



Data Now Program (DNP) Addressing Unit Validation Edits

Key Takeaways

- Remember the three-step process
 - Identify the edit
 - Research thoroughly
 - Perform the appropriate action
- Use your resources
- Use detailed explanations

Chapter 1: Overview and Resources

Validation Submission Edit Workflow

- Identify edits using
 - Data Reports
 - **Unit Data Collection (UDC)** Validation Screen
 - **Data Manager Dashboard**
- Research the issue to determine what actions need to be taken
 - You may need to review:
 - Your source system
 - The history in **UDC**
 - Reporting rules and examples in NCCI's **Statistical Plan** and **Unit Statistical Reporting Guidebook**
 - Additional information on the Edit and Validation Matrix
- Action—take one of two possible options
 - If data is incorrect, complete corrections
 - Submit corrections online using **UDC** or send an electronic file via **Data Transfer via the Internet (DTVI)**
 - If data is correct, provide a detailed explanation
 - Respond using the **UDC** Validation screen
 - Once a validator accepts an explanation, the edit will automatically get removed from **UDC**
 - If you need to provide both corrections and a detailed explanation, submit the corrections first and then add explanations

Note: In some cases, you may need to repeat the Research and Action phases to provide additional information to the validator.



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Chapter 2: Validation Edit L804

Edit Message

Part of Body Coding Is Inconsistent Across Report Levels

Identify

- Identify the edit using the Unit Submission Results Report

Unit Submission Results Report													Page 3 of 3			
Coverage Provider Group ID: 45856 - NCCI TRAINING COMPANY																
Submission ID: 3308645																
Cov Prvdr ID	Policy Number	Poi Eff Dt	State	Insured Name	Rpt Nbr	Corr Seq Nbr	NCCI Corr Seq Nbr	Admin Nbr	Data Grade	Record in Error	Claim Nbr/Class Code	Field in Error	Edit Nbr / Type Code	Reported Value	Defaulted Value	Sbmn ID
45856	L804EXAMPLE	01/01/2015	01-AL	DNP	1	0	0	21267B3238	2	L	CLAIM1	VALIDATION LOSS CONDITIONS	L804	Body Part 10		3308645
Edit Message / Validation Desc:					PART OF BODY CODING IS INCONSISTENT ACROSS REPORT LEVELS											
45856	L804EXAMPLE	01/01/2015	01-AL	DNP	2	0	0	21267B3239	2	L	CLAIM1	VALIDATION LOSS CONDITIONS	L804	Body Part 13		3308645
Edit Message / Validation Desc:					PART OF BODY CODING IS INCONSISTENT ACROSS REPORT LEVELS											
45856	L804EXAMPLE	01/01/2015	01-AL	DNP	3	0	0	21267B3240	2	L	CLAIM1	VALIDATION LOSS CONDITIONS	L804	Body Part 10		3308645
Edit Message / Validation Desc:					PART OF BODY CODING IS INCONSISTENT ACROSS REPORT LEVELS											

1. A different Part of Body Code was used at 2nd report.

- Note that the edit flagged on three report levels

Research

- Which Part of Body is correct? Check source system for accuracy.
- Assume that the correct Part of Body Code is 10. Which report level needs to be changed?
[Enter answer here]

Action

- Submit correction—change Part of Body from 13 to 10
- Example: Revising the code in **UDC**

Injury Description Codes

<div style="display: flex; align-items: center;"> <div style="background-color: red; color: white; padding: 2px 5px; margin-right: 5px;">Change to 10</div> <input style="width: 40px; text-align: center;" type="text" value="13"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc; border-radius: 50%; margin-left: 5px;" type="button" value="Q"/> </div>	<input style="width: 40px; text-align: center;" type="text" value="01"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc; border-radius: 50%; margin-left: 5px;" type="button" value="Q"/>	<input style="width: 40px; text-align: center;" type="text" value="01"/> <input style="width: 20px; height: 20px; border: 1px solid #ccc; border-radius: 50%; margin-left: 5px;" type="button" value="Q"/>
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Data Now Program (DNP) Addressing Unit Validation Edits

Chapter 3: Validation Edit L306

Edit Message

A Claim Review Across Multiple Report Levels Is Required for Suspect Indemnity Development and Injury Type Coding From Permanent Partial to Temporary Total Disability

Identify

- Identify the edit using the Validation screen in **UDC**

[Show me how - Grouping](#) [Apply Response to Selected](#) [Export Results](#)

Drag a column header and drop it here to group by that column

Select	Details	Carrier	Pol Nbr	Pol Eff	State	Risk ID	Claim Nbr	Class Code	Rpt Nbr	Type Code	Status	Condition Created	Due [Ⓢ]	Explanation Journal	Last Updated
<input type="checkbox"/>		45856	L306EXAMPLE	12/02/17	LA-17		CLAIM1	N/A	3	L306	OPEN	09/28/21	01/26/22		Data Provider [Ⓢ] (On: 09/28/21)
<input type="checkbox"/>		45856	L306EXAMPLE	12/02/17	LA-17		CLAIM1	N/A	2	L306	OPEN	09/28/21	01/26/22		Data Provider [Ⓢ] (On: 09/28/21)
<input type="checkbox"/>		45856	L306EXAMPLE	12/02/17	LA-17		CLAIM1	N/A	1	L306	OPEN	09/28/21	01/26/22		Data Provider [Ⓢ] (On: 09/28/21)

1 - 3 of 3 items

- Note that the edit spans all report levels

Research

- Find the claim in **UDC**
- Look at the claim development and verify

Header/Name	Exposure	Claim	Total	History									
<input type="button" value="+ Add Claim"/> <input type="button" value="x Delete Claim(s)"/> <input type="button" value="View Claim(s)"/>													
Delete	Edit	Copy	Details	DG	Update Type	Claim Nbr	Accident	Class Cd	Inj Type	Claim Status	Rpt Nbr	Corr Seq	Activity Status
<input type="checkbox"/>				2	C [Ⓢ]	CLAIM1	12/02/17	8810 [Ⓢ]	05 [Ⓢ]	0 [Ⓢ]	3	0	
<input type="checkbox"/>				2	C [Ⓢ]	CLAIM1	12/02/17	8810 [Ⓢ]	09 [Ⓢ]	0 [Ⓢ]	2	0	
<input type="checkbox"/>				2	R [Ⓢ]	CLAIM1	12/02/17	8810 [Ⓢ]	05 [Ⓢ]	0 [Ⓢ]	1	0	

1 - 3 of 3 items

- Injury Type 09 (Permanent Partial) reported at 1st and 2nd level
 - Injury Type 05 (Temporary Total or Temporary Partial) reported at 3rd report
- Additional research in the source system determines:
 - Permanent Partial reserves set up for possible surgery
 - Claimant opted for physical therapy
 - Later, claimant opted for surgery, but only Temporary Total paid to date
- Determination—the loss development is correct as reported



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Action

- Add a detailed explanation for all report levels
- First, find your unit by searching for Condition Status “Open and Rejected”

Select	Details	Carrier	Pol Nbr	Pol Eff	State	Risk ID	Claim Nbr	Class Code	Rpt Nbr	Type Code	Status	Condition Created	Due	Explanation Journal	Last Updated
<input checked="" type="checkbox"/>		45856	L306EXAMPLE	12/03/17	LA-17		CLAIM1	N/A	3	L306	OPEN	09/28/21	01/26/22		Data Provider (On: 09/28/21)
<input checked="" type="checkbox"/>		45856	L306EXAMPLE	12/03/17	LA-17		CLAIM1	N/A	2	L306	OPEN	09/28/21	01/26/22		Data Provider (On: 09/28/21)
<input checked="" type="checkbox"/>		45856	L306EXAMPLE	12/03/17	LA-17		CLAIM1	N/A	1	L306	OPEN	09/28/21	01/26/22		Data Provider (On: 09/28/21)

1. Select all three units
2. Click Apply Response to Selected

Multiple

PPD reserves were set up in the event claimant opted for surgery and future permanent rating, initially claimant resisted surgery and only had physical therapy and other conservative treatment, claimant was even actively looking for work. Ultimately had surgery in December 2020, we have only paid TTD to date and PPD was backed off as we await claimants progress and a rating at MMI. PPD 09 is valid at 1st and 2nd and TTD 05 is valid at 3rd.

Save Multiple

3. Enter your detailed explanation
4. Click the Save Multiple button



Data Now Program (DNP) Addressing Unit Validation Edits

Chapter 4: Validation Edit L742

Edit Message

Claims Reported With a Loss Condition Settlement Code of 00, Closed Claim Status, and Paid Indemnity Amounts That Have Increased Significantly

Identify

Identify the edit using the Validation screen in **Data Manager Dashboard**.

Unit - 45856 - NCCI TRAINING COMPANY										
Outstanding Validation Conditions - Open as of 09/30/2021 12:50 PM										
Dec 2021										
Carrier Cd	Pol Nbr ▲	Pol Eff Dt	State	Rpt Nbr	Risk ID	Class Cd	Claim Nbr	Create Dt	Due Dt	Type Cd
45856	L742EXAMPLE	01/01/2017	AL-01	2		N/A	CLAIM1	09/30/2021	12/31/2021	L742 ▲
Validation Description - Claims reported with a loss condition settlement code of 00, closed claim status, and paid indemnity amounts that have increased significantly										

Research

Look at the claim development in **UDC** and verify.

View All Claim Details Pol Nbr: L742EXAMPLE Pol Eff: 01/01/2017 State: AL-01											
Filter Claims											
Search for Claim Number...			Search for Accident Date (MM/DD/YY)...			Search for Class Code...			Search for Cat Nbr...		
Update Type	Claim Nbr	Accident	Rpt Nbr	Corr Seq	Class Code	Claim Status	Inj Type	Cat Nbr	Claim DG	Incur Indem	Pd Indem
	Settlement Type	Jur St	Act Code	Loss Type	Recovery Type	Claim Type	Body Part	Nature of Inj	Inj Cause	Voc Rehab	Lump Sum Ind
C	CLAIM1	01/01/17	2	0	8810	1	05	0	2	100,101	100,101
	00		01	01	01	01	10	01	01	N	N
R	CLAIM1	01/01/17	1	0	8810	1	05	0	0	100	100
	00		01	01	01	01	10	01	01	N	N

1. Closed at 2nd report level
2. Paid Indemnity increased from \$100 to \$100,000

Action

- Add detailed explanation at 2nd report level

Outstanding Unit Edit / Validation View									
Record DG	Record Type	Rpt Nbr	Corr Seq	Field Name	Edit Nbr/Type Code	Edit Msg/Validation Desc	Reported Value	Defaulted Value	Explanation Journal
2	L	2	0	VALIDATION LOSS CONDITIONS Status: OPEN	L742	Claim Nbr: CLAIM1 Accident: 01/01/17 CLAIMS REPORTED WITH A LOSS CONDITION SETTLEMENT CODE OF 00, CLOSED CLAIM STATUS, AND PAID INDEMNITY AMOUNTS THAT HAVE INCREASED SIGNIFICANTLY	Pd Indem 000100101		

1. Select the Explanation Journal from the Outstanding Unit Edit/Validation View



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- Explanation entered

Copy	Condition Status	Rpt Nbr	Corr Seq	Date	Explanations	User
	PENDING AT NCCI	2	0	9/30/2021 12:54:12 PM	The coding on the claim is correct.	Data Provider ¹

10 items per page 1 - 1 of 1 items

- Not enough detail on whether a settlement was received so explanation was rejected

Details	Carrier	Pol Nbr	Pol Eff	State	Risk ID	Claim Nbr	Class Code	Rpt Nbr	Type Code	Status	Condition Created	Due ¹	Explanation Journal	Last Updated
	45856	L742EXAMPLE	01/01/17	AL-01		CLAIM1	N/A	2	L742	REJECTED	09/30/21	12/31/21		NCCI ¹ (On: 09/30/21)

1 - 1 of 1 items

- Workflow repeats

- Go back to source system for specific details
- Update explanation

Condition Status	Rpt Nbr	Corr Seq	Date	Explanations	User
ACCEPTED	2	0	9/30/2021 1:54:26 PM	Data Provider explanation accepted.	NCCI ¹
PENDING AT NCCI	2	0	9/30/2021 1:50:41 PM	No Lump Sum settlement was paid on this file. A vocational rehabilitation bill was paid on 9/25/2017 then the file was closed.	Data Provider ¹
REJECTED	2	0	9/30/2021 1:16:02 PM	Thank you for the verification. Please advise if there was a settlement received.	NCCI ¹
PENDING AT NCCI	2	0	9/30/2021 12:54:12 PM	The coding on the claim is correct.	Data Provider ¹

10 items per page 1 - 4 of 4 items

No Lump Sum settlement was paid on this file. A vocational rehabilitation bill was paid on 9/25/2017 then the file was closed.

1. Updated explanation
2. Explanation accepted by NCCI



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Chapter 5: Validation Edit L245

Edit Message

Open Indemnity Claims are reported with the same values for the Incurred and Paid Amounts

Identify

Identify the edit using the Unit Submission Results Report.

Unit Submission Results Report													Page 2 of 10			
Coverage Provider Group ID: 99990 - NCCI INC																
Submission ID: 3597464																
Cov Prvdr ID	Policy Number	Pol Eff Dt	State	Insured Name	Rpt Nbr	Corr Seq Nbr	NCCI Corr Seq Nbr	Admin Nbr	Data Grade	Record in Error	Claim Nbr/Class Code	Field in Error	Edit Nbr / Type Code	Reported Value	Defaulted Value	Summ ID
99990	L245D5003	01/01/2021	01-AL	L245-NET SHOULD FIRE	1	0	0	22279A4752	2	L	CLAIM1	VALIDATION LOSS CONDITIONS	L245	Claim Status 0		3597464
Edit Message / Validation Desc: OPEN INDEMNITY CLAIMS ARE REPORTED WITH THE SAME VALUES FOR THE INCURRED AND PAID AMOUNTS																

Edit flagged on CLAIM1.

Research

Look closer at the data.

Claim Information	
Update Type	R ⓘ
Inj Type	05 ⓘ
Fraud Ind	00 ⓘ

Amounts		
Incur Indem	15,222	Pd Indem
	15,222	15,222

1. The Injury Type indicates that this is an indemnity claim
2. Incurred and Paid amounts match

Source system findings:

- We are expecting to receive a subrogation recovery
- Some amounts were paid
- Expect to close the claim after receiving subrogation

Action

Add detailed explanation.



Data Now Program (DNP) Addressing Unit Validation Edits

Chapter 6: Validation Edit L253

Edit Message

Claims with the Same Accident Date Are Not Reported with a Catastrophe Number

Identify

Identify the edit using the Unit Submission Results Report.

Unit Submission Results Report																
Coverage Provider Group ID: 99990 - NCCI INC													Page 6 of 14			
Submission ID: 3596867																
Cov Prvdr ID	Policy Number	Pol Eff Dt	State	Insured Name	Rpt Nbr	Corr Nbr	NCCI Corr Seq Nbr	Admin Nbr	Data Grade	Record in Error	Claim Nbr/ Class Code	Field in Error	Edit Nbr / Type Code	Reported Value	Defaulted Value	Sbmn ID
99990	L253A1003	01/02/2008	01-AL	L253 SHOULD FIRE	1	0	0	22278D3574	2	LD		MISCELLANEOUS LINK EDITS	9900-01	L253A1003		3596867
Edit Message / Validation Desc: UNIT REPORT DATA DOES NOT MATCH POLICY DATA																
99990	L253A1003	01/02/2008	01-AL	L253 SHOULD FIRE	1	0	0	22278D3574	2	L	CLAIM5	VALIDATION LOSS CONDITIONS	L253	Cat Nbr 00		3596867
Edit Message / Validation Desc: CLAIMS WITH THE SAME ACCIDENT DATE ARE NOT REPORTED WITH A CATASTROPHE NUMBER																
99990	L253A1003	01/02/2008	01-AL	L253 SHOULD FIRE	1	0	0	22278D3574	2	L	CLAIM6	VALIDATION LOSS CONDITIONS	L253	Cat Nbr 00		3596867
Edit Message / Validation Desc: CLAIMS WITH THE SAME ACCIDENT DATE ARE NOT REPORTED WITH A CATASTROPHE NUMBER																
99990	L253A1003	01/02/2008	01-AL	L253 SHOULD FIRE	1	0	0	22278D3574	2	L	CLAIM4	VALIDATION LOSS CONDITIONS	L253	Cat Nbr 00		3596867
Edit Message / Validation Desc: CLAIMS WITH THE SAME ACCIDENT DATE ARE NOT REPORTED WITH A CATASTROPHE NUMBER																

Edit flagged on CLAIM4, CLAIM5, and CLAIM6.

Research

Keep the catastrophe reporting rules in mind.

- A catastrophe is an accident resulting in two or more reportable claims
- Report with Catastrophe Numbers 01 to 10
- Increase the Catastrophe Number by 1 for each new catastrophe

Look closer at the data.

- Three claims have the same Accident Date and no Catastrophe Number

Claim Nbr	Accident Date	Catastrophe Nbr
CLAIM4	02/01/08	0
CLAIM5	02/01/08	0
CLAIM6	02/01/08	0

- Need to check source system to determine if these claims should be a catastrophe

Action

Possible actions:

If	Then
Claims are from one catastrophe	[Enter action to take]
Accident Dates are incorrect	[Enter action to take]
Claims are not a catastrophe and Accident Dates are correct	[Enter action to take]



Data Now Program (DNP) Addressing Unit Validation Edits

Chapter 7: Validation Edit E133

Edit Message

Duplicate Exposure is Reported in Uneven Experience Modification and/or Rate Effective Date Periods

Identify

Identify the edit using the Validation screen in **Unit Data Collection**

Show me how - Grouping														
Drag a column header and drop it here to group by that column														
Details	Carrier	Pol Nbr	Pol Eff	State	Risk ID	Claim Nbr	Class Code	Rpt Nbr	Type Code	Status	Condition Created	Due ¹	Explanation Journal	Last Updated
	99990	CLNDNPE133002	01/01/13	AL-01		N/A	7710	1	E133	OPEN	10/14/22	12/31/22		Data Provider ¹ (On: 10/14/22)
	99990	CLNDNPE133002	01/01/13	AL-01		N/A	7710	1	E133	OPEN	10/14/22	12/31/22		Data Provider ¹ (On: 10/14/22)

Edit flagged on Class Code 7710.

Research

Look closer at the data.

Expos Act	Class Cd	Subj ER	Expos Amt	Manual Rate	Prem Amt	Exp Mod	Mod Eff	Rate Eff	Split Period	Corr Seq
01 ¹	7710 ¹	Y	16,201	0001.000	162	1.000	01/02/13	01/01/13	0	1
01 ¹	7710 ¹	Y	16,201	0001.000	162	1.000	01/02/13	01/02/13	1	2

1. Two different Rate Effective Date periods
 - a. From 01/01/2013 to 01/02/2013
 - b. From 01/02/2013 to 01/01/2014
2. Exposure Amounts are the same

Need to look into the source system to determine the correct Rate Effective Date and Exposure Amounts.

Action

Possible actions:

If	Then
A Rate Effective Date is incorrect	[Enter action to take]
An Exposure Amount is incorrect	[Enter action to take]
The Rate Effective Dates and Exposure Amounts are correct	[Enter action to take]