



Key Takeaways

- Data Reporting—High level picture of data reporting using a general workflow
- Data Types—What they are, when to report, and what is needed to get started
- Data Quality—Strategy and compliance programs in place to measure your data reporting performance
- **ncci.com**—Where to go to access the resources you'll need, staying informed, and educating yourself on the data type you're responsible for

Chapter 1: Data Reporting

Data types covered in this class:

- Policy Data
- Unit Statistical Data
- Medical Data Call
- Indemnity Data Call
- Financial Calls
- Pool Data

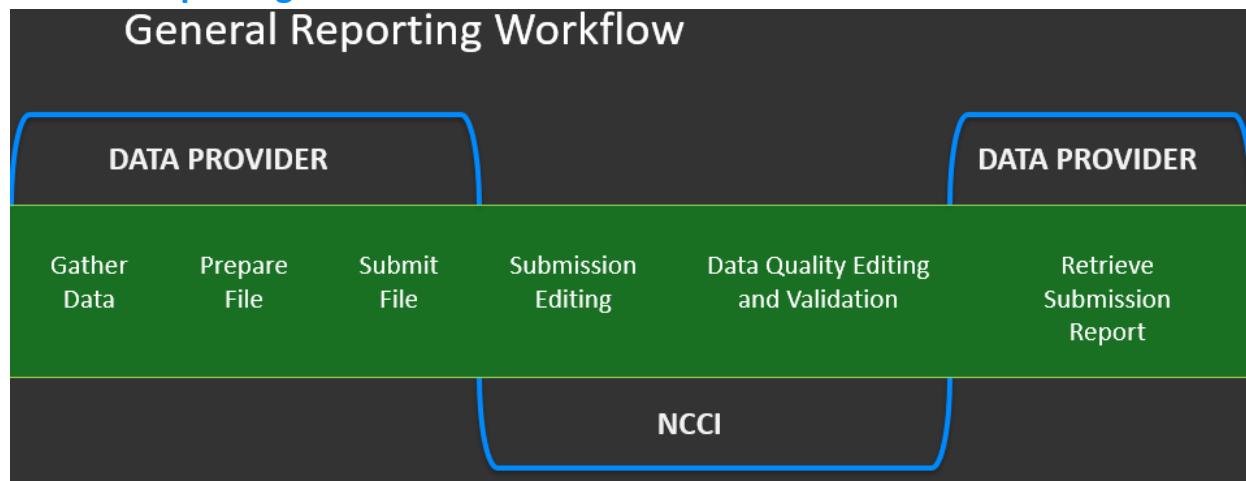
Data Standards

- All data types use industry standards
- Standards are rules and guidelines that provide a common framework for the exchange of data
- This framework includes the rules and guidelines for managing data elements
- Standards include:
 - Data Elements and their definitions
 - Values/Code Lists
 - Transactions
 - Business Rules/Edits



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General Reporting Workflow



- Joint effort between the data provider and NCCI
- Create the data using applicable reporting NCCI manuals and guidebooks
- Prepare the file using the appropriate record layouts found in Workers Compensation Insurance Organizations materials or applicable guidebook
- Submit the data files using ***Data Transfer via the Internet*** or Secure FTP
 - Certification is required for most data types prior to submitting data files to production
- Submission Editing determines if the file can be processed.
 - Ensures the data follows the proper reporting requirements and standards
- Data Quality Editing and Validation determines if the data is valid, accurate, and reasonable
- Retrieve your Submission Report for how edits were applied to your data:
 - Edits results can also be communicated to you within respective data type tools
 - Additional Resources to assist with submission reports include:
 - Data Reports tool
 - ***Electronic Transmission User's Guide***
 - Edit matrices

Chapter 2: Data Types

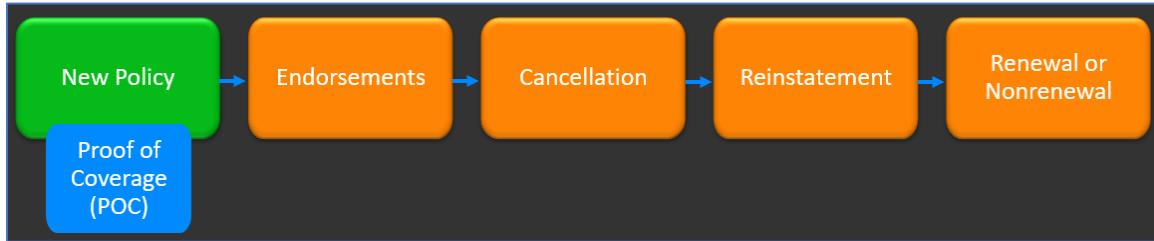
Policy

- What Is Policy Data?
 - Contract between insurer and insured
 - Workers compensation voluntary or assigned risk coverage
 - Single-state or multistate
 - Additional coverage may apply
 - Estimated exposure and premium



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- Policy Reporting Cycle



- New policy to establish coverage
- Proof of Coverage (POC) data is a subset of Policy Data that provides employers' coverage information to regulators
- Endorsements are used to update the policy
- Cancellations and reinstatements are used to change the status of the policy
- Renewal—Used to renew the policy another term
- Nonrenewal is used to let NCCI know you will not be renewing the policy
- Refer to the ***Policy and POC Reporting Guidebook*** for more information on which states use nonrenewals

- Policy Data Reporting Timing

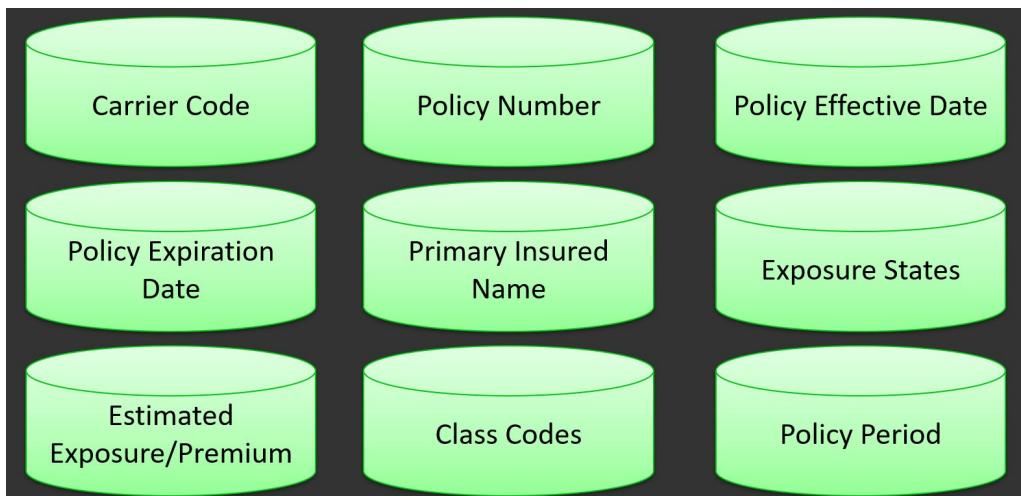
NCCI Timeliness Requirements	
New or Renewal	Within 30 days of Policy Effective Date (PED)
Endorsement	Within 30 days of Endorsement Issuance Date
Cancellation/Nonrenewal	Within 30 days prior to Cancellation/Nonrenewal Effective Date
Reinstatement	Within 30 days of Reinstatement Effective Date

- Policy Data timeliness requirements can be different depending on the transaction type being reported
- If a state's requirements are different, then they supersede NCCI's requirements primarily for POC
- Refer to the ***POC State Guide*** for requirements by state
- Policy References and Tools:
 - ***Policy and POC Reporting Guidebook***
 - ***Proof of Coverage State Guide***
 - ***Statistical Plan***



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- **Policy Data Collection** tool
- **Data Transfer via the Internet**
- **Data Manager Dashboard**
- **Data Quality Guidebook**
- Additional Policy References and Tools:
 - **Experience Rating Plan Manual**
 - **Basic Manual**
 - **Forms Manual**
- Sample Policy Data Elements



Policy Data Uses:

- Proof of Coverage Service
- Workers Compensation Coverage Verification
- Experience Rating
- Federal Coverage Service
- Monitors Expected/Overdue Unit Reports
- Actuarial Analysis
- Administration of Assigned Risk Pool

Unit Statistical Data

- What is Unit Statistical Data?
 - Audited exposure, premium, and loss information for a policy
 - Reported separately for each Exposure state covered on the policy

- Unit Statistical Reporting Cycle



- Starts with a policy and sets up the URC record
- 1st reports are submitted with the audited payroll and loss information
- Subsequent reports are submitted for any open claims until the claim is closed or reaches the 10th valuation
- Correction reports are submitted in between valuations as needed

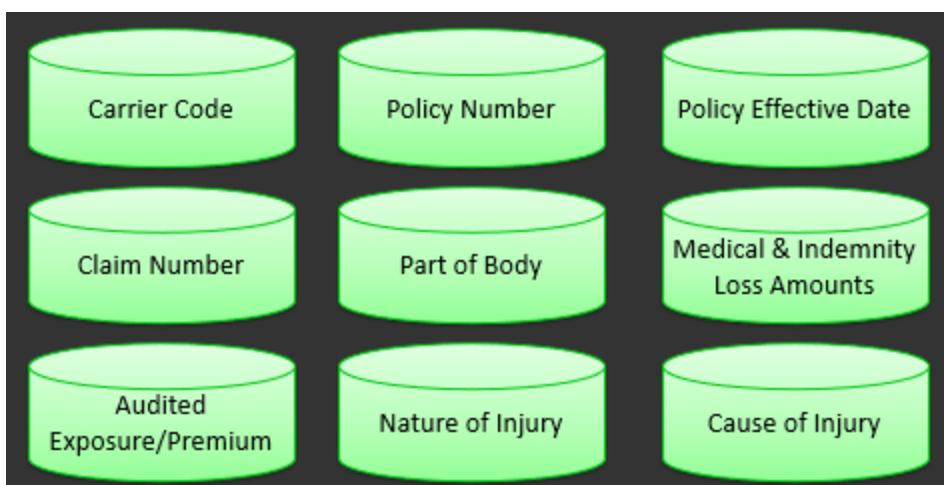
- Unit Statistical Data Reporting Timing

Data is initially valued 18 months after the Policy Effective Date.

The first report is due 18 to 20 months after the Policy Effective Date.

Subsequent reports for open, reopened, and newly arising claims are due in 12-month intervals, with up to a total of 10 report levels required.

- Unit Statistical Data References and Tools:
 - ***Statistical Plan***
 - ***Unit Statistical Reporting Guidebook***
 - ***Unit Data Collection*** tool
 - ***Data Transfer via the Internet***
 - ***Data Manager Dashboard***
 - ***Data Quality Guidebook***
- Sample Unit Statistical Data Elements



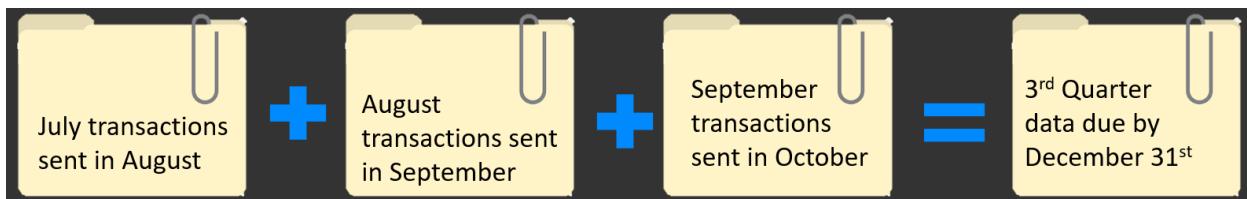
Unit Statistical Data Uses:

- Class Ratemaking
- Experience Modification
- Actuarial Analysis

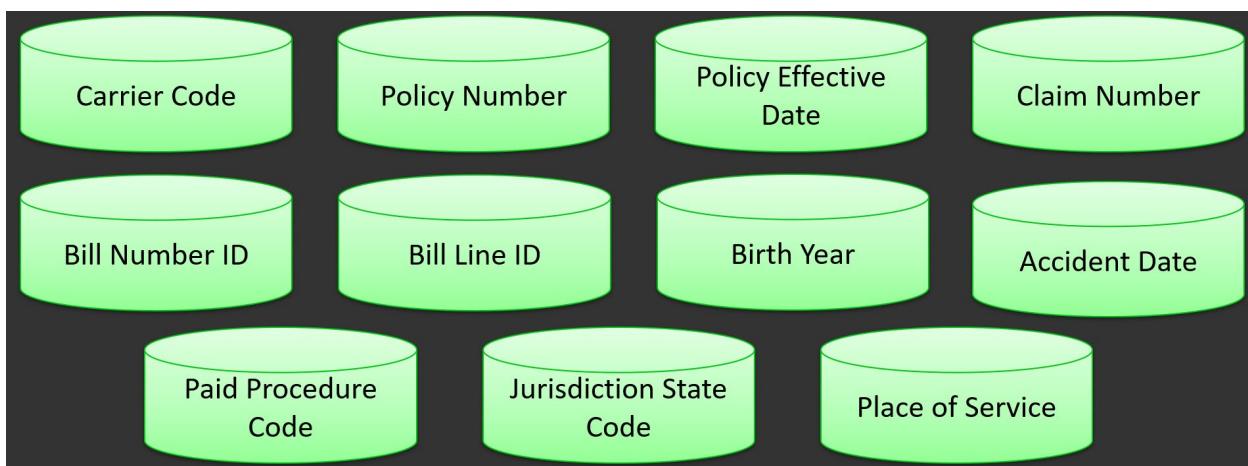
Medical Data Call

- What is Medical Call data?
 - Bill line detail of workers compensation medical payment transactions
 - Based on the claim jurisdiction state
 - Participation is at the Affiliation Level
- Medical Data Call Reporting Timing:
 - Report all medical transactions occurring within a specific quarter in that quarter's submission
 - Based on Transaction Date
 - Due by the end of the following quarter

- Option to report Quarterly or Monthly
- Medical Data Call Reporting Example:



- Medical Data Call References and Tools:
 - ***Medical Data Call Reporting Guidebook***
 - ***Statistical Plan***
 - ***Medical Data Collection*** tool
 - ***Data Transfer via the Internet***
 - ***Data Manager Dashboard***
 - ***Data Quality Guidebook***
- Sample Medical Data Call Elements



Medical Data Call Uses:

- Supports Legislative Pricing Focused on Medical Benefits
- Research Projects



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Indemnity Data Call

- What is Indemnity data?
 - Indemnity claim activity
 - Includes all workers compensation claims for which an indemnity payment has been made or indemnity reserve established
 - Compensation or the lost time from work portion of the claim, based on the jurisdiction state

What Is Included in Indemnity Data Payment?

- Payments represent:
 - Temporary Total or Partial Losses
 - Scheduled or Unscheduled Permanent Partial Losses
 - Disfigurement
 - Vocational Rehabilitation
 - Death and Burial Expenses
 - Claimant Attorney Fees

- Indemnity Data Call Records
 - Transactional Records—Indemnity benefit payments or transactions for a specific claim that occurred in a given quarter
 - Quarterly Records—Inception-to-date aggregated reporting of an indemnity claim



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- Indemnity Data Call Reporting Timing

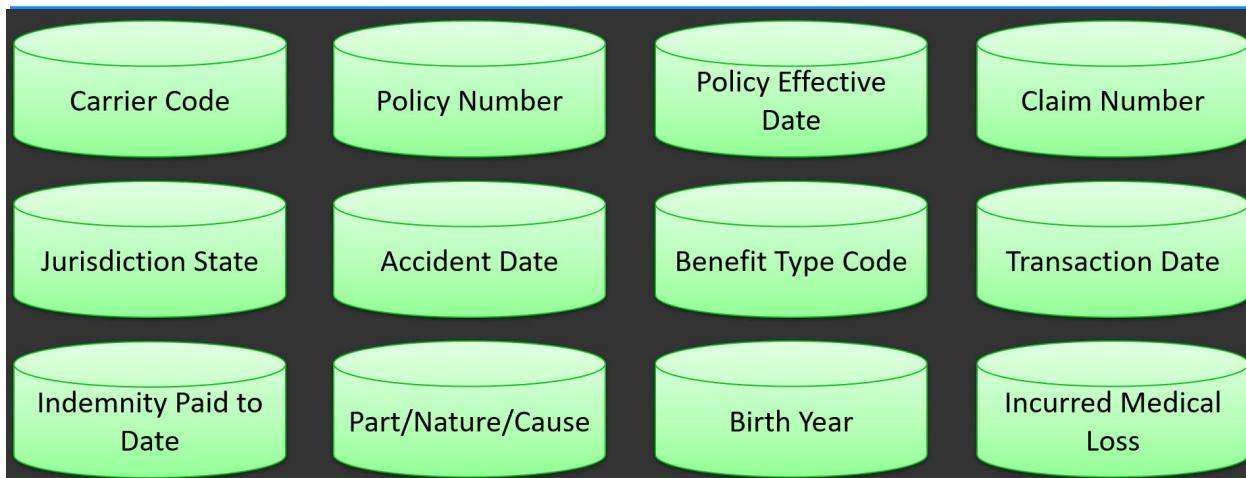
Transactional Record Reporting Table	Quarter	Transaction Date Range	Due By Date
	1 st	01/01-03/31	06/30
	2 nd	04/01-06/30	09/30
	3rd	07/01-09/30	12/31
	4th	10/01-12/31	03/31 (following year)

Quarterly Record Reporting Table	Quarter	Claim Valuation Date	Due By Date
	1st	03/31	06/30
	2nd	06/30	09/30
	3rd	09/30	12/31
	4th	12/31	03/31 (following year)

- Transactional Record Reporting Table = the quarter represents the corresponding Transaction Date Range, and the Due By Date
- Quarterly Record Reporting Table = an aggregate view of the claims and should be generated based on the Claim Valuation Date
- Claim Valuation Date is the date that the values are to be determined for each quarterly record element
- Refer to Record Layouts in the ***Indemnity Data Call Reporting Guidebook*** for more details
- Indemnity Data Call References and Tools:
 - Indemnity Data Call Reporting Guidebook***
 - Statistical Plan***
 - Indemnity Data Collection*** tool
 - Data Transfer via the Internet***
 - Data Manager Dashboard***
 - Data Quality Guidebook***
- Sample Indemnity Data Call Elements



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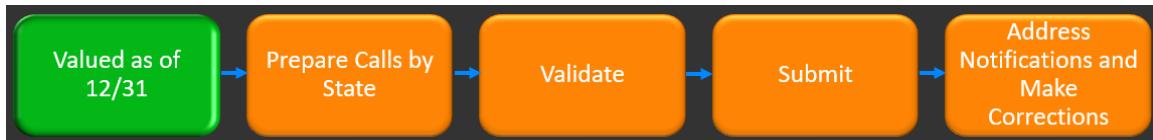
Indemnity Data Uses:

- Supports Legislative Pricing
- Research Projects

Financial Call Data

- What is Financial Call?
 - Aggregate workers compensation premium, loss, expense, and claim count information
 - Supports primary and supplemental ratemaking

Financial Call Reporting Cycle



- Financial Calls reporting follows a workflow
- All Calls are valued annually as of December 31
- Prepared by state using either a template or the **Financial Data Collection** tool
- Validate your Calls using the **Financial Data Collection** tool
- Submit your Calls once your data is clear of initial edits
- Address any notifications issued by the financial team representatives and make your corrections after your data has been sent to production
- Refer to the **Financial Call Reporting Guidebook** for the full recommended workflow

- Financial Call Data Reporting Timing

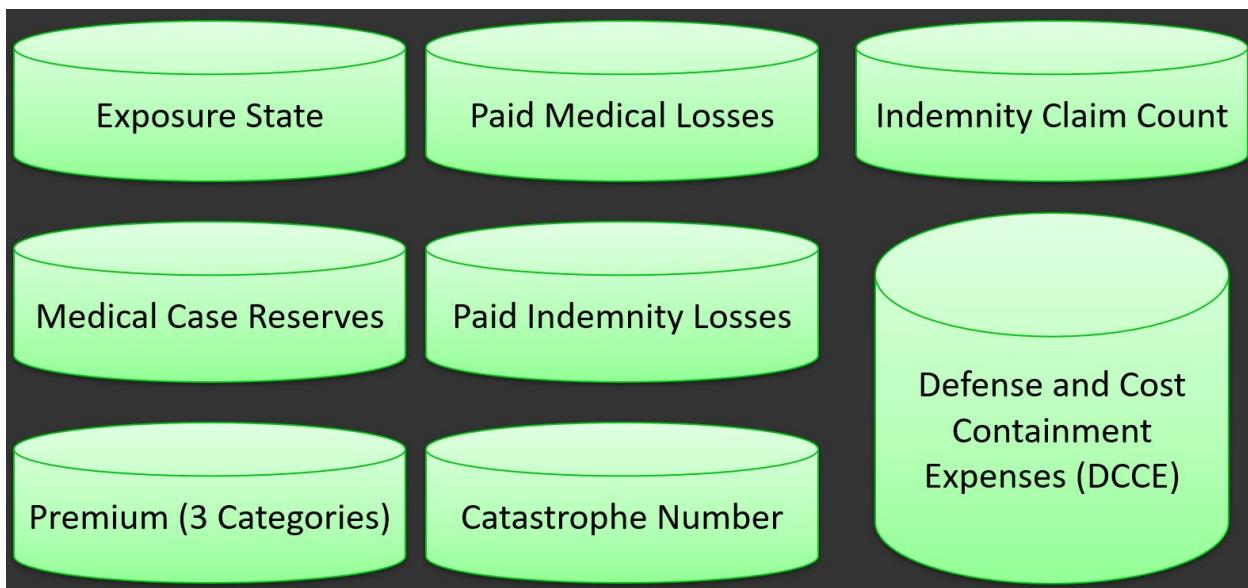


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Financial Call data is valued as of December 31 annually.

Most Calls are due by April 1 of the following year.

- Financial Call References and Tools:
 - ***Financial Call Reporting Guidebook***
 - ***Statistical Plan***
 - ***Financial Data Collection*** tool
 - ***Data Transfer via the Internet***
 - ***Data Manager Dashboard***
 - ***Data Quality Guidebook***
- Sample Financial Call Data Elements



Financial Data Uses:

- Supports Market Analysis
- Supports Primary and Supplemental Ratemaking
- Research Analysis



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Pool Data

- What is Pool Data?
 - Quarterly financial and actuarial data for the residual market
 - Premium, loss, and expenses by policy year for the assigned risk market
 - Reported by servicing carriers participating in the five residual market pools that NCCI manages

- Pool Data Reporting Cycle



- Every quarter, create and submit data using ***Data Transfer via the Internet*** or within the ***Pool Data Financial Data Collection*** tool
- Editing and validation takes place after the data is received at NCCI
- Validators review the edits and assist the carrier with interpreting them
- Correct or confirm the data is correct as reported
- Data is available for use once cleared of edits

- Pool Data Reporting Timing

Quarter	Quarter-End Date	Data must be authorized by the Pool Data Due Date
First	3/31/YYYY	5/15/YYYY
Second	6/30/ YYYY	8/15/ YYYY
Third	9/30/ YYYY	11/15/ YYYY
Fourth	12/31/ YYYY	2/15/ YYYY +1

- Pool Data References and Tools:
 - ***Pool Data Reporting Guidebook***
 - ***Servicing Carrier Reference Guide***
 - ***Reinsurance Pools Results and Information***
 - ***Pool Financial Data Collection*** tool
 - ***Data Transfer via the Internet***
 - ***Data Manager Dashboard***
- Pool Data Uses:
 - Estimate Reserves



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- Calculate Cash Settlements to Servicing Carriers
- Generate Financial Statements for Pool Reinsurance Agreements

Chapter 3: Data Quality

Data Quality

- Initial level of Data Quality Editing and Validation begins when the data is received and processed
- Next level of data quality considers the timing of data received and the rules of reporting and compare that to the data on the database
 - Next level of data quality is completed through NCCI's Data Quality Compliance Programs

Purpose

- NCCI's data quality strategy involves using comprehensive data quality processes to evaluate incoming data on the basis of accuracy, validity, completeness, reasonability, and timeliness of data
- Ensure that NCCI produces and delivers accurate and timely products back to the workers compensation Industry

Data Quality Compliance Programs' Objectives

- Improve Data Availability
- Provide Data Reporting Results
- Assure Timely Receipt of Quality Data
- Address Regulatory Expectations
- Target Extremes

Data Quality Compliance Programs

- Encourages improvements in data availability
- Monetary data quality incentive programs that apply monetary incentives through fines, or debits/credits to fees are:
 - ***Data Quality Incentive Program (DQIP)***
 - ***Aggregate Data Quality Incentive Program (ADQIP)***
 - ***Medical Incentive Program (MIP)***
 - ***Indemnity Incentive Program (IIP)***
- Nonmonetary data quality compliance programs that inform state regulators when the data reporting performance of data providers don't meet reporting standards are:
 - ***Carrier Data Quality Report Program (Carrier Report Card)***
 - ***Regulator Exception Program***
 - ***Data Quality Remediation Program***



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- Refer to the ***Data Quality Guidebook*** for details on all programs (except for Pool)
- Refer to the ***Pool Data Reporting Guidebook*** for details on Pool Data Quality and Compliance

Chapter 4: ncci.com

A few notes regarding **ncci.com**:

- It has all the information you need to meet your data reporting needs
- NCCI's data reporting tools, manuals, and circulars require a User ID and Password
 - Contact customer service for assistance with setup if needed
- Public items such as training and web articles are accessible without a User ID

Data Reporting Pages

- Broken out by data type
- Information applicable to two or more data types are located on the General page
- Each page contains these main categories:
 - Tools—Includes links to items such as the reporting tools applicable to your data type, circulars, data reports tool, etc.
 - Resources—Includes items such links to guides, forms, and edit matrices
 - Publications/Reports—Includes links to data tool user guides and web articles
 - Learning Center—Includes webinars and videos

Learning Opportunities

- Accessible from Data Reporting Page and Quick Links within the footer of the main **ncci.com** page
- Choose from two experiences:
 - Standard Learning Center—Available to everyone
 - NCCI Academy—Available only to NCCI carriers and regulators
 - The course selection is here more curriculum based and allows you to earn certificates as you complete the programs

QUICK LINKS

- Events and Programs
- Learning Center
- Online Invoice Service (View/Pay My Invoice)
- Services & Tools Catalog
- Solutions



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My Profile

Information about you

Alerts you when communications are posted to NCCI

Lists the services and tools you signed up for

Contacts in your company that provide permission for product access

Tracks the progress of outstanding inquiries

Welcome Jackie

About Us | Careers | Contact Us | Learning Center | Log Out | My Profile ▾

My Contact Information

My Email Notifications

My Services and Tools

Company Administrators

Issues Tracking

My Email Notifications

- Signing up for this option keeps you informed of updates made to any areas of our website
- Choose from:
 - **NCCI**—For updates on the following areas of our website:

Data Reporting	Residual	Insights
Industry	Markets	About Us
Information	Underwriting	Events
	Agents/Brokers	Learning Center
 - **Manuals/Industry Tools**—For updates on data and underwriting manuals
 - **Circulars**—For updates on all circulars issued by NCCI
- To make your selections:
 - Select the appropriate area: NCCI, Manuals/Industry Tools, or Circulars
 - Place a checkmark next to items you want to receive notifications for
 - Click **Save** to lock in your selections

Please save your changes before leaving this page.

Save

About Us	<input type="checkbox"/>
Agents/Brokers	<input type="checkbox"/>
Data Reporting	<input type="checkbox"/>
— General	<input checked="" type="checkbox"/>
— Policy and POC	<input type="checkbox"/>
— Unit Statistical Data	<input checked="" type="checkbox"/>
— Financial Calls	<input type="checkbox"/>
— Indemnity Data Call	<input type="checkbox"/>
— Detailed Claim Information	<input type="checkbox"/>
— Medical Data Call	<input type="checkbox"/>
— Pool Data	<input type="checkbox"/>



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Customer Support

The screenshot shows the NCCI Data Now Program (DNP) website. At the top, there is a navigation bar with links for 'About Us', 'Careers', 'Contact Us', 'Learning Center', 'Log Out', and 'My Profile'. Below the navigation bar, there is a menu with links for 'Data Reporting', 'Industry Information', 'Residual Markets', 'Underwriting', 'Agents/Brokers', and 'Insights'. The 'Contact Us' link is highlighted with a green arrow. The main content area is titled 'Contact Us' and includes a sub-section titled 'Customer Service' with the phone number '800-622-4123'. It also provides business hours (Monday through Friday, 8:00 a.m. to 8:00 p.m. ET) and instructions for email. To the right of the text, there is a photograph of a smiling customer service representative wearing a headset. On the left side of the contact section, there is a sidebar with links for 'Email' and 'Customer Service One-Day Response'. Below the sidebar, there is a box containing the 'Corporate Headquarters' address: NCCI Holdings, Inc., 901 Peninsula Corporate Circle, Boca Raton, FL 33487-1362, with a link to 'Driving Directions'.

- Select **Contact Us** and you'll see your options for reaching us:
 - Phone
 - Email

Resources

Additional resources associated with data guidebooks:

Resources	Policy Data	Unit Statistical Data	Medical Data Call	Indemnity Data Call	Financial Call Data	Pool Data*
Statistical Plan Manual	Y	Y	Y	Y	Y	
Data Quality Guidebook	Y	Y	Y	Y	Y	
Electronic Transmission's Users Guide (ETUG)	Y	Y	Y	Y	Y	Y
Data Transfer Via the Internet (DTVI)	Y	Y	Y	Y	Y	Y
Data Manager Dashboard (DMD)	Y	Y	Y	Y	Y	Y



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Further additional resources: ***Servicing Carrier Reference Guide*** and ***Reinsurance Pools Results and Information***.

Recap

- Data reporting standards are critical
- Each of NCCI's data types are unique yet share common fields that should be reported consistently
- Report data on time and in the right format using each of their specific resources
- Data reporting performance is measured through our data quality programs
- Stay informed by setting up your notifications
- Make use of your resources on ncci.com

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