



Professional Employer Organization Arrangements

| Connecticut - as of February 21, 2017 | | | | | | | | |
|---------------------------------------|--|--|---|---|---|---|----------------------------------|----------------------------------|
| Market Type | Policy Model | Policy Type Code | Endorsements* | Experience Rating Modification Application - While in a PEO Arrangement | Experience Rating Modification Application - Upon Termination of a PEO Arrangement | Data Reporting | Assigned Risk Supplemental Forms | NCCI Filing(s) and Circulars |
| Voluntary Market | <ul style="list-style-type: none"> Master Client Direct Purchase | Master <ul style="list-style-type: none"> Code 2 Code 6 or <ul style="list-style-type: none"> Code 3 Code 8 Code 6 | Master PEO Policy <ul style="list-style-type: none"> No specific endorsement Client Policy (Nonleased Workers) <ul style="list-style-type: none"> No specific endorsement PEO Policy (Nonleased Workers) <ul style="list-style-type: none"> WC 00 03 19 | Master PEO experience rating modification applies | There are no NCCI manual rules or statutory requirements for the application of the experience rating modification factor upon termination of a PEO Arrangement. | Master Normal unit statistical reporting | N/A | Item B-1265 |
| | | Client Direct Purchase (Option 1) <ul style="list-style-type: none"> Code 7 | Client Direct Purchase (Option 1) <ul style="list-style-type: none"> No specific endorsement | Client Direct Purchase (Option 1) Client experience rating modification applies | Client Direct Purchase (Option 1) Normal unit statistical reporting | | | |
| | | Client Direct Purchase (Option 2) <ul style="list-style-type: none"> Code 4 Code 6 | Client Direct Purchase (Option 2) <ul style="list-style-type: none"> WC 00 03 20 | Client Direct Purchase (Option 2) Client experience rating modification applies | Client Direct Purchase (Option 2) Client Policy for Leased Workers Client Policy for Non-Leased Workers Normal unit statistical reporting | | | |
| Residual Market** | Client Direct Purchase | Client Direct Purchase (Option 1) <ul style="list-style-type: none"> Code 7 | Client Direct Purchase (Option 1) <ul style="list-style-type: none"> No specific endorsement | Client Direct Purchase (Option 1) Client experience rating modification applies | | Client Direct Purchase (Option 1) Normal unit statistical reporting | N/A | B-8010 (Circular NCCI-90-124) |
| | | Client Direct Purchase (Option 2) <ul style="list-style-type: none"> Code 4 Code 6 | Client Direct Purchase (Option 2) <ul style="list-style-type: none"> WC 00 03 20 | Client Direct Purchase (Option 2) Client experience rating modification applies | Client Direct Purchase (Option 2) Client Policy for Leased Workers Client Policy for Non-Leased Workers Normal unit statistical reporting | | | |

* Applicable Endorsements must be reported on Policy Record Type 7

** State where NCCI is the Plan Administrator

This Comparison is provided as a reference only. All information is subject to change and dependent on notification from the state. In the event that there is a conflict between the applicable state statute and/or regulation and this chart, the applicable state statute and/or regulation will control. Please refer to the state's website for additional information.

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