <ul style="list-style-type: none"> No specific endorsement 	Client Direct Purchase (Option 1) Client experience rating modification applies	Client Direct Purchase (Option 1) Normal unit statistical reporting			
		Client Direct Purchase (Option 2) <ul style="list-style-type: none"> Code 4 Code 6 	Client Direct Purchase (Option 2) <ul style="list-style-type: none"> WC 00 03 20 	Client Direct Purchase (Option 2) Client experience rating modification applies	Client Direct Purchase (Option 2) Client Policy for Leased Workers Client Policy for Non-Leased Workers Normal unit statistical reporting			
Residual Market**	Client Direct Purchase	Client Direct Purchase (Option 1) <ul style="list-style-type: none"> Code 7 	Client Direct Purchase (Option 1) <ul style="list-style-type: none"> No specific endorsement 	Client Direct Purchase (Option 1) Client experience rating modification applies		Client Direct Purchase (Option 1) Normal unit statistical reporting		B-8010 (Circular NCCI-90-124)
		Client Direct Purchase (Option 2) <ul style="list-style-type: none"> Code 4 Code 6 	Client Direct Purchase (Option 2) <ul style="list-style-type: none"> WC 00 03 20 	Client Direct Purchase (Option 2) Client experience rating modification applies	Client Direct Purchase (Option 2) Client Policy for Leased Workers Client Policy for Non-Leased Workers Normal unit statistical reporting			

* Applicable Endorsements must be reported on Policy Record Type 7

** State where NCCI is the Plan Administrator

This Comparison is provided as a reference only. All information is subject to change and dependent on notification from the state. In the event that there is a conflict between the applicable state statute and/or regulation and this chart, the applicable state statute and/or regulation will control. Please refer to the state's website for additional information.

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